

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Strawbridge, David R.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 04/28/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 601 Market Street, Room 3030 Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member--Advisory Board	Bethesda Project
2. Member - Advisory Board	Friends of the Delaware Canal
3. Trustee	Trust #1 (see VIII - #3)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 8/27/93	Cozen O'Connor Deferred Compensation Plan (See VIII - #1)
2. 12/31/71	Cozen O'Connor Profit Sharing 401(k) Plan (See VIII - #2)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Self-Employed Artist

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank	A	Interest	J	T					
2. Vanguard PA Tx Exempt Money Market Fund	A	Dividend	J	T					
3. Vanguard Intermediate Term Treas Fund Adm Shares	C	Dividend	L	T	Sold (part)	08/07/13	L	A	
4.					Sold (part)	12/31/13	J	A	
5. Vanguard Short Term Treas Fund Adm Shares	A	Dividend	L	T					
6. Vanguard Intermediate Term Tx Exempt Fund Inv Shares	A	Dividend	J	T					
7. Vanguard LT Tx Empt Adm Shares	A	Dividend	K	T	Sold (part)	08/07/13	K	C	
8. Vanguard International Value Fund	A	Dividend	K	T					
9. Vanguard Tx Managed Capital Appreciation Fund Adm Shares	B	Dividend	L	T					
10. Vanguard Tx Managed Growth and Income Fund Adm Shares	B	Dividend	L	T	Sold (part)	08/07/13	K	B	
11. Vanguard Total International Stock Index Fund Admiral	B	Dividend	L	T					
12. Vanguard Variable Annuity - Total Bond Market		None	M	T					
13. Vanguard Variable-Growth		None	K	T					
14. Fidelity Balanced Fund	B	Dividend	M	T					
15. Fidelity Government Income	B	Dividend			Sold	12/04/13	L	A	
16. SPRTN Total Market Index Fund Advantage Class	B	Dividend	L	T	Buy	12/04/13	L		
17. Phoenix Annuity		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Boston Capital Tax Credit Fund III	A	Interest	J	T					
19. Boston Capital Tax Credit Fund IV	A	Interest	J	T					
20. IRA #1									
21. Vanguard U.S. Growth Fund Investor Share	A	Dividend			Sold	06/04/13	L	A	
22. Vanguard Growth and Income Investor Shares	A	Dividend			Sold	12/16/13	K	A	
23. Vanguard Total International Stock	B	Dividend	N	T	Buy	12/31/13	N		
24. Vanguard T. Stock Mkt. Idx. Fund Adm. Shares	A	Dividend	P1	T	Buy	12/31/13	O		
25.					Buy	12/16/13	K		
26.					Buy	12/16/13	L		
27. Vanguard Total Bond Mkt. Idx. Fund Adm.		None	O	T	Buy	12/31/13	O		
28. Vanguard Total International Bond Index Fund Adm. Shares		None	N	T	Buy	12/31/13	N		
29. Vanguard US Growth Fund Admiral Shares		None			Buy	06/04/13	L		
30.					Sold	12/16/13	L	A	
31.									
32. IRA #2									
33. Vanguard U.S. Growth Fund Investor Shares	A	Dividend	J	T					
34. Vanguard Growth and Income Adm Shares	A	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Prime Money Market Fund	A	Dividend	J	T					
36.									
37. Profit Sharing - 401(k) Plan									
38. Pimco Total Return Fund Administrative Shares	A	Dividend			Sold	12/31/13	O	A	
39. Dodge and Cox Balanced Fund	D	Dividend			Sold	12/31/13	M	E	
40. T. Rowe Price 2010 Retirement Fund	E	Dividend			Sold	12/31/13	P1	E	
41. American Funds Fundamental Inv. RS	E	Dividend			Sold	12/31/13	N	E	
42. T. Rowe Price - money market "misc. account"	A	Interest			Sold	12/31/13	J	A	
43.									
44. Trust #1 (See VIII, #3)									
45. Wells Fargo	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#1 - Partially funded plan to pay set sum per year for 10 years (to May, 2015).

#2 - Funds in this IRA were rolled over into Vanguard (see IRA #1). This transaction took place at end of December 2013. Sale was completed from T. Rowe Price who show 0 assets on all these funds sold into IRA #1. While the Vanguard reporting shows those funds arriving at Vanguard on 1/2/2014, we report them as of 12/31/2013 when they had arrived at Vanguard -- or were on their way -- and formally reported as arriving on 1/2/2014.

#3 - Trust holds a life insurance policy for a close relative - See Part VII (lines 49-50).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David R. Strawbridge**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544