

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pryor, Jr., William H.	2. Court or Organization United States Court of Appeals	3. Date of Report 05/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1729 Fifth Avenue North Suite 900 Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Visiting Professor	University of Alabama School of Law
2.	Adjunct Professor	Cumberland School of Law, Samford University
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

05/07/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	University of Alabama School of Law	\$17,500.00
2. 2014	Cumberland School of Law, Samford University	\$7,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	SELF-EMPLOYED ACCOUNTANT
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federalist Society	March 7-8, 2014	Gainesville, FL	Panel Moderator, National Student Symposium	Transportation, Lodging, and Meals
2. Federalist Society	April 3, 2014	New Orleans, LA	Deliver Lecture at Tulane Law School	Transportation, Lodging, and Meals
3. Federalist Society	April 4, 2014	New Orleans, LA	Deliver Lecture at New Orleans Lawyers Chapter	Transportation, Lodging, and Meals
4. Witherspoon Institute	August 6-7, 2014	Princeton, NJ	Seminar Presentation at Witherspoon Institute	Transportation, Lodging, and Meals
5. Case Western Reserve University	October 2-3, 2014	Cleveland, OH	Deliver Summer Canary Lecture	Transportation, Lodging, and Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

05/07/2015

6.	The University of Tennessee College of Law	October 24-25, 2014	Knoxville, TN	Judge, Moot Court Competition Final	Transportation, Lodging, and Entertainment
7.	The Federalist Society	November 12-14, 2014	Washington, DC	Panel Moderator, National Lawyers Convention	Transportation, Lodging, and Entertainment
8.	University of Alabama	August 18 - November 24, 2014	Tuscaloosa, AL	Teaching, Federal Jurisdiction	Transportation
9.	Yale Law School	December 8-9, 2014	Hartford, CT	Judge, Tyler Moot Court Final	Transportation, Lodging, and Meals

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

05/07/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Former Law Clerks (10 year reunion)	Bust of Alexander Hamilton for Judicial Chambers	\$2,867.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

05/07/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1 (H)									
2. - VULCAN VALUE PARTNERS SMALL CAP	A	Dividend			Sold	05/09/14	K	D	
3. - FIDELITY SELECT CHEMICALS	A	Dividend			Buy	05/12/14	K		
4.					Sold	12/02/14	K	A	
5. - FIDELITY MID CAP VALUE		None	K	T	Buy	12/31/14	K		
6. IRA #2 (H)									
7. - FIDELITY SELECT MEDICAL DELIVERY	B	Dividend	K	T					
8. - FIDELITY SMALL CAP DISCOVERY FUND	D	Dividend	L	T					
9. - FIDELITY SELECT BIOTECHNOLOGY	D	Dividend	L	T					
10. - FIDELITY BLUE CHIP GROWTH	C	Dividend	K	T					
11. IRA #3 (H)									
12. - FIDELITY SELECT SOFTWARE & COMPUTER	B	Dividend			Sold	07/14/14	L	D	
13. - FIDELITY REAL ESTATE INVESTMENT	A	Dividend	L	T	Buy	07/14/14	L		
14. IRA #4 (H)									
15. - FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	L	T					
16. - FIDELITY SELECT MULTIMEDIA	B	Dividend			Sold	11/24/14	L	E	
17. - FIDELITY SELECT HEALTH CARE	D	Dividend	L	T	Buy	11/24/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

05/07/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - FIDELITY SELECT BIOTECHNOLOGY	C	Dividend	K	T					
19. - FIDELITY SELECT IT SERVICES PORTFOLIO	A	Dividend			Sold	06/09/14	L	D	
20. - FIDELITY SELECT PHARMACEUTICAL	C	Dividend	L	T	Buy	06/09/14	L		
21. - GLENMEDE SMALL CAP EQUITY - ADVISOR CL		None			Sold	07/15/14	K	D	
22. - FIDELITY REAL ESTATE	A	Dividend	L	T	Buy	07/16/14	K		
23. IRA #7 (H)									
24. - FIDELITY SELECT RETAILING	A	Dividend			Sold	06/09/14	K	D	
25. - FIDELITY SELECT AIR TRANSPORT		None			Buy	06/09/14	K		
26.					Sold	08/04/14	K	A	
27. - FIDELITY SELECT BIOTECHNOLOGY	C	Dividend	K	T					
28. - FIDELITY OTC PORTFOLIO		None	K	T	Buy	12/31/14	K		
29. BROKERAGE ACCT #1 (H)									
30. - FIDELITY SELECT BIOTECHNOLOGY	C	Dividend	K	T					
31. - FIDELITY SELECT PHARMACEUTICAL	B	Dividend	K	T					
32. - FIDELITY SMALL CAP VALUE		None			Sold	07/15/14	K	B	
33. - PIMCO REAL ESTATE REAL RETURN STRAT D	A	Dividend			Buy	07/16/14	K		
34.					Sold	09/26/14	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

05/07/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - FIDELITY SELECT TRANSPORT	A	Dividend	K	T	Buy	11/25/14	K		
36. WARREN AVERETT, LLC PROFIT SHARING PLAN	D	Dividend	N	T					
37. BBVA COMPASS BANK - A/C #1	A	Interest	M	T					
38.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

05/07/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

05/07/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William H. Pryor, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544