

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Matz, Howard A.	2. Court or Organization Central District of California	3. Date of Report 04/30/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 312 N. Spring Street, Room 170 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Trustor and Co-Trustee	Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Former and present law clerks and staff	Gift certificate for bicycle	\$1,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST NO. 3	D	Int./Div.	O	T					
2. AllianceBernstein Tax Mged					Sold	01/20/11	J	A	
3. AllianceBernstein Tax Mged					Sold	03/29/11	J	A	
4. AllianceBernstein Tax Mged					Sold	04/13/11	J	A	
5. AllianceBernstein Tax Mged					Sold	07/15/11	J	A	
6. AllianceBernstein Tax Mged					Sold	10/12/11	J	A	
7. AllianceBernstein Tax Mged					Buy	12/21/11	J		
8. IRA ONE	C	Int./Div.	M	T					
9. AllianceBernstein Wealth Apprec					Sold	01/20/11	J	A	
10. AllianceBernstein Wealth Apprec					Buy	04/13/11	J	A	
11. AllianceBernstein Wealth Apprec					Sold	07/15/11	J	A	
12. AllianceBernstein Wealth Apprec					Sold	10/12/11	J	A	
13. AllianceBernstein Wealth Apprec					Buy	03/29/11	J		
14. AllianceBernstein Wealth Apprec					Buy	12/21/11	J		
15. IRA TWO	C	Int./Div.	M	T					
16. AllianceBernstein Wealth Apprec					Sold	01/20/11	J	A	
17. AllianceBernstein Wealth Apprec					Sold	04/13/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. AllianceBernstein Wealth Apprec					Buy	07/15/11	J	A	
19. AllianceBernstein Wealth Apprec					Sold	10/12/11	J	A	
20. AllianceBernstein Wealth Apprec					Buy	03/29/11	J		
21. AllianceBernstein Wealth Apprec					Buy	12/21/11	J		
22. TRUST ONE	C	Dividend	N	T					
23. Allianz AGIC Opport					Sold	01/31/11	J	A	
24. Allianz AGIC Opport					Sold	11/01/11	J	A	
25. Artisan Intl					Sold	01/31/11	J	A	
26. Artisan Intl					Sold	12/22/11	J	A	
27. Black Rock Equity Dividend Fund									
28. General Electric									
29. JP Morgan Mid Cap									
30. Merger Fund					Sold	03/07/11	J	A	
31. Permanent Portfolio					Sold	01/31/11	J	A	
32. Schwab Money Fund									
33. Selected American Shares					Sold	07/21/11	J	C	
34. Verizon Comm									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Walt Disney									
36. Fidelity Contra					Sold	12/22/11	J	A	
37. Morgan Stanley Inst. Mid Cap Growth Fund									
38. Oakmark Intl. Small Cap Fund									
39. ICM Small Company Fund					Sold	11/01/11	J	B	
40. Dodge & Cox Intl. Stock Fund					Sold	12/22/11	J	A	
41. Forward Tactical Growth					Sold	03/07/11	J	A	
42. JP Morgan Strategic Income Fund					Sold	11/01/11	J	A	
43. RS Emerging Markets					Sold	10/11/11	J	A	
44. MLP Alpha Fund					Sold	12/06/11	J	A	
45. Frontier Comm. Corp									
46. Marketfield Fund					Buy	03/08/11	J		
47. Marketfield Fund					Sold	12/22/11	J	A	
48. Rivernorth Core Opp. Fund					Buy	03/08/11	J		
49. FMI Large Cap					Buy	07/22/11	J		
50. Nuveen Tradewinds Global					Buy	07/22/11	J		
51. Nuveen Tradewinds Global					Buy	12/22/11	J		

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52. Aberdeen Emerging Markets					Buy	10/12/11	J		
53. Aston/River Road Ind.					Buy	11/02/11	J		
54. Loomis Sayles Small Cap					Buy	11/02/11	J		
55. Touchstone Small Cap Core					Buy	11/02/11	J		
56. Walt Disney					Donated (part)	12/28/11	J		
57. Center Coast MLP Focus					Buy	12/06/11	J		
58. Aberdeen Emerging					Buy	12/22/11	J		
59. IRA ROLLOVER	E	Dividend	P1	T					
60. Allianz AGIC Opp					Sold	11/01/11	L	A	
61. Artisan Intl. Fund					Sold	11/10/11	J	A	
62. Dodge & Cox Intl. Stock Fund					Sold	11/10/11	J	A	
63. ICM Small Company Portfolio					Sold	11/01/11	L	C	
64. Merger Fund					Sold	03/07/11	L	C	
65. JP Morgan Mid Cap					Sold	11/10/11	K	C	
66. MS Inst. Mid Cap Growth					Sold	11/10/11	K	D	
67. Oakmark Intl. Small Cap					Buy	11/10/11	J		
68. Permanent Portfolio					Sold	11/10/11	K	D	

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69. Selected American Shares					Sold	07/19/11	M	E	
70. Schwab Money Fund									
71. Black Rock Equity Div					Buy	11/10/11	K		
72. Fidelity Contra Fund					Sold	11/10/11	J	D	
73. Forward Tactical Growth					Sold	03/07/11	L	C	
74. JP Morgan Strategic Income					Sold	11/01/11	L	A	
75. RS Emerging Mkts					Sold	10/11/11	L	A	
76. UBS E-Tracs Alerian MLP					Buy	11/10/11	J		
77. Marketfield Fund					Buy	03/08/11	L		
78. Marketfield Fund					Sold	11/10/11	J	A	
79. RiverNorth Core Opp Fund					Buy	03/08/11	L		
80. RiverNorth Core Opp. Fund					Buy	11/10/11	J		
81. EMI Large Cap					Buy	07/20/11	M		
82. EMI Large Cap					Sold	11/10/11	J	A	
83. Aston/River Road Ind. Value					Buy	11/02/11	L		
84. Loomis Sayles Small Cap Growth					Buy	11/02/11	L		
85. Touchstone Small Cap					Buy	11/02/11	L		

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86. Aberdeen Emerging Mkts					Buy	10/12/11	L		
87. Aberdeen Emerging Mkts					Buy	11/10/11	K		
88. Nuveen Tradewinds Global					Buy	07/20/11	K		
89. Nuveen Tradewinds Global					Buy	11/10/11	K		
90. TRUST NO. TWO	E	Interest	O	T					
91. Oppenheimer M/M									
92. Whittier CA Bon									
93. Calif. St. G/O Bond 2035									
94. Contra Costa LA Bond									
95. Upland CA Bond									
96. Cal EDL Fac 5.25% 4/1/24									
97. Calif. St G/O 2033									
98. CA EDL Fac 5% 10/1/2036									
99. Torrance CA Bond									
100. Capistrano CA Bond									
101. South Tahoe									
102. Bonita Canyon CA Bond									

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103. Cerritos CA Bond									
104. Willis CA Bond									
105. Cupertino CA Bond									
106. Montclair CA Bond									
107. Calif. Stwde 5.75% (State Water Dev.)									
108. Calif. HFA 5.375%									
109. Bell Gardens 5%									
110. TRUST NO. 6	B	Interest	L	T					
111. TIAA-CREF	D	Interest	N	T					
112. Israel Bonds	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Howard A. Matz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544