

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Roberts, John G.	2. Court or Organization Supreme Court of the U.S.	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Justice	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address One First Street, NE Washington, DC 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 09/17/2013	New England School of Law	\$20,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Major, Lindsey & Africa, LLC -- Attorney Search Consultants -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New England School of Law	06/30-07/11/2013	Prague, The Czech Republic	Taught course on The U.S. Supreme Court - Historical Perspective	Air transportation, meals, and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Time Warner	C	Dividend	N	T					
2. Hewlett-Packard (Common)	A	Dividend	K	T					
3. Hillenbrand (Common)	A	Dividend	J	T					
4. Hill-Rom Holdings, Inc.	A	Dividend	J	T					
5. Microsoft (Common)	D	Dividend	N	T					
6. Nokia (Common)		None	J	T					
7. Lam Research, See Part VIII		None	K	T					
8. Texas Instruments (Common)	C	Dividend	M	T					
9. TMO (Common)	A	Dividend	M	T					
10. Sirius XM Radio (Common)		None	M	T					
11. Washington REIT	A	Dividend			Sold	06/21/13	J	B	
12. Am Cent Gr Fund	C	Dividend	K	T					
13. Fidelity Select Energy Fund		None			Sold	06/21/13	K	E	
14. Putnam Multi-Cap Growth Fund		None	J	T					
15. Putnam Voyager Fund		None	J	T					
16. Vanguard Int'l Gr Fund	B	Dividend	L	T					
17. Vanguard Sm Cap Index Fund	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. C. Schwab Money Mkt Fund (Y)									
19. C. Schwab Muni M. Fund SWXXX	A	Dividend	M	T					
20. Wells Fargo bank accounts	A	Interest	N	T					
21. Capital One, Inc. bank account	A	Interest	P1	T					
22. 1/8 int. cottage, Knocklong, Co. Limerk., IRE, See Part VIII	A	Rent	K	W					
23. Utah Educ. Svgs Plan Equity - 10% Int'l, See Part VIII	D	Dividend	N	T					
24. Utah Educ. Svgs Plan Age-Based Moderate, 13-15	B	Dividend	M	T	Buy	01/31/13	K		
25.					Buy (add'l)	03/12/13	K		
26.					Buy (add'l)	05/20/13	K		
27.					Buy (add'l)	09/13/13	K		
28.					Buy (add'l)	10/24/13	K		
29.					Buy (add'l)	11/27/13	K		
30. Allegis Group Ret. Plan	A	Dividend	L	T					
31. Time Warner Cable	C	Dividend	M	T					
32. AOL		None	K	T					
33. BlackRock International Opportunities BISIX		None			Sold (part)	06/21/13	J	A	
34.					Sold	12/03/13	N	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Eaton Vance Income Fund of Boston EIBIX	C	Dividend	L	T	Sold (part)	06/21/13	K	A	
36. Eaton Vance Global Macro Absolute Return EIGMX	D	Dividend	M	T	Buy (add'l)	06/21/13	J		
37.					Buy (add'l)	06/24/13	J		
38. First Eagle Global SGIIX	D	Dividend	M	T	Sold (part)	06/21/13	J	A	
39.					Buy (add'l)	06/24/13	J		
40. Gabelli Equity Income GCIEX	D	Dividend	N	T	Sold (part)	06/21/13	K	B	
41.					Buy (add'l)	06/25/13	J		
42. Gabelli Small Cap Growth GACIX	C	Dividend	M	T	Sold (part)	06/21/13	K	C	
43.					Buy (add'l)	06/25/13	J		
44. Hussman Strategic Growth	B	Dividend			Buy (add'l)	06/21/13	J		
45.					Buy (add'l)	06/24/13	J		
46.					Sold	12/03/13	M		
47. MFS Bond MBDIX	D	Dividend	M	T	Sold (part)	06/21/13	L		
48.					Buy (add'l)	06/24/13	J		
49.					Buy (add'l)	06/25/13	J		
50. Virtus Emerging Markets Opportunities HIEMX	B	Dividend	M	T	Buy (add'l)	06/21/13	K		
51.					Buy (add'l)	06/24/13	K		

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52.					Buy (add'l)	06/25/13	J		
53. PIMCO Low Duration INST PTLDX	B	Dividend	M	T	Buy (add'l)	06/21/13	J		
54.					Buy (add'l)	06/24/13	J		
55. PIMCO Emerging Markets Bond INST PEBIX	D	Dividend	L	T	Sold (part)	06/21/13	K		
56.					Buy (add'l)	06/25/13	J		
57. PIMCO Real Return INST PRRIX	B	Dividend	L	T	Sold (part)	06/21/13	K		
58.					Buy (add'l)	06/24/13	J		
59.					Buy (add'l)	06/25/13	J		
60. RS Global Natural Resources RSNYX	C	Dividend	L	T	Buy (add'l)	06/21/13	J		
61.					Buy (add'l)	06/24/13	J		
62.					Buy (add'l)	06/25/13	J		
63. T. Rowe Price Real Estate TRREX	B	Dividend	L	T	Buy (add'l)	06/21/13	J		
64. Royce Value Fund RVVHX, See Part VIII	E	Dividend	N	T	Sold (part)	06/21/13	J	A	
65.					Buy (add'l)	06/21/13	J		
66.					Buy (add'l)	06/24/13	J		
67.					Buy (add'l)	06/25/13	J		
68. T. Rowe Price Blue Chip Growth TRBCX		None	M	T	Sold (part)	06/21/13	J	A	

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69.					Buy (add'l)	06/21/13	J		
70.					Buy (add'l)	06/25/13	J		
71. Wells Fargo Inc. Adv. Treas. Plus Mon. Mkt. Fund WTPXX	A	Int./Div.	K	T	Sold (part)	01/11/13	J		
72.					Sold (part)	04/12/13	J		
73.					Sold (part)	04/15/13	J		
74.					Buy (add'l)	06/21/13	K		
75.					Sold (part)	07/12/13	J		
76.					Sold (part)	10/11/13	J		
77.					Buy (add'l)	12/04/13	J		
78. Wells Fargo Absolute Ret. Fund	B	Dividend	M	T	Buy	12/04/13	M		
79.					Buy (add'l)	12/09/13	K		
80. AQR FDS Mgd. Futures Strategy	A	Dividend	L	T	Buy	06/21/13	L		
81. Dodge & Cox FDS Int'l Stk Fd DODFX	C	Dividend	N	T	Buy	12/04/13	N		
82. BlackRock Emerging Mkt. L/S BLSIX	A	Dividend	K	T	Buy	06/21/13	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#7. Lam Research, line #10 in 2012, column D1 should have reflected a partial sale.

#23. Name in 2012 was Equities - 10% Total Int'l.

#22. Total rental income \$5,757 -- $1/8\text{th} = \$719.00$.

#124. Proper name is Royce Value Fund; RVVHX is Investment Class.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John G. Roberts**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544