

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Friot, Stephen P.	2. Court or Organization District Court - W.D. of Okla.	3. Date of Report 04/22/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 200 N.W. 4th St., Room 3102 Oklahoma City, OK 73102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Troop Committee, Troop 4, Last Frontier Council, Boy Scouts of America
2. Past President, Director and Member of the Executive Committee	Last Frontier Council, Inc., Boy Scouts of America
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MIDFIRST BANK	A	Interest	K	T					
2. CAN IMP BANK OF COMMERCE	A	Interest	L	T					
3. CHARLES SCHWAB (CASH ACCOUNT)	A	Interest			Closed	01/12/18	K		
4. T-C S&P 500 IND-RTMT		None	M	T					
5. TIAA RETIREMENT VARIABLE ANNUITY (H)									
6. CREF STOCK R1		None	M	T					
7. TIAA SUPPLEMENTAL RET VARIABLE ANNUITY (H)									
8. TIAA TRADITIONAL (CASH)	A	Interest	K	T					
9. CREF STOCK R1		None	L	T					
10. CREF MONEY MARKET R1	A	Interest	J	T					
11. IRA #1 (H)									
12. TIAA-CREF TRUST CO CASH	A	Interest	J	T	Distributed (part)	02/15/18	L		
13. TIAA-CREF INFL LINKED BD FD (THLX)	A	Dividend			Sold	04/27/18	K		
14. ISHARES BARCLAYS TIPS BOND ETF (TIP)	A	Dividend	J	T	Sold (part)	04/27/18	K		
15. TIAA-CREF HIGH YIELD FUND (THYX)	C	Dividend	K	T	Sold (part)	04/27/18	K		
16. FEDERATED TOTAL RETURN (FTRBX)	B	Dividend	L	T	Buy (add'l)	01/02/18	J		
17.					Buy (add'l)	04/27/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. PIMCO EMERGING LOCAL BOND (PEL BX)	A	Dividend	J	T	Sold (part)	04/27/18	K		
19.					Sold (part)	12/11/18	J		
20. FIDELITY ADV EMERGING MARKETS INC 1 (FMKIX)	A	Dividend			Sold (part)	04/27/18	J		
21.					Sold	12/07/18	J		
22. VANGUARD TOTAL BOND MARKET INDEX (VBT LX)	B	Dividend	L	T					
23. MAINSTAY HIGH YLD CORP BND - 1 (MHYIX)	A	Dividend			Sold	04/27/18	J		
24. DFA INFLATION PROTECTED SEC FUND (DIPSX)	A	Dividend	J	T	Sold (part)	04/27/18	K		
25. DELAWARE DIVERSIFIED INCOME (DPFFX)	C	Dividend	L	T	Buy (add'l)	02/01/18	J		
26.					Buy (add'l)	04/27/18	L		
27. VANGUARD SHORT TERM BOND INDEX (VBIRX)	B	Dividend	K	T	Buy (add'l)	01/02/18	J		
28.					Sold (part)	04/27/18	K		
29. FIDELITY NEW MARKETS INC (FGZMX)		None	J	T	Buy	12/07/18	J		
30. VANGUARD SMALL CAP INDEX (VSMAX)	A	Dividend	K	T					
31. ISHARES RUSSELL 2000 VALUE ETF (IWN)	A	Dividend	K	T					
32. ISHARES RUSSELL MIDCAP GRWTH ETF (IWP)		None	L	T	Buy	04/27/18	L		
33. COHEN & STEERS REAL ESTATE SEC 1 (CSDIX)	A	Dividend			Buy	02/01/18	J		
34.					Buy (add'l)	02/02/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold	04/17/18	K		
36. DIAMOND HILL LONG/SHORT (DHLXS)		None			Sold	04/13/18	L		
37. ISHARES RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	K	T					
38. VANGUARD GROWTH INDEX FUND (VIGAX)	C	Dividend	M	T	Sold (part)	01/30/18	J		
39.					Buy (add'l)	04/27/18	L		
40. VANGUARD MID CAP VALUE INDEX (VOE)	B	Dividend	K	T					
41. VANGUARD REIT ETF (VNQ)	A	Dividend			Sold	04/27/18	K		
42. VANGUARD VALUE INDEX FUND (VVIAX)	D	Dividend	M	T	Sold (part)	02/09/18	K		
43.					Buy (add'l)	05/01/18	K		
44. VOYA REAL ESTATE I (CRARX)	A	Dividend			Sold	02/01/18	J		
45. ARTISAN MIDCAP VALUE (APDQX)	D	Dividend	K	T					
46. VANGUARD MID CAP INDEX FUND (VIMAX)		None			Sold	04/27/18	L		
47. HARBOR INTERNATIONAL (HAINX)		None			Sold	01/31/18	L		
48. DFA EMERGING MARKETS VALUE (DFEVX)	B	Dividend	L	T	Buy (add'l)	04/27/18	L		
49.					Sold (part)	07/24/18	J		
50. AMERICAN EUROPACIFIC GROWTH FD (AEPFX)	C	Dividend			Buy (add'l)	04/27/18	L		
51.					Sold	08/21/18	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. AMER FUNDS EUROPAC GROW F3 (FEUPX)	C	Dividend	M	T	Buy	08/21/18	M		
53.					Buy (add'l)	10/02/18	J		
54.					Buy (add'l)	10/11/18	J		
55.					Buy (add'l)	11/13/18	J		
56.					Buy (add'l)	12/26/18	J		
57. AQR MANAGED FUTURES STR 1 (AQMIX)		None			Sold	04/12/18	K		
58. DFA INT'L SMALL CAP VALUE (DISVX)	A	Dividend	J	T	Sold (part)	04/27/18	K		
59. TIAA-CREF INTL EQUITY FD I (THIEX)	D	Dividend	M	T	Buy	01/30/18	L		
60.					Buy (add'l)	04/27/18	K		
61.					Buy (add'l)	04/30/18	J		
62.					Buy (add'l)	06/04/18	J		
63.					Buy (add'l)	06/19/18	J		
64.					Buy (add'l)	06/26/18	J		
65.					Buy (add'l)	09/05/18	J		
66.					Buy (add'l)	11/26/18	J		
67.					Buy (add'l)	12/11/18	J		
68. TRUST #1 (H)									

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69. TIAA-CREF TRUST CO CASH (X) (Y)									
70. NUVEEN INTER DUR MUNI BOND I (NUVBX)	A	Dividend	J	T	Buy	11/21/18	J		
71. VANGUARD INTERMEDIATE TERM TAX-EXEMPT FUND (VWUIX)	A	Dividend			Buy (add'l)	06/05/18	K		
72.					Sold (part)	08/30/18	J		
73.					Sold	11/21/18	K		
74. VANGUARD HIGH-YIELD TAX-EXEMPT FUND (VWALX)	A	Dividend			Sold	06/05/18	J		
75. WELLS FARGO ULTR SHORT TERM MUNICIPAL INCOME FUND (SMAIX)	A	Dividend			Sold	06/05/18	J		
76. WELLS FARGO MUNICIPAL BOND FD (WMBRX)	A	Dividend	J	T	Buy	11/21/18	J		
77. FIDELITY ADVISOR EMERGING MARKETS INCOME FUND (FMKIX)	A	Dividend			Sold	06/05/18	J		
78. VANGUARD SMALL-CAP ETF (VB)	A	Dividend	J	T					
79. WASATCH SMALL-CAP GROWTH FUND (WIAEX)	A	Distribution			Sold	12/27/18	J		
80. ISHARES RUSSELL 2000 VALUE ETF (IWN)	A	Dividend			Sold	08/30/18	J		
81. VANGUARD GROWTH ETF (VUG)	A	Dividend	J	T	Buy (add'l)	06/04/18	J		
82.					Sold (part)	08/30/18	J		
83. VANGUARD VALUE ETF (VTV)	A	Dividend	J	T	Sold (part)	08/30/18	J		
84. VANGUARD IND FD MID-CAP (VO)	A	Dividend	J	T					
85. VANGUARD MID-CAP VALUE INDEX (VOE)	A	Dividend	J	T	Sold (part)	08/30/18	J		

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86.					Sold (part)	12/27/18	J		
87. ISHARES CORE MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Buy	08/16/18	K		
88.					Sold (part)	08/30/18	J		
89.					Sold (part)	12/27/18	J		
90. AQR MANAGED FUTURES STR-I (AQMIX)		None			Sold	06/05/18	J		
91. ISHARES CORE MSCI EMERGING (IEMG)		None	J	T	Buy	12/24/18	J		
92. ISHARES MSCI EAFE SMALL CAP ETF (SCZ)	A	Dividend	J	T	Sold (part)	06/04/18	J		
93. VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	A	Dividend	J	T	Buy (add'l)	06/04/18	J		
94.					Sold (part)	08/16/18	K		
95.					Buy (add'l)	12/27/18	J		
96. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend			Sold (part)	08/30/18	J		
97.					Sold	12/24/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen P. Friot

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544