

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 12/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 225 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Washburn University (Administration)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BJD Somers Family LLC	F	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company	B	Interest	K	T					
3. C.M. Life Insurance Company	A	Interest	J	T					
4. C.M. Life Insurance Company	A	Interest	J	T					
5. Fidelity Management Trust Company - IRA Account	F	Int./Div.	P1	T					See Note in Part VIII
6. -Harbor Bond Fund					Sold	05/22/13	J	A	
7. -Claymore Securities Defined Portfolios									
8. -Vanguard GNMA Fund									
9. -Fidelity Cash Reserves									
10. -Fidelity Contrafund					Sold	07/23/13	K	D	
11. -Templeton Global Bond Fund									
12. -Fidelity Focused High Inc					Sold (part)	01/15/13	J	B	
13. -Fidelity Focused High Inc					Sold	04/01/13	M	E	
14. -Lazard Emerging Mkt					Sold	04/04/13	K	C	
15. -Royce Premier Fund					Sold (part)	01/15/13	K	B	
16. -Royce Premier Fund					Sold	04/15/13	K	B	
17. -SPDR Gold Tr Gold					Sold	04/15/13	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fidelity GNMA Bond									
19. -Harbor Bond Adm									
20. -Eaton Vance Atlanta Cap Smid					Sold (part)	11/21/13	K	D	
21. -Wells Fargo Advantage Growth					Sold (part)	04/09/13	K	D	
22. -Ishares S&P Midcap 400 Growth					Sold (part)	11/21/13	K	D	
23. -Ishares Core S&P Midcap ETF					Buy	05/24/13	K		
24. -Ishares Core S&P Midcap ETF					Buy (add'l)	06/07/13	K		
25. -FMI Large Cap Fund									
26. -TCW Core Fixed Income					Sold (part)	10/31/13	J	A	
27. -TCW Core Fixed Income					Buy (add'l)	11/01/13	J		
28. -Doubleline Total Rt Bond Fd									
29. -Bbh Core Select Retail Class									
30. -Metropolitan West Total Return					Buy (add'l)	01/15/13	K		
31. -PIMCO Fundamental Index					Sold (part)	06/26/13	J	B	
32. -Fidelity New Markets Inc									
33. -Fidelity High Income					Buy	04/01/13	L		
34. -Eaton Vance Floating Rate					Buy	04/02/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Oakmark Intl Fund					Buy	04/09/13	K		
36. -Wasatch Intl Growth					Buy	06/03/13	K		
37. -American Century Value					Buy	07/23/13	K		
38. -Aberdeen Emerging Mkts					Buy	11/21/13	K		
39. Fidelity Management Trust Company - IRA Account	B	Dividend	L	T					See Note in part VIII
40. -Fidelity Cash Reserves									
41. -Harbor Bond									
42. -Metropolitan West Total Return					Buy	01/15/13	K		
43. Fidelity Management Trust Company - Non IRA Acct									See Note in part VIII
44. -Johnson County Kansas Un Sch Dist No 233 4.0% 9/1/16	A	Interest	K	T					
45. -Overland Park KS Go Intl Impt Bd 9/1/20 4%	A	Interest	K	T					
46. -Fidelity Tax Exempt Money Market	A	Dividend	J	T					
47. -Fidelity Advisor New Insights		None			Sold	07/23/13	L	E	
48. -Royce Premier Fund		None			Sold	04/15/13	K	C	
49. -SPDR Gold Tr Gold		None			Sold	04/15/13	K	D	
50. -Lenexa Kans Go Ref Bds Ser. 20 9/1/18 3.25%	A	Interest	K	T					
51. -Templeton Global Bond	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Wasatch International Growth		None	L	T					
53. -Eaton Vance Floating Rate	B	Dividend	L	T	Buy	05/22/13	L		
54. -American Century Value	A	Dividend	J	T	Buy	07/23/13	J		
55. -Ishares Russell 1000 Value	A	Dividend	L	T	Buy	07/23/13	L		
56. Fidelity Management Trust Company - Non IRA Acct									See Note in part VIII
57. -Fidelity Tax Exempt Money Market	A	Dividend	J	T					
58. -Eaton Vance Atlanta Cap Smid		None	J	T					
59. -Wells Fargo Advantage Growth		None			Sold	04/09/13	J	A	
60. -Ishares S&P Midcap 400 Growth	A	Dividend	J	T					
61. -Doublin Total Return Bond Fund	A	Dividend	J	T					
62. ING - State of KS Def Comp Plan	B	Int./Div.	K	T					See Note in part VIII
63. -Vanguard T. Retirement 2025									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII -

- A. Item #1 BJD Somers Family LLC - I am a member. I am not an officer or board member and I have no managerial responsibilities.
- B. Items listed in #6 through #38 are all assets of Fidelity Management Trust Company IRA account identified in #5 above.
- C. Items listed in #40 through #42 are all assets of Fidelity Management Trust Company IRA account identified in #39 above.
- D. Items listed in #44 through #55 are all assets of Fidelity Management Trust Company non-IRA account identified in #43 above.
- E. Items listed in #57 through #61 are all assets of Fidelity Management Trust Company non-IRA account identified in #56 above.
- F. Item #63 is the asset of ING-State of Kansas Deferred Compensation Plan identified in #62 above.
- G. Item #6 on the 2012 report (U.S. Treasury Inflation Protection Notes) indicated a partial sale, it should have been indicated as a sale, the transaction codes would not have changed on the prior report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dale L. Somers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544