

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanna, Patrick J.	2. Court or Organization Western District Louisiana	3. Date of Report 05/01/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 800 Lafayette St. Ste 3500 Lafayette, LA 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Sole Member	Gumbo & Merlot LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	Federal Magistrate Judges' Assoc.	3/11-13/2015	Washington D.C.	Board of Directors Meeting	Food, transportation and lodging
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2.	Federal Magistrate Judges' Assoc.	9/15-18/2015	Washington D.C.	Legislative Reception	Food, transportation and lodging
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3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cummings Cove Co. LLC receivable for membership fee refund		None	J	T					
2. Brokerage Account #1									
3. Discover Bank	A	Interest	J	T			J		
4. CNL Lifestyle Properties, Inc (REIT)	D	Dividend	K	T					
5. Brokerage Account #4									
6. Charles Schwab Bank	A	Interest	L	T					
7. Coca Cola Co. (Common Stock)/KO	A	Dividend	J	T					
8. American Capital World Growth & Income Fund/CWGI	A	Dividend	J	T					
9. La. Local Gov't Envir FAC&CDA Rev Ambac Cap	A	Interest			Redeemed (part)	02/19/15	J		
10.					Redeemed	11/12/15	J		
11. La. St. 5%20G0 LTX	B	Interest	K	T					
12. Southern Company (SO)	A	Dividend	J	T					
13. James Advantage Funds Golden Rainbow Fd Instl CI/GLRIX	A	Dividend	L	T					
14. Permanent Portfolio FD Inc Perm Portfolio/PRPFX	A	Dividend			Sold	05/22/15	K		
15. Marshall Funds Inc BMO Inter Tax Free Fnd/MITFX	A	Dividend	K	T					
16. Charles Schwab US REIT ETF/SCHH	A	Dividend			Buy	05/22/15	J		
17.					Buy (add'l)	05/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	07/20/15	J		
19. ISHARES Gold ETF/IAU		None			Buy	05/22/15	J		
20.					Buy (add'l)	05/27/15	J		
21.					Sold	07/20/15	J		
22. ISHARES TR 0-5yr HI YL CP/SHYG	A	Dividend			Buy	05/22/15	J		
23.					Buy (add'l)	05/27/15	J		
24.					Sold	07/20/15	J		
25. Schwab Intermediate Term US Trs ETF/ SCHR	A	Dividend			Buy	05/22/15	J		
26.					Buy (add'l)	05/27/15	J		
27.					Sold	07/20/15	J		
28. Schwab Strategic TR Emrg MKTEQ ETF/ SCHE		None			Buy	05/22/15	J		
29.					Buy (add'l)	05/27/15	J		
30.					Sold	07/20/15	J		
31. Schwab Strategic TR Intl Eqty ETF/SCHF		None			Buy	05/22/15	J		
32.					Buy (add'l)	05/27/15	J		
33.					Sold	07/20/15	J		
34. Schwab Strategic TR Intl Sceqt ETF/SCHC		None			Buy	05/22/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	05/27/15	J		
36.					Sold	07/20/15	J		
37. Schwab Strategic TR Schwab FDT US LG/ FNDX	A	Dividend			Buy	05/22/15	J		
38.					Buy (add'l)	05/27/15	J		
39.					Sold	07/20/15	J		
40. Schwab Strategic TR Schwab FDT US SC/ FNDA	A	Dividend			Buy	05/22/15	J		
41.					Buy (add'l)	05/27/15	J		
42.					Sold	07/20/15	J		
43. Schwab Strategic TR Schwab FDT EMK LG/FNDE		None			Buy	05/22/15	J		
44.					Buy (add'l)	05/27/15	J		
45.					Sold	07/20/15	J		
46. Schwab Strategic TR Schwab FDT INT LG/ FNDF		None			Buy	05/22/15	J		
47.					Buy (add'l)	05/27/15	J		
48.					Sold	07/20/15	J		
49. Schwab Strategic TR Schwab FDT INT SC/ FNDC		None			Buy	05/22/15	J		
50.					Buy (add'l)	05/27/15	J		
51.					Sold	07/20/15	J		

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52. Schwab Strategic TR US TIPS ETF/SCHP		None			Buy	05/22/15	J		
53.					Buy (add'l)	05/27/15	J		
54.					Sold	07/20/15	J		
55. Schwab US Large Cap ETF/SCHX	A	Dividend			Buy	05/22/15	J		
56.					Buy (add'l)	05/27/15	J		
57.					Sold	07/20/15	J	A	
58. Schwab US Small Cap ETF/SCHA	A	Dividend			Buy	05/22/15	J		
59.					Buy (add'l)	05/27/15	J		
60.					Sold	07/20/15	J	A	
61. SPDR Series Trust INTR TRM Corp BD/ ITR	A	Dividend			Buy	05/22/15	J		
62.					Buy (add'l)	05/27/15	J		
63.					Sold	07/20/15	J		
64. VAN ECK Market VEC LOCAL CUR BND ETF/EMLC	A	Dividend			Buy	05/22/15	J		
65.					Buy (add'l)	05/27/15	J		
66.					Sold	07/20/15	J		
67. Vanguard Glbal Ex US Real Estate ETF/ VNQI	A	Dividend			Buy	05/22/15	J		
68.					Buy (add'l)	05/27/15	J		

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69.					Sold	07/20/15	J		
70. Vanguard Mortgage Backed SEC ETF/ VMBS	A	Dividend			Buy	05/22/15	J		
71.					Buy (add'l)	05/27/15	J		
72.					Sold	07/20/15	J		
73. Vanguard Total International BND ETF/ BNDX	A	Dividend			Buy	05/22/15	J		
74.					Buy (add'l)	05/27/15	J		
75.					Sold	07/20/15	J		
76. Brokerage Account #3									
77. Charles Schwab Bank	A	Interest	J	T	Donated (part)				
78. Schwab Markettrack/SWHGX	D	Dividend	L	T	Buy (add'l)	07/23/15	L		
79.					Sold (part)	08/05/15	J		
80. Rental Property #3, Vernon Parish LA	A	Rent	L	W					
81. Real Estate, Vernon Parish, LA		None	K	W					
82. Real Estate, Vernon Parish, LA		None	J	W					
83. Chase Bank	A	Interest	K	T					
84. Whitney Bank - CD	A	Interest	J	T					
85. Whitney Bank - CD	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Line 3 - Broker utilizes different banks to hold cash assets before sweep. At the end of last year it was Citibank NA. This year it is Discover Bank. I have no idea when/how the changes are made. Also, I have no idea why the audit suggests I should document each transaction as it does not call for that on identical entries in Lines 5 and 77.

Part VII Line 57 - Donated to daughter for wedding gift.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Patrick J. Hanna**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544