

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Neill, Lawrence J.	2. Court or Organization Eastern District of California	3. Date of Report 03/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Board of Director	Federal Bar Association
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	State of California Judges Retirement Plan (pension commences at age 63)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Fin Inc (Schwab acct)	C	Interest	L	T					
2. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T					
3. RS Global Natural Resources Fund (Schwab) IRA	B	Interest	K	T					
4. Kensington Strategic Realty Fund (Schwab) IRA	A	Distribution	J	T					
5. Dodge and Cox Stock Fund (Schwab)	C	Dividend	L	T					
6. Artisan Intl Small Cap Fund (Schwab)	B	Interest			Sold	02/10/12	K		
7. American Fund New World (Schwab)(IRA)	A	Interest	J	T					
8. Schwab US Treasury Money fund (IRA)	A	Interest	K	T					
9. Wells Fargo Bank Trust #1	A	Interest	J	T					
10. RS Global Natural (Schwab) IRA	A	Interest	J	T					
11. First Eagle Overseas (Schwab)	A	Interest	J	T					
12. American Funds New World (Schwab) IRA	A	Dividend	J	T					
13. Kensington Select Income (Schwab) IRA	A	Dividend	K	T					
14. Clovis Community Bank	A	Interest	J	T					
15. Argonaut Corp, Livermore, California 1985 \$84,200 Trust #1	D	Distribution	M	T					
16. Columbia Housing IX Ltd prtner 1988 \$25,767 (trust #1)	B	Distribution			Sold	09/30/12	J		
17. Wells Fargo Bank, acct #2	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Desert Funding, Inc. Mortgage Note (Trust #1)	D	Interest	M	T					
19. Macwest Riverside Housing Inv, LLC		None	M	U					
20. California State University Revenue Muni Bond	A	Interest	K	T					
21. Oregon Go Elderly/Disabled muni bond	A	Interest	J	T					
22. Puerto Rico Electric Power Muni bond	A	Interest	K	T					
23. San Francisco Intl Airport muni Bond	A	Interest	J	T					
24. St. Louis MO Airport muni bond	A	Interest	J	T					
25. Bank of America Sub Not corporate bonds	B	Interest	K	T					
26. General Electric Cap Corporate bond	A	Interest	J	T					
27. GTE North Inc bond	A	Interest	J	T					
28. Key Bank corporate bond	B	Interest	K	T					
29. Laclede Gas Co 1st mortgage	B	Interest	J	T					
30. Pacific Bell corp bond	B	Interest	K	T					
31. Providence Gas Co bond	A	Interest	J	T					
32. Prudential Finl Inc corporate bond	B	Interest	K	T					
33. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
34. American Funds, Fund Inv F-1	A	Dividend	K	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Capital World Growth and Income mutual fund	A	Interest	J	T					
36. Pureto Rico Convention Center bonds	B	Interest	K	T					
37. Contra Costa Community College bonds	A	Interest	J	T					
38. Encinitas Ca Public Library Constructiion Bonds	A	Interest	J	T					
39. Schwab Govt Money Fund: IRA--Schwabb	A	Interest	K	T					
40. Morgan Stanley CD (Schwab)	B	Interest	K	T					
41. Schwab Govt Money Fund: Schwabb	D	Interest	M	T					
42. TCW Total Return Bond (schwab) IRA	C	Interest	K	T					
43. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T					
44. Loomis Sayles Bond Cl (Schwab) (IRA)	B	Dividend	K	T					
45. Tweedy Brown Global Fund (schwab--IRA)	B	Dividend	K	T					
46. Vanguard GNMA Fund Investor Share (IRA)	B	Dividend			Sold	02/10/12	J		
47. Schwab Ca Muni Money Fd Sweep shares	A	Interest	J	T					
48. Pimco All Asset Fund, Instit class Schwab	B	Interest	K	T					
49. SCH Ca Muni Money Fd shares (Schwab)	D	Interest	M	T					
50. San Francisco Calif City/County Public Utility bonds	A	Dividend	K	T					
51. Dublin, Calif School Securities	A	Dividend	K	T					

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52. First Eagle Overseas IRA (Schwab)	A	Dividend	K	T					
53. T Rowe Price new ERA FD	A	Dividend	J	T					
54. California Bond	B	Interest	K	T					
55. JP Morgan Highbridge Stat Mkt	A	Dividend	K	T					
56. Pimco Emrg Local Bd	A	Interest	K	T					
57. California Health stock	B	Dividend	K	T					
58. T Rowe Price New Income Fund	C	Dividend	K	T					
59. Permanent Portfolio	A	Dividend	K	T					
60. Berwyn Income Fund	A	Dividend	K	T					
61. American Fund New World X	A	Dividend	J	T					
62. Market Vectors ETF Miners Fund X	A	Dividend			Sold	07/24/12	L		
63. Vanguard Ca Interim Term Tax Exempt FD (Schwab)	B	Interest	K	T					
64. First Eagle Gold Fund X	C	Interest	L	T	Buy	07/20/12	L		
65. American Fund New World X	B	Interest	K	T	Buy	02/10/12	K		
66. Osterweis Strategic Income Fund X	B	Interest	K	T	Buy	02/10/12	K		
67. Highmark Generva Mid Cap Growth X	C	Interest	M	T	Buy	05/21/12	M		
68. Merger Fund Reinv Divs X	B	Dividend	L	T	Buy	05/21/12	L		

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69. RS Global Natural X	B	Interest	K	T	Buy	05/21/12	K		
70. Royce PA Mutual Fund X	B	Interest	K	T	Buy	05/21/12	K		
71. Dodge and Cox Stock Fund X	C	Dividend	M	T	Buy	05/21/12	M		
72. Pimco Emrg Local Bond Fund X	C	Interest	L	T	Buy	05/21/12	L		
73. Saratoga California UN X	C	Interest	L	T	Buy	04/16/12	L		
74. Pimco Emrg Local Bd Fd Instl Cl X	A	Interest	K	T	Buy	04/17/12	K		
75. T Rowe Price New Income Fund X	A	Interest	K	T	Buy	04/17/12	K		
76. Market Vectors ETF Trustgold Miners X	A	Interest	J	T	Buy	04/19/12	J		
77. Berwyn Income Fund X	A	Int./Div.	K	T	Buy	04/17/12	K		
78. T Rowe Price Short Term Bond X	B	Interest	L	T	Buy	04/17/12	L		
79. Primecap Odyssey Growth X	C	Int./Div.	M	T	Buy	04/19/12	M		
80. Tweedy Browne Global X	C	Interest	L	T	Buy	04/19/12	L		
81. FPA New Income Cl A X	C	Interest	L	T	Buy	04/19/12	L		
82. American Fund Cap World X	C	Interest	L	T	Buy	04/19/12	L		
83. Vanguard Short Term Tax Exempt FD Investor SHR X	C	Interest	M	T	Buy	04/19/12	M		
84. BMW North America Bk X	C	Interest	M	T	Buy	04/23/12	M		
85. Longleaf Partners Small Cap Fund X	A	Interest	K	T	Buy	04/19/12	K		

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86. Pimco All Asset Instl C1 X	B	Interest	L	T	Buy	04/19/12	L		
87. Market Vectors ETF Trustgold Miners Fund X	A	Interest	K	T	Buy	04/23/12	K		
88. American Fund Fundamental Inv Fd X	C	Interest	M	T	Buy	04/19/12	M		
89. American Fund New World Fd CL F1 X	B	Int./Div.	L	T	Buy	04/19/12	L		
90. Berwyn Income Fund Reinv Divs/Reinv X	B	Dividend	L	T	Buy	04/19/12	L		
91. Loomis Sayles Bond Fund CL 1 Reinv/cap X	B	Interest	L	T	Buy	04/19/12	L		
92. Pimco Total Return Fund Instl C1 X	B	Interest	L	T	Buy	04/19/12	L		
93. Vanguard Inflation X (but see #94 below)	B	Interest	L	T	Buy	04/19/12	L		
94. Vanguard Inflation X	B	Int./Div.			Sold	12/24/12	L		
95. Osterweis Strategic Income Fund X	B	Int./Div.	L	T	Buy	04/19/12	L		
96. Doubleline total Return Bond fund X	D	Int./Div.	M	T	Buy	08/09/12	M		
97. FPA Capital Fund X	B	Int./Div.	L	T	Buy	08/09/12	L		
98. Vangurard Inflation X (but see #99 below)	B	Int./Div.	M	T	Buy	08/09/12	L		
99. Vanguard Inflation X	B	Int./Div.			Sold	12/24/12	M		
100. Vanguard Interim Term Tax Exmpt X	B	Int./Div.	M	T	Buy	08/09/12	M		
101. Bank of China NY X	D	Int./Div.	M	T	Buy	08/15/12	M		
102. Osterweis Strategic Income Fund X	B	Int./Div.	L	T	Buy	08/09/12	L		

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103. Pimco Total Return Fund Instl X	B	Int./Div.	M	T	Buy	08/09/12	M		
104. Primecap Idyssey Growth X	B	Int./Div.	K	T	Buy	08/09/12	K		
105. Tweedy Browne Global Value Fund X	A	Int./Div.	L	T	Buy	08/09/12	L		
106. American Fund New World X	B	Int./Div.	L	T	Buy	08/09/12	L		
107. FPA New Income CL X	B	Int./Div.	M	T	Buy	08/09/12	M		
108. Loomis Sayles Bond Fund CL X	A	Int./Div.	K	T	Buy	08/09/12	K		
109. Powershs DB Commdty X	A	Int./Div.	K	T	Buy	10/09/12	K		
110. iShares Gold Trust X	B	Int./Div.	K	T	Buy	10/09/12	K		
111. Exchange Trad FD X	A	Int./Div.	J	T	Buy	10/09/12	J		
112. iShares MSCI Germany index fund X	A	Int./Div.	J	T	Buy	10/09/12	J		
113. Vanguard MSCI X	A	Int./Div.	J	T	Buy	10/09/12	J		
114. Vanguard Bond Index X	C	Int./Div.	L	T	Buy	10/09/12	L		
115. Vanguard Total Stock Mkt X	A	Int./Div.	J	T	Buy	10/09/12	J		
116. Vanguard REIT X	B	Int./Div.	K	T	Buy	10/09/12	K		
117. iShares MSCI HK index Hong Kong index X	A	Int./Div.	J	T	Buy	10/09/12	J		
118. Powershares S&P ETF X	B	Int./Div.	K	T	Buy	10/09/12	K		
119. iShares TR Barclays Tipsbonds X	B	Int./Div.	K	T	Buy	10/09/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. iShares TR Barclays, Treasury Bond Fund X	A	Int./Div.	J	T	Buy	10/09/12	J		
121. iShares Nareit Global X	B	Int./Div.	K	T	Buy	10/09/12	K		
122. SPDR Barclays Short Term Corp bond X	B	Int./Div.	K	T	Buy	10/09/12	J		
123. Wisdomtree Asia Debt Fund X	A	Int./Div.	J	T	Buy	10/09/12	J		
124. Vanguard MSCI EAFE ETF X	A	Int./Div.	J	T	Buy	10/09/12	J		
125. iShares TR Russell 2000 index fund X	A	Int./Div.	J	T	Buy	10/09/12	J		
126. iShares ETF AAA rate corp bond X	A	Int./Div.	J	T	Buy	10/09/12	J		
127. Powershs QQQ Trust X	B	Int./Div.	K	T	Buy	10/09/12	K		
128. Blackrock Global X	D	Int./Div.	M	T	Buy	10/10/12	M		
129. American FD Cap World F1 X	B	Int./Div.	K	T	Buy	10/10/12	K		
130. American FD Fund Inv Fd CL F-1 X	C	Int./Div.	M	T	Buy	10/10/12	M		
131. American Fund New World Fd Cl F1 X	B	Dividend	K	T	Buy	10/10/12	K		
132. Dodge and Cox Stock Fund X	B	Dividend	L	T	Buy	10/10/12	L		
133. First Eagle Gold Fund CL A X	A	Int./Div.	K	T	Buy	10/10/12	K		
134. First Eagle Overseas, Fund Cl A X	B	Int./Div.	K	T	Buy	10/10/12	K		
135. Highmark Geneva Mid Cap Gwth A X	C	Int./Div.	L	T	Buy	10/10/12	L		
136. Longleaf Partners Small Cap fund X	A	Int./Div.	K	T	Buy	10/10/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Merger Fund Reinv Divs X	B	Dividend	K	T	Buy	10/10/12	K		
138. Pimco Emrg Local Bd Fd X	B	Int./Div.	K	T	Buy	10/10/12	K		
139. Primecap Odyssey Growth Reinv Divs X	D	Int./Div.	M	T	Buy	10/10/12	M		
140. RS Global Natural X	A	Int./Div.	K	T	Buy	10/10/12	K		
141. T Rowe Price New Era Fd X	B	Int./Div.	L	T	Buy	10/10/12	L		
142. Vanguard Div Appreciation X	B	Int./Div.	K	T	Buy	10/09/12	K		
143. Tweedy Browne Global X	B	Int./Div.	K	T	Buy	10/10/12	K		
144. Primecap Odyssey Growth X	B	Interest	K	T	Buy	11/21/12	K		
145. RS Global Natural X	A	Int./Div.	K	T	Buy	11/21/12	K		
146. Blackrock Global X	C	Interest	K	T	Buy	11/21/12	K		
147. Loomis Sayles Bond Fund CL X	B	Interest	K	T	Buy	11/21/12	K		
148. Pimco All Asset Instl CL X	B	Int./Div.	K	T	Buy	11/21/12	K		
149. American FD Cap World X	A	Int./Div.	J	T	Buy	11/21/12	J		
150. American FD Fundamental X	C	Int./Div.	L	T	Buy	11/21/12	L		
151. American Fund New World X	B	Int./Div.	K	T	Buy	11/21/12	K		
152. Forward Select Income X	C	Int./Div.	K	T	Buy	11/21/12	K		
153. Berwyn Income Fund X	C	Int./Div.	K	T	Buy	11/21/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Egshares ETF X	A	Int./Div.	J	T	Buy	12/11/12	J		
155. First Eagle Gold Fund X	C	Int./Div.	L	T	Buy	12/14/12	L		
156. Santa Clara, Calif Uni School bonds X	B	Int./Div.	L	T	Buy	12/14/12	K		
157. Osterweis Strategic Income Fund X	C	Int./Div.	L	T	Buy	12/26/12	L		
158. T.Rowe Price New Income Fund X	D	Int./Div.	M	T	Buy	12/26/12	M		
159. Vanguard Primecap Core Fund	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Argonaut Investment at VII, line 17 was purchased more than 20 years ago (prior to taking the Bench) at an initial investment of \$50,000.

All of the assets in Part VII are in the Living Trust referred to in Part I, line 1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Lawrence J. O'Neill**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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