

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hegarty, Michael E.	2. Court or Organization U.S. District Court, District of Colorado	3. Date of Report 05/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th Street Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Colorado Bar Association	10/7/2017	Vail, Colorado	Teach at a Colorado Bar Association Employment Law conference	Travel & Lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank	A	Interest	J	T					
2. MDS Energy Development Program 2016		None	J	U					
3. MDS Energy Development Program 2017		None	K	U	Buy	12/29/17	K		
4. Wells Fargo Checking	A	Interest	J	T					
5. Apple Common Stock	A	Dividend	K	T					
6. Equis Investment Corp. Aggressive Allocation	C	Dividend	M	T					
7. DC ## 4-11 Wells Fargo Accounts	A	Interest	J	T					
8. Phillips Edison Grocery Center REIT II	C	Int./Div.	L	T					
9. Cole Capital Credit Property Trust IV, Inc. REIT	C	Int./Div.	L	T					
10. Retirement Phillips Edison ARC Shopping Cntr REIT	C	Int./Div.	L	T					
11. Retirement Phillips Edison ARC Shopping Cntr REIT	B	Int./Div.	K	T					
12. Retirement Equis Aggressive Equity IRA	A	Int./Div.	J	T	Buy	04/17/17	J		
13. RetirementFS Investment Corporation II	B	Int./Div.	L	T					
14. Nationwide Annuity	A	Dividend	L	T					
15. Steadfast REIT	A	Dividend	K	T					
16. Hamilton Point REIT	A	Dividend	L	T					
17. Moody National REIT II	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. [REDACTED] Inherited IRA Edward Jones AT&T Stock	A	Int./Div.			Sold	03/29/17	J	A	
19. [REDACTED] Inherited IRA Edward Jones Duke Energy Corp. Stock	A	Int./Div.			Sold	03/29/17	J	A	
20. [REDACTED] Inherited IRA Edward Jones General Mills Inc. Stock	A	Int./Div.			Sold	03/29/17	J	A	
21. [REDACTED] Inherited IRA Edward Jones Illinois Tool Works Inc. Stock	A	Int./Div.			Sold	03/29/17	J	A	
22. [REDACTED] Inherited IRA Edward Jones Capital Income Builder Fund Class A	A	Int./Div.			Sold	03/29/17	J	A	
23. [REDACTED] Inherited IRA Edward Jones Income Fund of America Fund Class A	A	Int./Div.			Sold	03/29/17	J	A	
24. [REDACTED] Inherited IRA Edward Jones Berkshire Hathaway Inc. Del. Class B	A	Int./Div.			Sold	03/29/17	J	A	
25. [REDACTED] Inherited IRA Edward Jones Johnson Controls Inc. Stock	A	Int./Div.			Sold	03/29/17	J	A	
26. [REDACTED] Inherited IRA Edward Jones Royal Dutch Shell PLC Stock	A	Int./Div.			Sold	03/29/17	J	A	
27. [REDACTED] Inherited IRA Edward Jones US Bancorp Stock	A	Int./Div.			Sold	03/29/17	J	A	
28. [REDACTED] Inherited IRA Edward Jones Ishares Dow J US Tech. Sect. Indx Fd	A	Int./Div.			Sold	03/29/17	J	A	
29. [REDACTED] Inherited IRA Edward Jones Ishares DJ US Healthcare Sector Fd	A	Int./Div.			Sold	03/29/17	J	A	
30. [REDACTED] Inherited IRA Edward Jones Bond Fund of America Class A	A	Int./Div.			Sold	03/29/17	J	A	
31. [REDACTED] Inherited IRA Edward Jones Capital World Bond Fund	A	Int./Div.			Sold	03/29/17	J	A	
32. [REDACTED] Inherited IRA Edward Jones CBA Aggressive Growth Fund Class A	A	Int./Div.			Sold	03/29/17	J	A	
33. [REDACTED] Inherited IRA Edward Jones Columbia Smallcap Value Fund Class A	A	Int./Div.			Sold	03/29/17	J	A	
34. [REDACTED] Inherited IRA Edward Jones Fundamental Investors Fund	A	Int./Div.			Sold	03/29/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. [REDACTED] Inherited IRA Edward Jones Growth Fund of America Class A	A	Int./Div.			Sold	03/29/17	J	A	
36. [REDACTED] Inherited IRA Edward Jones Harbor Cap'l Apprec. Fund Investr Cl	A	Int./Div.			Sold	03/29/17	J	A	
37. [REDACTED] Inherited IRA Edward Jones Harbor Int'l Fund Investor Class	A	Int./Div.			Sold	03/29/17	J	A	
38. [REDACTED] Inherited IRA Edward Jones Invesco Comstock Fund Cl A	A	Int./Div.			Sold	03/29/17	J	A	
39. [REDACTED] Inherited IRA Edward Jones MFS Int'l New Discovery Fund Cl I	A	Int./Div.			Sold	03/29/17	J	A	
40. [REDACTED] Inherited IRA Edward Jones MFS Value Fund CL I	A	Int./Div.			Sold	03/29/17	J	A	
41. [REDACTED] Inherited IRA Edward Jones Mutual Global Discovery Fund Cl A	A	Int./Div.			Sold	03/29/17	J	A	
42. [REDACTED] Inherited IRA Edward Jones New World Fund Class A	A	Int./Div.			Sold	03/29/17	J	A	
43. Smart Stop Storage REIT [REDACTED] Inherited IRA	A	Int./Div.	K	T	Buy	03/29/17	K		
44. Moody National REIT II [REDACTED] Inherited IRA	A	Int./Div.	K	T	Buy	03/29/17	K		
45. HMS Income Fund [REDACTED] Inherited IRA	A	Int./Div.	K	T	Buy	03/29/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael E. Hegarty**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544