

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grandy, Laura K.	2. Court or Organization Bankruptcy Court - Southern Illinois	3. Date of Report 09/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Melvin Price Federal Building and United States Courthouse 750 Missouri Avenue East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 3/02/2010	Mathis Marifian & Richter LTD See Note in Part VIII
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Mathis Marifian & Richter LTD	\$10,166.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bankruptcy Association of Southern Illinois (BASIL) Professional Organization	05/02/2014 - 05/02/2014	Fairview Heights, Illinois	Professional Organization Activity	Meals
2. National Conference of Bankruptcy Judges	07/17/2014 - 07/18/2014	St. Louis, Missouri	Professional Organization Activity	Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Springfield	Note Payable (Co-signer on Debt)	K
2.	FCB - Swansea	Mortgage on building owned by MMRG Building LLC	K
3.	First Bank	Mortgage - Mc Fessel Property	K
4.	Bank of Springfield	Mortgage - Pineywood Property	K
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Variable Annuity									
2. - International Equity Franklin Tpl	C	Dividend	K	T					
3. - Small Cap Growth Wellington	B	Dividend	K	T					
4. - Russell Non-US	B	Dividend	K	T					
5. - Domestic Equity Delaware Mgmt	C	Dividend	K	T					
6. - Focused Appreciation Janus	C	Dividend	K	T					
7. - Equity Income T Rowe Price	B	Dividend	J	T					
8. Northwestern Mutual 65 Life Insurance Policy	C	Dividend	L	T					
9. Northwestern Mutual Variable CompLife Insurance Policy	B	Dividend	L	T					
10. - Large Cap Blend Fiduciary Mgmt	A	Dividend	J	T					
11. - Large Cap Core Stock Fayeze Sarofim	A	Dividend	J	T					
12. - Mid Cap Core Stock WM Blair Co	A	Dividend	J	T					
13. - Small Cap Value T Rowe Price	A	Dividend	J	T					
14. - International Equity Franklin Tmpl	A	Dividend	J	T					
15. - International Growth Janus	A	Dividend	J	T					
16. - Select Bond Wells Capital Mgmt	A	Dividend	J	T					
17. - Russell Core Bond	A	Dividend	J	T					

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

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18. - Long Term US Govt Bond Pimco	A	Dividend	K	T					
19. - High Yield Bond Federated INC	A	Dividend	J	T					
20. - Russell Global Real Estate	A	Dividend	J	T					
21. - Money Market Black Rock	A	Dividend	J	T					
22. Northwestern Mutual 65 Life Insurance Policy									See Note in Part VIII
23. Raymond James Morgan Keegan Trust U/A DTD 7/02/98									
24. - Cash/Money Market Series 2 term	A	Int./Div.	K	T					
25. - Apple Inc (AAPL)	A	Dividend	J	T					
26. - First Eagle Global Fund A (SGENX)	C	Dividend	M	T					
27. - First Eagle US Value Fund A (FEVAX)	B	Dividend	L	T					
28. -Federated Kaufmann Large Cap C (KLCCX)	B	Dividend	L	T					
29. - Freeport McMorgan Copper and Gold Class B (FCX)		Dividend	J	T					
30. - FT Capital Strength Ser 2 Cash	A	Interest	K	T					
31. - Ivy Core Equity Fund (WCEAX)	A	Dividend	K	T					
32. Raymond James Morgan Keegan									
33. - Apple Inc (AAPL)	B	Dividend	L	T					
34. - Federated Kaufmann Large Cap A (KLCAX)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - First Eagle Global Fund A (SGENX)	B	Dividend	K	T					
36. - Riverfront Long Term Growth (RLTCX)	A	Dividend			Sold	09/17/14	K	B	
37. Furmanite Corporation (FRM)	A	Dividend	J	T					
38. First Trust Technology Dividend Portfolio Series E	A	Dividend	K	T					
39. First Trust Energy Select Portfolio Series 48	A	Dividend			Sold	09/19/14	K	A	
40. Fundamental Investors Fund Class A (ANCFX)	A	Dividend	L	T					
41. Putnam Capital Spectrum Fund Class C (PVSCX)	A	Dividend	K	T					
42. Federated Strategic Value (SVAAX)	A	Dividend	J	T	Buy	02/28/14	J		
43. Lord Abbett Growth (LGLCX)	A	Dividend	J	T	Buy	09/19/14	K		
44. First Trust European Deep Value Ser 3	A	Dividend	J	T	Buy	09/19/14	K		
45. - American Funds Europacific (AEGFX)	A	Dividend	J	T	Buy (add'l)	08/21/14	J		
46. - AF American Mutual F2 (AMRFX)	A	Dividend	J	T					
47. - Artisan International (ARTIX)	A	Dividend	J	T					
48. - Champlain Mid Cap Fund (CIPMX)	A	Dividend	J	T	Sold (part)	08/19/14	J		
49. - Perkins Mid Cap Value A (JDPAX)	A	Dividend	J	T	Sold	02/11/14	K	A	
50. - JP Morgan US Large Cap Core Plus (JLPSX)	A	Dividend	J	T	Sold (part)	08/19/14	J		
51. - MFS Research Fund Class A (MFRFX)	A	Dividend	J	T	Sold (part)	08/21/14	J		

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52. - Nuveen Winslow Large Cap Growth I (NULIX)		Dividend	J	T	Sold	01/01/14	J	A	
53. - Trowe Price Smallcap Value Adv (PASVX)	A	Dividend	J	T	Sold (part)	08/19/14	J		
54. - JP Morgan Core Bond Fund A (PGBOX)	A	Dividend	J	T					
55. Pimco Unconstrained Bond Fund (PUCPX)	A	Interest			Sold	10/28/14	J	A	
56. - Legg Mason Clearbridge Equity (SOPAX)	A	Dividend	J	T					
57. Loomis Sayles Growth Fund (LSGRX)	A	Dividend	J	T	Sold (part)	08/19/14	J		
58. - Templeton Global Bond Fund (TGBAX)	A	Dividend	J	T					
59. - Wells Fargo Advantage Int. (WFVDX)	A	Dividend	J	T					
60. - AQR Diversified Arbitrage N (ADANX)	A	Dividend	J	T					
61. - Neuberger Berman Income Bond (NHIAX)	A	Dividend	J	T	Sold (part)	08/21/14	J		
62. Virus Emerging Markets Oppt Fund (HIEMX)	A	Dividend	J	T	Buy (add'l)	08/21/14	J		
63. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T	Buy (add'l)	08/19/14	J		
64. Pimco Total Return Fund (PTTPX)	A	Dividend			Sold	08/19/14	J		
65. John Hancock Disciplined Value (JVMIX)	A	Dividend	J	T	Buy	02/13/14	J		
66. First Trust ETF II Consumer Staples Alphadex (FXG)	A	Dividend			Sold	01/01/14	J		
67. Metropolitan West Rtn Bond (MWTIX)	A	Dividend	J	T	Buy	08/21/14	J		
68. Prudential Absolute (PADZX)	A	Dividend	J	T	Buy	10/30/14	J		

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69. Ishares TR Barclays (SHY)	A	Dividend			Sold	02/20/14	J		
70. First Trust S&P Reit Index Fund (FRI)	A	Dividend	J	T					
71. First Traded Exchange Traded Small Cap (FYT)	A	Dividend			Sold	06/05/14	J	A	
72. First Trust Exchange Traded Europe (FEP)	A	Dividend			Sold	01/14/14	K	B	
73. First Trust Exchange Traded Dev MKTS (FEMS)	A	Dividend			Sold	06/26/14	J	A	
74. First Trust ETF II INDLS PRO (FXR)	A	Dividend			Sold	10/06/14	J	A	
75. Ishares I -10 Y TR BD (IEF)	A	Interest	J	T					
76. First Trust S & P Reint Index Fund (FRI)	A	Interest	K	T					
77. First Trust ETF II (FXD)	A	Dividend			Buy	01/03/14	J		
78.					Sold	03/07/14	J		
79. First Trust Exchange Japan (FJP)	A	Dividend			Buy	01/01/14	J		
80.					Sold	03/20/14	J		
81. First Trust Exchange Germany (FGM)	A	Dividend			Buy	01/01/14	J		
82.					Sold	06/26/14	J		
83. Ishares Msci Swenden (EWD)	A	Dividend			Buy	01/22/14	J		
84.					Sold	03/20/14	J		
85. Ishares MSCI Netherl (EWN)	A	Dividend			Buy	01/09/14	J		

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86.					Sold	06/26/14	J		
87. Ishares MSCI Span CP (EWP)	A	Dividend			Buy	03/28/14	J		
88.					Sold	12/22/14	J	A	
89. Ishares MSCI France (EWQ)	A	Dividend			Buy	03/28/14	J		
90.					Sold	07/21/14	J		
91. Ishares TR MSCI Denmark (EDEN)	A	Dividend	J	T	Buy	03/20/14	J		
92. Ishares TR MSCI Finlnd (EFNL)	A	Dividend			Buy	03/20/14	J		
93.					Sold	11/20/14	J	A	
94. Ishares MSCI Italcpd (EWI)	A	Dividend			Buy	03/20/14	J		
95.					Sold	09/26/14	J		
96. First Trust ETF II Technology (FXL)	A	Dividend	J	T	Buy	03/07/14	J		
97.					Sold (part)	07/07/14	J	A	
98.					Buy	10/06/14	J		
99. First TR Exchange Alpha Fund (FNK)	A	Dividend			Buy	06/05/14	J		
100.					Sold	08/07/14	J		
101. Ishares MSCI ISR CAP ETF (EIS)	A	Dividend	J	T	Buy	06/26/14	J		
102. Ishares TR Ireland (EIRL)	A	Dividend			Buy	06/27/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103.					Sold	11/20/14	J	A	
104. Ishares MSCI India (INDA)	A	Dividend	J	T	Buy	06/27/14	J		
105. First Trust ETF Energy (FXN)	A	Dividend			Buy	07/07/14	J		
106.					Sold	11/06/14	J	A	
107. Global Argentina (ARGT)	A	Dividend			Buy	07/21/14	J		
108.					Sold	09/26/14	J		
109. First Trust Large Cap Opprt (FTC)	A	Dividend	J	T	Buy	08/07/14	J		
110. First Trust Taiwan (FTW)	A	Dividend	J	T	Buy	08/21/14	J		
111. First Trust Hong Kong (FHK)	A	Dividend			Buy	09/26/14	J		
112.					Sold	10/21/14	J	A	
113. First Trust Alpha (FCAN)	A	Dividend	J	T	Buy	09/26/14	J		
114. Ishares TR MSCI Phillips (EPHE)	A	Dividend	J	T	Buy	10/21/14	J		
115. First Trust Consumer Staples (FXG)	A	Dividend			Buy	11/06/14	J		
116.					Sold	12/04/14	J	A	
117. Ishares TR MSCI Indonia (EIDO)	A	Dividend	J	T	Buy	11/20/14	J		
118. First Trust Utilities (FXU)	A	Dividend	J	T	Buy	12/04/14	J		
119. Ishares MSCI STH AFR (EZA)	A	Dividend	J	T	Buy	12/22/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. First Trust Health (FXH)	A	Dividend	J	T					
121. Raymond James Morgan Keegan									
122. - Cash/Money Market	A	Interest	J	T					
123. - Blackrock Global A (MDLOX)	A	Dividend	J	T					
124. - Blackrock Global B (MBLOX)	A	Dividend	K	T					
125. -Loomis Sayles Income Fund Cl A (NEFZX)	A	Dividend	K	T					
126. -Lord Abnett Bond (LBNDX)	A	Dividend	J	T					
127. Pineywoods, Swansea, Illinois (2001 \$110,000)		None	M	R					See Note in Part VIII
128. McFessel Drive, Fairview Heights, IL (2005, \$55,000)	C	Rent	L	R					
129. MMRG Bldg , Belleville, Illinois (2003, \$370,000)	E	Rent	N	R					
130. Merrill Lynch									
131. - Cash/Money Market	A	Interest	J	T					
132. - FIA Card Services Money Market Account	A	Interest	K	T					
133. Abbvie Inc Shs (ABBV)	A	Dividend	J	T	Buy (add'l)	07/28/14	J		
134.					Buy (add'l)	10/29/14	J		
135. Ares Capital Corp (ARCC)	A	Dividend			Sold (part)	05/09/14	J	A	
136.					Sold (part)	05/20/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/03/14	J	A	
138.					Sold	06/05/14	J	A	
139. Automatic Data Proc (ADP)	A	Dividend	J	T	Buy (add'l)	07/28/14	J		
140. - Altria Group Inc (MO)	A	Dividend			Sold	02/18/14	J	A	
141. - Atlas Pipeline Prturs LP (APL)	A	Int./Div.			Sold	02/21/14	J	A	
142. Baron Partners Fund CL (BPTIX)	A	Dividend			Sold (part)	01/01/14	J	A	
143.					Sold	11/19/14	J	A	
144. Chevron Corp (CVX)	A	Dividend			Sold (part)	06/03/14	J	A	
145.					Sold	07/14/14	J	A	
146. - Comcast Corp New CL A (CMCSA)	A	Dividend	J	T	Buy (add'l)	02/19/14	J		
147.					Buy (add'l)	07/28/14	J		
148.					Sold (part)	06/26/14	J	A	
149. Covidien PLC SHS New (COV)	A	Dividend			Buy	03/28/14	J		
150.					Sold	07/10/14	J	A	
151. -Diageo PLC SPSD ADR NEW (DEO)	A	Dividend			Sold	02/24/14	J	A	
152. Danaher Corp DEL COM (DHR)	A	Dividend	J	T	Buy	05/19/14	J		
153.					Buy (add'l)	07/28/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Dicks Sporting Goods Inc (DKS)	A	Dividend			Buy	02/26/14	J		
155.					Buy (add'l)	02/27/14	J		
156.					Buy (add'l)	03/11/14	J		
157.					Sold (part)	06/02/14	J	A	
158.					Sold	06/22/14	J	A	
159. Disney (Walt) Co COM (DIS)	A	Dividend	J	T	Buy	11/14/14	J		
160. Enterprise Prdts LP (EPD)	A	Dividend	J	T	Buy	02/26/14	J		
161. Eastman Chemical Co (EMN)	A	Dividend			Buy	05/21/14	J		
162.					Buy (add'l)	07/28/14	J		
163.					Buy (add'l)	08/18/14	J		
164.					Sold	10/03/14	J	A	
165. - Energy Transfer Ptrns LP (ETP)	B	Dividend	K	T	Buy (add'l)	02/19/14	J		
166.					Buy (add'l)	05/20/14	J		
167.					Buy (add'l)	08/14/14	J		
168. - Express Scripts Inc COM (ESRX)	A	Dividend			Sold (part)	07/29/14	J	A	
169.					Sold	08/04/14	K	A	
170. Exterran Partners LP (EXLP)	A	Dividend			Sold	06/24/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Federated International (FGFLX)	A	Dividend			Buy	01/01/14	J		
172.					Sold (part)	06/24/14	J	A	
173.					Sold	07/28/14	J	A	
174. Federated Muni High (FMOAX)	A	Dividend			Buy	05/30/14	J		
175.					Sold	09/03/14	J	A	
176. Franklin Incom Fd Adv (FRIAX)	A	Dividend	J	T					
177. Gamestop Corp New (GME)	A	Dividend			Buy	08/22/14	J		
178.					Buy (add'l)	09/08/14	J		
179.					Sold	11/28/14	J	A	
180. Greenbrier Cos Inc (GBX)	A	Dividend	J	T	Buy	07/28/14	J		
181.					Buy (add'l)	07/30/14	J		
182.					Buy (add'l)	08/04/14	J		
183.					Buy (add'l)	11/29/14	J		
184. Henderson European (HFEIX)	A	Dividend			Buy	04/01/14	J		
185.					Sold	10/09/14	J	A	
186. Henderson GlobalEquity (HFQIX)	A	Dividend			Sold (part)	01/03/14	J	A	
187.					Sold	10/16/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Himax Technologies ADR (HIMX)	A	Dividend			Sold (part)	04/16/14	J	A	
189.					Sold	05/14/14	J	A	
190. Honeywell Intl Inc Del (HON)	A	Dividend	J	T	Buy (add'l)	07/28/14	J		
191. Ishares Nasdaq Biotech (IBB)	A	Dividend			Sold	05/11/14	J	A	
192. Ishares Intl Select (IDV)	A	Dividend	J	T					
193. Illumina Inc Com (ILMN)	A	Dividend	J	T	Buy	10/16/14	J		
194. Kansas City Southern (KSU)	A	Dividend	K	T					
195. Kinder Morgan (KMI)	A	Dividend	J	T	Buy	12/18/14	J		
196. -Kraft Foods Inc VA CL A (KRFT)	A	Dividend	J	T	Buy (add'l)	07/20/14	J		
197.					Sold (part)	04/04/14	J	A	
198.					Sold (part)	09/24/14	J	A	
199. Lord Abbett Bond (LBDFX)	A	Dividend			Buy	01/22/14	J		
200.					Sold	09/09/14	J	A	
201. Lord Abbett Growth (LGLFX)	A	Dividend			Buy	02/14/14	J		
202.					Sold (part)	04/14/14	J	A	
203.					Sold	05/20/14	J	A	
204. Lord Abbett Short (LDLFX)	A	Dividend			Buy	04/11/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	06/02/14	J	A	
206. Loomis Sayles Growth (LSGRX)	A	Dividend	J	T	Buy	11/18/14	J		
207. - Mondelez International Inc (MDLZ)	A	Dividend	J	T	Sold (part)	04/04/14	J	A	
208.					Sold (part)	10/21/14	J	A	
209. NXP Semiconductors NV (NXPI)	A	Dividend	J	T	Buy	01/30/14	J		
210.					Buy (add'l)	02/19/14	J		
211.					Buy (add'l)	09/08/14	J		
212. - Orexigen Therap Inc (OREX)	A	Dividend			Sold	10/03/14	J	A	
213. The Oakmark INTL Fund (OAKIX)	A	Dividend			Sold	03/12/14	J	A	
214. Pacira Pharmaceuticals (PCRX)	A	Dividend	J	T	Buy	08/08/14	J		
215.					Buy (add'l)	08/11/14	J		
216.					Buy (add'l)	08/19/14	J		
217. Pfizer Inc (PFE)	A	Dividend			Sold	08/22/14	J	A	
218. Putnam Capital (PVSYK)	A	Dividend	J	T	Buy	11/26/14	J		
219. - Putnam Diversified (PDVYX)	A	Dividend	J	T	Sold (part)	11/28/14	J	A	
220. Powershares DWA Consumer (PSL)	A	Dividend	J	T	Buy	12/05/14	J		
221. Roberthalf Intl Inc Com (RHI)	A	Dividend	J	T	Buy	04/22/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Sirius XM Holdings Inc (SIRI)	A	Dividend			Sold (part)	04/16/14	J	A	
223.					Sold (part)	05/27/14	J	A	
224.					Sold	06/05/14	J	A	
225. Splunk Inc (SPLK)	A	Dividend	J	T	Buy	09/02/14	J		
226.					Buy (add'l)	09/04/14	J		
227.					Buy (add'l)	09/08/14	J		
228. St Jude Medical INC (STJ)	A	Dividend			Buy	06/18/14	J		
229.					Buy (add'l)	06/19/14	J		
230.					Sold	09/24/14	J	A	
231. Starwood Ppty TR (STWD)	A	Dividend	J	T	Buy	01/17/14	J		
232.					Buy (add'l)	02/12/14	J		
233.					Buy (add'l)	02/14/14	J		
234.					Sold (part)	02/20/14	J	A	
235. Suncor Energy Inc New (SU)	A	Dividend	J	T					
236. Skyworks Solutions (SWKS)	A	Dividend	J	T	Buy	09/18/14	J		
237. Three D Systems New (DDD)	A	Dividend			Sold	02/27/14	J	A	
238. Twenty First Century (FOX)	A	Dividend			Sold	06/24/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Time Warner (TWX)	A	Dividend	J	T	Buy	11/11/14	J		
240. Under Armour Inc (UA)	A	Dividend	J	T	Buy (add'l)	05/28/14	J		
241. United Techs Corp (UTX)	A	Dividend			Sold	07/14/14	J	A	
242. Wisdomtree Invts Inc (WETF)	A	Dividend			Sold (part)	04/16/14	J	A	
243.					Sold	05/14/14	J	A	
244. Wisdomtree Trust Japan (DXJ)	A	Dividend			Sold	06/24/14	J	A	
245. - Wisdomtree Emerging Mkt (DEM)	A	Dividend			Sold	06/24/14	J	A	
246. -Yum Brands Inc (YUM)	A	Dividend			Sold	03/20/14	J	A	
247. TCW Emerging (TGEIX)	A	Dividend			Buy	04/11/14	J		
248.					Sold	06/24/14	J	A	
249. SPDR Dow Jones (DIA)	A	Dividend			Buy	07/09/14	J		
250.					Sold (part)	09/09/14	J	A	
251.					Sold	10/14/14	J	A	
252. Whitewave Foods Co (WWAV)	A	Dividend	J	T	Buy	05/19/14	J		
253.					Buy (add'l)	07/28/14	J		
254.					Buy (add'l)	08/11/14	J		
255. -Kinder Morgan Energy Partners LP	B	Int./Div.	J	T					See Note in Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Energy Transfer PTNRS LP	B	Int./Div.	K	T					See Note in Part VIII
257. Bank of America - Merrill Lynch Wealth Management									
258. - Money Manager Checking Sweep Acct	A	Interest	J	T					
259. - ING Groep N.V. PFD (IGK)	C	Int./Div.			Sold	01/03/14	K	D	
260. - Bank of America NA Market CD (MAT)	B	Interest			Sold	01/03/14	M	D	
261. - AXA Equitable Life INS CO Accumulator 2004	B	Int./Div.	M	T					
262. - Altra Group Inc (MO)	A	Dividend			Sold	01/03/14	J	A	
263. - Apple Inc (AAPL)	A	Dividend	K	T					
264. - First TR S & P REIT Index (FRI)	A	Dividend			Sold	01/03/14	J	A	
265. - Home Depot Inc (HD)	A	Dividend			Sold	01/03/14	J	A	
266. - IVY Municipal High Income (WYMHX)	A	Dividend			Sold	01/03/14	J	A	
267. - Pepsico Inc (PEP)	A	Dividend			Sold	01/03/14	J	A	
268. - Pfizer Inc (PFE)	A	Dividend			Sold	01/03/14	J	A	
269. - Regions Finl Corp (RF)	A	Int./Div.			Sold	01/03/14	J	A	
270. - Salesforce Com Inc (CRM)	A	Dividend			Sold	01/03/14	J	A	
271. - Alliancebernstein High (ABTYX)	A	Dividend			Sold	01/03/14	J	A	
272. - Invesco Van Kampen High (ACTDX)	A	Dividend			Sold	01/03/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Oppenheimer Amt Free (OMFYX)	A	Dividend			Sold	01/03/14	J	A	
274. Alibaba Group Holdings (BABA)	A	Dividend	J	T	Buy	11/14/14	J		
275. Amazon Com Inc (AMZN)	A	Dividend			Buy	01/06/14	J		
276.					Buy (add'l)	02/06/14	J		
277.					Buy (add'l)	02/12/14	J		
278.					Sold	04/17/14	J	A	
279. Caterpillar INC DEL (CAT)	A	Dividend			Buy	02/06/14	J		
280.					Buy (add'l)	04/17/14	J		
281.					Buy (add'l)	05/13/14	J		
282.					Sold	10/16/14	J	A	
283. Celgene Corp Com (CELG)	A	Dividend	J	T	Buy	10/28/14	J		
284. Centurylink INC Shs (CTL)	A	Dividend	J	T	Buy	02/06/14	J		
285.					Buy (add'l)	03/06/14	J		
286.					Buy (add'l)	03/11/14	J		
287. Cisco Systems Inc (CSCO)	A	Dividend			Buy	01/06/14	J		
288.					Sold	05/16/14	J	A	
289. Chipotle Mexican Grill (CMG)	A	Dividend			Buy	03/06/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	03/11/14	J		
291.					Sold	05/16/14	J	A	
292. Citigroup INC (C)	A	Dividend			Buy	01/06/14	J		
293.					Buy (add'l)	03/06/14	J		
294.					Sold	05/16/14	J	A	
295. Continental Resources (CLR)	A	Dividend			Buy	03/06/14	J		
296.					Buy	03/11/14	J		
297.					Sold	10/23/14	J	A	
298. Davita Healthcare Partners (DVA)	A	Dividend	J	T	Buy	02/06/14	J		
299.					Buy (add'l)	03/06/14	J		
300.					Buy (add'l)	10/06/14	J		
301.									
302. Exxon Mobil Corp (XOM)	A	Dividend			Buy	02/06/14	J		
303.					Sold	05/16/14	J	A	
304. Gilead Sciences INC (GILD)	A	Dividend			Buy	01/06/14	J		
305.					Buy (add'l)	02/06/14	J		
306.					Sold	05/16/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Google Inc (GOOGL)	A	Dividend			Buy	01/06/14	J		
308.					Buy (add'l)	03/06/14	J		
309.					Buy (add'l)	04/03/14	J		
310.					Buy (add'l)	04/17/14	J		
311.					Sold	05/16/14	J	A	
312. Google Inc SHS C (GOOG)	A	Dividend	J	T	Buy	03/03/14	J		
313.					Buy (add'l)	04/03/14	J		
314.					Buy (add'l)	04/17/14	J		
315.					Buy (add'l)	05/13/14	J		
316. Hewlett Packard (HPQ)	A	Dividend	J	T	Buy	01/23/14	J		
317.					Buy (add'l)	01/29/14	J		
318.					Buy (add'l)	03/06/14	J		
319.					Buy (add'l)	03/11/14	J		
320. Mastercard (MA)	A	Dividend	J	T	Buy	01/23/14	J		
321.					Buy (add'l)	03/06/14	J		
322. Merck and Co INC (MRK)	A	Dividend	J	T	Buy	02/06/14	J		
323.					Buy (add'l)	03/06/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. Metlife (MET)	A	Dividend	J	T	Buy	04/03/14	J		
325.					Buy (add'l)	04/17/14	J		
326.					Buy (add'l)	05/13/14	J		
327. Nextera Energy INC (NEE)	A	Dividend	J	T	Buy	02/06/14	J		
328.					Buy (add'l)	03/06/14	J		
329. Nike INC (NKE)	A	Dividend	J	T	Buy	01/23/14	J		
330.					Buy (add'l)	02/06/14	J		
331.					Buy (add'l)	03/06/14	J		
332. Nucor Coporation (NUE)	A	Dividend	J	T	Buy	02/06/14	J		
333.					Buy (add'l)	03/06/14	J		
334.					Buy (add'l)	03/11/14	J		
335. NXP Semiconductors (NXPI)	A	Dividend	J	T	Buy	02/11/14	J		
336.					Buy (add'l)	03/06/14	J		
337.					Buy (add'l)	03/11/14	J		
338. Suncor Energy Inc New (SU)	A	Dividend			Buy	01/03/14	J		
339.					Sold	05/15/14	J	A	
340. Salesforce Com Inc (CRM)	A	Dividend			Buy	03/06/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	03/11/14	J		
342.					Sold	05/16/14	J	A	
343. Under Armour Inc (UA)	A	Dividend			Buy	03/06/14	J		
344.					Sold	06/13/14	J	A	
345. Verizon Communication (VZ)	A	Dividend			Buy	02/28/14	J		
346.					Sold	06/13/14	J	A	
347. Visa Inc Cl A (V)	A	Dividend	J	T	Buy	01/14/14	J		
348.					Buy (add'l)	01/29/14	J		
349.					Buy (add'l)	03/06/14	J		
350. Vodafone Grop Plc (VOD)	A	Dividend			Buy	01/03/14	J		
351.					Sold	06/13/14	J	A	
352. Wuxi Pharmatech	A	Dividend			Buy	01/03/14	J		
353.					Buy (add'l)	03/06/14	J		
354.					Sold	06/13/14	J	A	
355. EGA Beyond Brics ETF (BBRC)	A	Dividend			Buy	04/03/14	J		
356.					Sold	10/16/14	J	A	
357. First TR Small Cap (FYX)	A	Dividend	J	T	Buy	04/17/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. First TR MID Cap (FNX)	A	Dividend	J	T	Buy	04/17/14	J		
359.									
360. First TR Large Cap (FEX)	A	Dividend	J	T	Buy	04/17/14	J		
361. First TR S&P REIT (FRI)	A	Dividend			Buy	05/13/14	J		
362.					Sold	10/16/14	J	A	
363. First TR ISE CLOUD (SKYY)	A	Dividend	J	T	Buy	11/14/14	J		
364. Ishares Nasdaq Biotech (IBB)	A	Dividend	J	T	Buy	07/07/14	J		
365. Ishares S&P Short Term (SUB)	A	Dividend	J	T	Buy	01/29/14	K		
366. Ishares S&P National (MUB)	A	Dividend	J	T	Buy	01/03/14	J		
367. Ishares MCSI Frontier (FM)	A	Dividend	J	T	Buy	04/17/14	J		
368. Ishares RS 2000 (IWO)	A	Dividend			Buy	02/11/14	J		
369.					Sold	05/16/14	J	A	
370. SPDR S&P 500 (SPY)	A	Dividend			Buy	02/11/14	J		
371.					Sold	10/16/14	J	A	
372. Vanguard Small Cap (VBR)	A	Dividend			Buy	01/03/14	J		
373.					Sold	05/16/14	J	A	
374. Vanguard Information (VGT)	A	Dividend	J	T	Buy	07/23/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Vanguard Energy ETF (VDE)	A	Dividend			Buy	07/23/14	J		
376.					Sold	10/16/14	J	A	
377. Vanguard Industrial (VIS)	A	Dividend	J	T	Buy	11/14/14	J		
378. Vanguard Energy ETF (VDE)	A	Dividend	J	T	Buy	12/02/14	J		
379. First Trust Cyclical Strenth Portfolio Series term	A	Dividend	J	T	Sold	05/16/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II:

Line 1 - Payments for percentage of collections of fees for work performed and generated by Judge, prior to departure.

Part VII:

Line 22 Duplicate entry of Line 8

Line 109- Residential Real Property which is currently occupied by [REDACTED] No income received.

Line 237/38 - Publicly Traded Partnership that generates interest and dividends.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Laura K. Grandy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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