

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Parsons, Marcia P.	2. Court or Organization USBC Eastern District of Tennessee	3. Date of Report 05/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 200 West Depot Street Suite 321 Greeneville, Tennessee 37743		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Walters State Community College--teaching
2. 2016	Wolters Kluwer Law & Business Publishers--book royalties
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/25 to 10/29	San Francisco, CA	Meeting	Transportation, meals, room
2. National Conference of Bankruptcy Judges	12/11 to 12/12	Washington, D.C.	Meeting	Transportation, meals, room
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SoFi Lending Corp.	Guaranty of [REDACTED] student loan obligation	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital Bank Checking	A	Interest	L	T					
2. TN Energy Acqu. Rev. Bond	B	Interest	K	T					
3. U.S. Savings Bonds	A	Int./Div.	M	T	Redeemed (part)	09/14/16	J	A	
4. IRA #2 (UBS Cus: Fin'ing Corp CPN Zero Coupon bonds)	A	Interest	J	T					
5. IRA #2 (UBS Cus: Fin'ing Corp Fed CPN Zero Coupon bonds)	A	Interest	J	T					
6. IRA #2 (UBS Cus: Fed Hom Ln Mtg Corp Zero Coupon bonds)	A	Interest	K	T	Redeemed (part)	09/15/16	J	A	
7. IRA #2 (UBS Cus: Fed Natl Mtg Ass Med Term Zeros)	A	Interest	K	T					
8. IRA #2 (UBS Cus: Bank USA Deposit Account)	A	Interest	J	T					
9. IRA #1 (UBS Cus: Fin'ing Corp CPN FICO Zero Coupon Bonds)	A	Interest	K	T					
10. IRA #1 (UBS Cus: Fin'ing Corp Fed CPN Zero Coupon Bonds)	A	Interest	L	T					
11. IRA #1 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest	K	T					
12. IRA #1 (UBS Cus: Fin'ing Corp Strip Zero Coupon Bonds)	A	Interest	K	T					
13. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Med Trm Zero Coup Bonds)	A	Interest	J	T					
14. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Zero Coupon Bonds)	A	Interest	L	T					
15. IRA #1 (UBS Cus: Resol Funding Corp Zero Coupon Bonds)	A	Interest	J	T					
16. IRA #1 (UBS Cus: TN Vally Zero Coupon Bonds)	A	Interest	K	T					
17. IRA #1 (UBS Cus: Bank USA Deposit Account)	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. IRA #1 (UBS Cus: Jackson National Annuity)	A	Int./Div.	L	T					
19. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	J	T					
20. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	K	T					
21. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	J	T					
22. IRA #1 (UBS Cus: Ohio Nat'l Annuity)	A	Interest	L	T					
23. Hartford Fixed Annuity	A	Distribution	L	T					
24. Great West IRA (100% in Secure Foundation Balanced L account)	A	Distribution	M	T					
25. New York Life Fixed Annuity	B	Distribution	L	T					
26. TIAA-CREF Retirement Annuity (ASL)	B	Distribution	N	T					
27. UBS Bank USA Deposit Account	A	Interest	K	T					
28. Capital One 360 Checking Account	A	Interest	L	T	Redeemed (part)	09/15/16	K		
29. AMEX Personal Savings Account	A	Interest	L	T	Redeemed (part)	09/15/16	L		
30. VOYA Variable Annuity #1 Intermediate Bond Portfolio	A	Interest	K	T					
31. VOYA Variable Annuity #1 Growth & Income Portfolio	A	Interest	K	T					
32. VOYA Variable Annuity #1 Large Cap Growth Portfolio	A	Interest	K	T					
33. Voya Variable Annuity #2 Intermediate Bond Portfolio	A	Interest	K	T					
34. Voya Variable Annuity #2 Growth & Income Portfolio	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Voya Variable Annuity #2 Large Cap Growth Portfolio	A	Interest	K	T					
36. Voya Variable Annuity #3 Intermediate Bond Portfolio	A	Interest	J	T					
37. Voya Variable Annuity #3 Growth & Income Portfolio	A	Interest	K	T					
38. Voya Variable Annuity #3 Large Cap Growth Portfolio	A	Interest	K	T					
39. Ally Bank Savings Account	A	Interest	M	T	Buy	09/20/16	M		
40. Ally Bank CD	A	Interest	K	T	Buy	11/01/16	K		
41.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Line 6 of Part VII of this Report, the asset previously described as, "IRA #2 (UBS Cus: Fed Home Ln Ntg Corp Zero Coupon bonds)" is now described as "IRA #2 (UBS Cus: Fed Home Ln Mtg Corp Zero Coupon bonds)" in order to correct a typo in the previous description ("Ntg" should have been "Mtg")

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marcia P. Parsons**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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