

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Manning, Julie A.	2. Court or Organization U.S. Bankruptcy Court, Connecticut	3. Date of Report 10/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge--Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Bankruptcy Court, District of Connecticut 915 Lafayette Boulevard 1st Floor Bridgeport, CT 06604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Pre 2000	Shipman & Goodwin LLP Profit Sharing Retirement Plan (former law firm) with Vanguard
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	---salary and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	03/14/16-03/15/16	Fort Worth, Texas	Mid-Year Board Meeting	Transportation, Lodging
2. Federal Judicial Center	04/18/16 to 04/20/16	Albuquerque, New Mexico	National Workshop for Bankruptcy Judges I	Transportation, Lodging
3. Federal Judicial Center	06/29/16 to 07/01/16	Philadelphia, Pennsylvania	National Workshop for Bankruptcy Judges II	Transportation, Lodging
4. American Bankruptcy Institute	07/14/16 to 7/16/16	Bretton Woods, New Hampshire	ABI Northeast Conference	Transportation, Lodging
5. Judiciary	07/19/16	New York, New York	Second Circuit Reception for New Bankruptcy Judges	Transportation

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6.	Judiciary	09/01/16	New York, New York	Swearing In Ceremony	Transportation
7.	Judiciary	10/26/16	New York, New York	Second Circuit 125th Anniversary Event	Transportation
8.	Judiciary	12/01/16	New Haven, Connecticut	Investiture	Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. People's United Bank accounts--2016	B	Interest	O	T					
2. CHET 529 accounts 2016	B	Int./Div.	M	T	Buy	09/13/16	J		
3. --Principal Plus Interest Option-no control			M	T					
4. Vanguard Account - 2016									
5. --Vanguard Small -Cap Growth Index Fund	D	Int./Div.	M	T					
6. --Retirement Savings Trust	A	Interest	J	T					
7. --Vanguard Selected Value Fund	E	Int./Div.	M	T					
8. --Vanguard Target Retirement 2025	D	Int./Div.	M	T					
9. --Vanguard Wellington Fund	E	Int./Div.	M	T					
10. --Vanguard Total Bond Market Index Fund	C	Int./Div.	M	T					
11. 401(k) Savings Plan - 2016									
12. --Pimco Total Return Fund-n/k/a Met West Tot Bd Fd	A	Int./Div.	J	T					
13. --Vanguard Total Bond Market Investment Fund	A	Interest	J	T					
14. --ING Target Index Solution 2025 n/k/a Voya Stable Value Option	A	Int./Div.	K	T					
15. --Equity Index Non-Lendable Fund M	C	Int./Div.	K	T					
16. --SSgA Russ Sm/Md Cap Index Fund	C	Int./Div.	K	T					
17. --ING Small Cap Opportunities Prt I n/k/a Voya Small Cap Opportunit	C	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --ING Real Estate Fund I					Sold	07/18/16	K	A	
19. --Vanguard International Growth Fund					Sold	07/18/16	J	A	
20. --T Rowe Price Ins. Large Cap Growth	B	Int./Div.	K	T					
21. Fidelity Rollover IRA - 2016									
22. --Stocks-General Electric Company	B	Dividend	J	T					
23. --Stocks-People's United Bank									
24. --Stocks-SPDR S&P 500 ETF Fund	D	Int./Div.	K	T					
25. --Stocks-SPDR SER TR S&P Dividend	D	Int./Div.	K	T					
26. --Stocks-United Parcel Service	B	Interest	K	T					
27. --Mutual Funds-Pimco Total Return Investment Fund	B	Interest	K	T					
28. --Fidelity Cash Reserves (FDRXXX)	D	Interest	N	T					
29. --Stocks-People's United Savs. Bank					Sold	10/04/16	K	B	
30. --Stocks--General Electric					Sold (part)	10/12/16	J	B	
31. ---ETF-SPDR S&P 500					Sold (part)	10/18/16	L	E	
32. ---ETF-SPDR S&P Divd ETF					Sold (part)	10/18/16	K	D	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

After reviewing accounts statements and the Committee's letter dated August 8, 2017, income information for each asset in the Vanguard Account-2016, the 401(k) Savings Plan-2016, and the Fidelity Rollover IRA-2016 is now included in the Report. Therefore, I believe I have addressed the issue raised in paragraph 2 of the Committee's August 8, 2017 letter.

Paragraph 3 of Committee's August 8, 2017 letter indicates that certain stocks--People's United Savings Bank, General Electric ("GE"), ETF-SPDR S&P 500 ("SPY"), and ETF-SPDR S&P Div ETF ("SDY") were not including in my CY 2015 Report. These positions have been held in the Fidelity Rollover IRA from prior to 2013 until 2016 (and in the case of GE, SPY and SDY, continue to be held in the Fidelity Rollover IRA), and have been reported in each of my annual reports since my Initial Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Julie A. Manning**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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