

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SQUATRITO, DOMINIC J.	2. Court or Organization US DISTRICT COURT HARTFORD, CT	3. Date of Report 06/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTR. JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address US DISTRICT COURT 450 MAIN STREET HARTFORD, CT 06103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016 _____) wages and pass through

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	People's United Bank	Mortgage and equipment notes, and revolving credit line for [REDACTED]	P2
2.	Connecticut Innovations, Inc.	Mortgage and equipment notes for [REDACTED], [REDACTED]	P1
3.	The State of Connecticut Department of Community and Economic Development (DECD)	Equipment and leasehold improvement note for [REDACTED] [REDACTED]	P1
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Webster Bank - checking account	A	Interest	K	T					
2. Fidelity contra fund - mutual fund	A	Dividend	J	T					
3. [REDACTED] South Windsor, CT	G	Rent			Merged (with line 4)	12/31/16	P2	H1	[REDACTED]
4. [REDACTED] - common stock	A	Int./Div.	P2	Q					
5. Land, Windham, CT		None	K	W					
6. Coventry, CT Real Estate		None	J	W					
7. National Financial Services	B	Dividend			Sold	10/07/16	L	A	
8. People's United Bank - checking account	A	Interest	L	T					
9. Lincoln Financial - checking	A	Interest			Closed	03/01/16	L		
10. John Hancock Lifestyle Conservative Fund (401(k))	A	Interest			Sold	06/01/16	L	A	
11. Hines Global REIT	D	Int./Div.	L	T	Buy (add'l)	01/05/16	J		
12. Wilmington Trust Money Market	A	Interest	M	T					
13. Abbott Laboratories - common stock	A	Dividend	K	T					
14. Abbvie Inc. - common stock	A	Dividend	K	T					
15. Apple Inc. - common stock	B	Dividend	L	T					
16. Chevron Corp. - common stock	B	Dividend	K	T					
17. Citigroup Inc. - common stock	A	Dividend			Sold	05/11/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Coca Cola Co. - common stock	A	Dividend	K	T					
19. Cummins Inc. - common stock	A	Dividend	J	T					
20. Danaher Corp. - common stock	A	Dividend	K	T					
21. Digital Airlines, Inc. - common stock	A	Dividend	K	T					
22. Walt Disney Co. - common stock	A	Dividend	K	T					
23. Ecolab Inc. - common stock	A	Dividend	K	T					
24. EMC Corp. - common stock	A	Dividend			Sold	09/07/16	K	D	
25. ExxonMobil Corp. - common stock	A	Dividend	K	T					
26. Gallagher Arthur J & Co. - common stock		None			Sold	02/19/16	J	A	
27. Honeywell Int'l Inc. - common stock	A	Dividend	K	T					
28. International Business Machines Corp. - common stock	A	Dividend	K	T					
29. Illinois Tool Sks Inc. - common stock	A	Dividend	K	T					
30. ITC Holdings Corp. - common stock	A	Dividend			Sold	10/17/16	K	E	
31. JP Morgan Chase - common stock	A	Dividend	K	T					
32. Kimberly Clark Corp. - common stock	A	Dividend	J	T					
33. Kroger Company - common stock	A	Dividend	J	T					
34. Merck & Company - common stock	A	Dividend	J	T	Sold (part)	05/11/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Nextera Energy Inc. - common stock	B	Dividend	K	T					
36. Occidental Petroleum Corp. - common stock	A	Dividend			Sold	05/11/16	J	A	
37. Omnicom Group, Inc. - common stock	A	Dividend	K	T					
38. Paychex Inc. - common stock	A	Dividend	K	T					
39. Pepsico Inc. - common stock	A	Dividend	K	T					
40. Pfizer Inc. - common stock	A	Dividend	J	T					
41. PNC Financial Services Group Inc. - common stock	A	Dividend	K	T					
42. Praxair Inc. - common stock	A	Dividend	J	T					
43. Procter & Gamble Co. - common stock	A	Dividend	K	T					
44. Qualcomm Inc. - common stock	A	Dividend			Sold	05/11/16	J	A	
45. Southern Co. - common stock	A	Dividend	K	T					
46. Sysco Corp. - common stock	A	Dividend	K	T					
47. Target Corp. - common stock	A	Dividend	K	T					
48. Union Pacific Corp. - common stock	A	Dividend	K	T					
49. United Technologies Corp. - common stock	A	Dividend	K	T					
50. Wells Fargo & Co. - common stock	A	Dividend	K	T					
51. The Williams Company, Inc. - common stock	A	Dividend			Sold	05/11/16	J	A	

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52. Citizens PPTY Ins - FL bond	B	Interest	K	T					
53. Colorado State Dept. of Transportation	B	Interest			Sold	12/15/16	K	A	
54. Johnson County Kansas Sch Dist	A	Interest			Sold	09/01/16	K	A	
55. Phoenix Arizona Civic Impt Corp	B	Interest	K	T					
56. Cumberland Cnty North Carolina	B	Interest	K	T					
57. Georgia State General Obligation Bond	B	Interest	K	T					
58. Philadelphia Pennsylvania Str & Wst	B	Interest	K	T					
59. Michigan State Fin Auth Revenue	B	Interest	K	T					
60. Michigan State Fin auth Revenue	A	Interest	K	T					
61. Ohio State Univ.	B	Interest	K	T					
62. Keller TX Indpnt Sch	A	Interest	K	T					
63. Indiana State Fin auth Rev	A	Interest	K	T					
64. Charleston South Carolina Wtrwks	A	Interest	K	T					
65. Pasadena Texas School District Revenue Bond	A	Interest	K	T					
66. Missouri State Hlth & Educational Fac	B	Interest	K	T					
67. Pflugerville Texas Indep Sch Dist	A	Interest	K	T					
68. Deutsch CMO - bond	A	Interest	J	T	Sold (part)	12/15/16	J	A	

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69. Ishares Cohen & Steers REITS ETF	B	Int./Div.	K	T					
70. District of Columbia General Obligation Bond	A	Interest	K	T					
71. Biogen, Inc. - common stock		None			Sold	11/07/16	J	A	
72. Stryker Corp. - common stock	A	Dividend	K	T					
73. Austin, TX General Obligation Bond	A	Interest	K	T					
74. Fort Bend Cnty TX General Obligation Bond	A	Interest	K	T					
75. Rhode Island St Hlth	B	Interest	K	T					
76. Dripping Springs TX Indep Sch School District Revenue Bond	A	Interest	K	T					
77. Wilmington Large-Cap Strategy Fund	B	Int./Div.	L	T					
78. Gwinnett Cnty Georgia Sch Dist	A	Interest			Sold	10/01/16	K	A	
79. Islip New York Free Sch Dist	A	Interest	K	T					
80. Ishares Core MSCI Emerging Markets	B	Int./Div.	K	T	Sold (part)	11/07/16	K	A	
81. Ishares TIPS Bond ETF	A	Int./Div.	K	T					
82. Colorado St Dept Trn bond		None			Buy	09/01/16	J		
83. Colorado St Dept Trn bond		None			Sold	12/15/16	J	A	
84. Fortive Corp (spinoff of Danaher)	A	Dividend			Sold	09/21/16	J	D	
85. Fortis Inc.	A	Dividend	K	T	Buy	10/14/16	K		

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86. Vanguard FTSE Developed market ETF	A	Int./Div.	K	T	Buy	11/07/16	K		
87. Vanguard Small-Cap ETF	B	Int./Div.	L	T	Buy	09/12/16	L		
88. Spdr DJ Intl Real Estate ETF	A	Int./Div.	J	T	Buy	05/11/16	J		
89. Alvin TX Indpt Sch 5% bond	A	Interest	K	T	Buy	02/18/16	K		
90. San Antonio TX Wtr 5% bond	A	Interest	K	T	Buy	02/03/16	K		
91. Maricopa Cnty AZ bond		None	K	T	Buy	10/13/16	K		
92. Salt River AZ bond		None	K	T	Buy	12/01/16	K		
93. Bristol Myers Squibb common stock		None	J	T	Buy	11/07/16	J		
94. Dell common stock (x)		None	J	T	Sold (part)	09/16/16	J	A	
95. GE Capital Assurance Universal Life (x)		None	J	T					
96. Amer Fund Target date 2010 fund	B	Int./Div.	L	T	Buy	06/01/16	L		
97. South Kingston, RI rental property	E	Rent	N	R					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

[REDACTED]
[REDACTED]
[REDACTED]

One of the Vacation Properties [REDACTED] I was rented out during 2016. The original cost was \$450,000 and the acquisition date was 7.3.2001
The Appraisal date of [REDACTED] - was 7.31.2016

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DOMINIC J. SQUATRITO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544