

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gordon, Andrew P.	2. Court or Organization U.S. District Court, Nevada	3. Date of Report 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Lloyd D. George Federal Courthouse 333 Las Vegas Boulevard South, Room 6018 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	National Institute for Trial Advocacy - teaching	\$2,700.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Faith Lutheran Junior/Senior High School - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Institute for Trial Advocacy	11/16/16-11/19/16	Phoenix, AZ	teaching a seminar on depositions	lodging, meals, transportation
2. University of Michigan	11/3/16-11/6/16	Ann Arbor, MI	teaching a class	lodging, meals, airfare
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1									
2. - Wells Fargo Advisors LLC (Cash Accounts)	A	Interest	M	T					
3. Wells Fargo (Cash Accounts)	A	Interest	M	T					
4. Charles Schwab (Cash Accounts)	A	Interest	M	T					
5. Microsoft Corp. stock	A	Dividend	J	T					
6. Walt Disney stock	A	Dividend	K	T					
7. Middlesex, NJ IMPT UTL RV 5.08%CI SER B GUAR AMBAC JAN99 00	A	Interest			Redeemed	09/01/16	K	A	
8. Franklin Templeton Age-Based 529 - Age 13-16		None	L	T					
9. iShares MSCI ETF EAFE Minimum Volatility	A	Dividend	J	T					
10. Pimco Exhchange Traded Fund Intermediate Municipal Bond Exchange	A	Interest	M	T	Buy (add'l)	06/15/16	L		
11.					Buy (add'l)	09/02/16	J		
12. Pimco Exhchange Traded Fund Investment Grade Corp. Bond Index E	A	Interest			Sold (part)	04/29/16	J	A	
13.					Sold	08/10/16	J	A	
14. Vanguard Short Term Tax Exempt Fund Investor SHR	C	Dividend	N	T					
15. Vanguard Small Cap Growth		None			Sold (part)	01/13/16	J	A	
16.					Sold	01/13/16	J	A	
17. Vanguard Small Cap Value		None			Sold (part)	02/19/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	02/19/16	J	A	
19.					Sold	02/19/16	J	A	
20. Middlesex CO IMPT A 0% UTIL COMB DUE 09/01/16 PERTH AMBOY (Y)									
21. Aflac Inc	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
22.					Sold (part)	06/15/16	J	A	
23. Apple		None	J	T	Buy (add'l)	01/14/16	J		
24.					Sold (part)	06/15/16	J	B	
25. Best Buy	A	Dividend			Sold (part)	01/04/16	J	A	
26.					Sold (part)	06/15/16	J	A	
27.					Sold	08/08/16	J	B	
28. Celgene Corp		None	J	T	Sold (part)	06/15/16	J	B	
29. Cognizant Tech Solutions Class A		None	J	T	Sold (part)	01/04/16	J	B	
30.					Sold (part)	06/15/16	J	B	
31. CVS Health Corp	A	Dividend	K	T	Sold (part)	01/04/16	J	B	
32.					Sold (part)	06/15/16	J	B	
33.					Buy (add'l)	08/15/16	J		
34.					Buy (add'l)	11/08/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. EGShares Emerging Markets Consu ETF	A	Dividend	K	T	Buy (add'l)	01/04/16	J		
36.					Sold (part)	06/15/16	J		
37.					Sold (part)	07/29/16	J		
38. Fifth Third Bankcorp	A	Dividend			Buy (add'l)	01/04/16	J		
39.					Sold (part)	06/15/16	J		
40.					Sold	08/08/16	K		
41. Google Inc.		None	J	T	Sold (part)	01/04/16	J	A	
42.					Sold (part)	06/15/16	J	B	
43. Guggenheim Bulletshares 2018 Corp Bond ETF	A	Interest			Sold	04/29/16	J	A	
44. Guggenheim Bulletshares 2020 Corp Bond ETF	A	Interest	J	T	Sold (part)	04/29/16	J	A	
45. Guggenheim Bulletshares 2019 Corp Bond ETF	A	Interest			Sold	04/29/16	J	A	
46. Guggenheim S&P 500 Equalwei Tech ETF	A	Dividend	J	T					
47. Guggenheim S&P 500 Equalwei Con ETF	A	Dividend	J	T	Sold (part)	01/05/16	J	A	
48. Guggenheim S&P 500 Equalwei Eng ETF	A	Dividend	J	T	Buy (add'l)	01/05/16	J		
49. Guggenheim S&P 500 Equalwei Fin ETF	A	Dividend	J	T	Buy (add'l)	10/13/16	J		
50. Guggenheim S&P 500 Equalwei HC ETF	A	Dividend	J	T					
51. Guggenheim S&P 500 Equalwei ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. IShares MSCI Mexico Capped ETF	A	Dividend	J	T	Buy	01/04/16	K		
53.					Sold (part)	06/15/16	J		
54. IShares MSCI Philippines ETF	A	Dividend	J	T	Sold (part)	06/15/16	J	A	
55. IShares MSCI Switzerland Capped ETF		None			Buy (add'l)	01/04/16	J		
56.					Sold	01/15/16	J		
57. IShares MSCI United Kingdom ETF		None			Buy (add'l)	01/04/16	J		
58.					Sold	03/10/16	J		
59. IShares National AMT Freemuni ETF	A	Interest	L	T	Buy (add'l)	06/15/16	L		
60.					Buy (add'l)	09/02/16	J		
61. Johnson & Johnson	A	Dividend			Sold (part)	06/15/16	J	B	
62.					Sold	07/18/16	J	C	
63. Lam Research Corp	A	Dividend	J	T	Sold (part)	06/15/16	J	C	
64.					Sold (part)	07/29/16	J	A	
65. Marathon Petroleum Corp.	A	Dividend			Sold (part)	01/04/16	J	B	
66.					Sold	08/03/16	J	B	
67. Oracle Corp.	A	Dividend	J	T	Sold (part)	06/15/16	J	A	
68. Priceline Group		None	J	T	Sold (part)	01/04/16	J	A	

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69.					Sold (part)	06/15/16	J	A	
70. Powershares ETF FTSE RAFI US 1500SML MID		None			Buy (add'l)	01/04/16	J		
71.					Sold	01/13/16	K		
72. Qualcomm Inc.	A	Dividend	J	T	Buy (add'l)	01/04/16	K		
73.					Sold (part)	06/15/16	J	A	
74.					Sold (part)	07/29/16	J	A	
75. Starbucks Corp	A	Dividend	J	T	Sold (part)	01/04/16	J	B	
76.					Sold (part)	06/15/16	J	B	
77. United Healthgroup Inc.	A	Dividend	J	T	Sold (part)	06/15/16	J	C	
78. Valero Energy Corp	A	Dividend	J	T	Sold (part)	01/04/16	J	A	
79.					Sold (part)	06/15/16	J	A	
80. Wal-Mart Stores Inc	A	Dividend			Buy (add'l)	01/04/16	J		
81.					Sold (part)	06/15/16	J		
82.					Sold	08/15/16	J	A	
83. Wisdomtree Europe HEDGEDEQTY ETF		None			Buy (add'l)	01/04/16	J		
84.					Sold	01/15/16	K		
85. First Trust North American Energy ETF	A	Dividend	K	T	Buy (add'l)	01/04/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Sold (part)	06/15/16	J	B	
87. iShares Res Real Estate	A	Dividend	K	T	Sold (part)	06/15/16	J	A	
88. Dicks Sporting Goods	A	Dividend	J	T	Sold (part)	06/15/16	J	B	
89.					Sold (part)	07/29/16	J	A	
90. Gilead Sciences Inc	A	Dividend	J	T	Sold (part)	06/15/16	J		
91. JPMorgan Chase & Co.	A	Dividend	J	T	Sold (part)	02/11/16	J		
92.					Buy (add'l)	06/15/16	J		
93. Lazard LTD	A	Distribution			Sold (part)	06/15/16	J		
94.					Sold	12/09/16	J		
95. Robert Half Int'l	A	Dividend			Sold (part)	06/15/16	J		
96.					Sold	09/23/16	J		
97. Skyworks Solutions	A	Dividend	J	T	Sold (part)	06/15/16	J		
98. T Rowe Price Group		None			Sold (part)	06/15/16	J		
99.					Sold	08/03/16	J		
100. iShares ETF Ibonds SEP 2019 AMT		None			Sold	04/29/16	K	A	
101. iShares MSCI Emerging Markets ETF		None			Sold	01/04/16	K		
102. iShares Res Real Estate Cap ETF (Y)									

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103. IShares ETF Ibonds Sep 2020 AMT	B	Dividend	M	T	Sold (part)	01/04/16	J	A	
104.					Buy (add'l)	06/15/16	L		
105.					Buy (add'l)	09/02/16	J		
106. Select Sector Industrial SPDR ETF	A	Dividend	K	T	Buy (add'l)	01/04/16	J		
107.					Sold (part)	02/29/16	K		
108.					Sold (part)	06/15/16	J	A	
109.					Buy (add'l)	09/23/16	J		
110. SPDR S&P 500 ETF		None			Sold	01/04/16	K		
111. SPDR S&P Bank ETF	A	Dividend	K	T	Sold (part)	06/15/16	J		
112. Technology Select Sector	A	Dividend	J	T	Sold (part)	06/15/16	J	A	
113. IShares MSCI Brazil ETF Brazil Capped		None			Buy (add'l)	01/04/16	J		
114.					Sold	03/10/16	J	B	
115. Egshares ETF India Infrastructure		None	J	T	Buy (add'l)	01/04/16	K		
116.					Sold (part)	06/15/16	J	A	
117.					Sold (part)	12/09/16	J	A	
118. Schlumberger LTD.	A	Dividend	J	T	Buy	08/03/16	J		
119. Western Digital Corp.	A	Dividend	J	T	Buy	02/29/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	06/15/16	J	A	
121. Advisershare Ranger EQY		None	J	T	Buy	06/10/16	K		
122.					Sold (part)	06/15/16	J	A	
123.					Buy (add'l)	06/21/16	J		
124. Columbia India Consumer ETF	A	Dividend	J	T	Buy	12/09/16	J		
125. First Trust Preferred ETF IV	A	Dividend	J	T	Buy	04/18/16	K		
126.					Sold (part)	06/15/16	J	A	
127. First Trust UK Alphadex ETF	A	Dividend	J	T	Buy	03/10/16	K		
128.					Sold (part)	06/15/16	J	A	
129. iShares MSCI Cap Invest	A	Dividend	J	T	Buy	01/15/16	J		
130.					Sold (part)	06/15/16	J		
131. iShares MSCI Germany ETF	A	Dividend	J	T	Buy	01/15/16	J		
132.					Sold (part)	06/15/16	J	A	
133. iShares MSCI Poland ETF	A	Dividend	J	T	Buy	01/15/16	J		
134.					Sold (part)	06/15/16	J	A	
135. iShares MSCI Sweden ETF	A	Dividend	J	T	Buy	01/15/16	J		
136.					Sold (part)	06/15/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. iShares IBD DC 2022 Term MNBD ETF	A	Dividend	M	T	Buy	04/29/16	K		
138.					Buy (add'l)	06/15/16	L		
139.					Buy (add'l)	09/02/16	J		
140. Powershares Variable Rate PRF ETF IV	A	Dividend	J	T	Buy	04/18/16	K		
141.					Sold (part)	06/15/16	J	A	
142. Select STR Financial SPDR ETF	A	Dividend	K	T	Buy	08/03/16	K		
143.					Buy (add'l)	10/18/16	J		
144. Vanguard Consumer Staples ETF	A	Dividend	J	T	Buy	11/09/16	J		
145. Vanguard Shrt Inf Prot SEC Index ETF	A	Dividend	K	T	Buy	08/15/16	K		
146. Vanguard Consumer Discretionary ETF	A	Dividend			Buy	08/16/16	J		
147.					Sold	11/09/16	J		
148. Real Estate Select Sctr Spdr ETF		None			Buy	09/19/16	J		
149.					Sold	10/13/16	J		
150. Emerson Electric Company	A	Dividend			Buy	01/04/16	K		
151.					Sold	05/03/16	K	C	
152. Guggenheim Bullet 2022	A	Dividend	J	T	Buy	04/29/16	J		
153. Guggenheim S&P 500 Equalweight RL ESTT ETF		None			Buy	09/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	10/13/16	J		
155. Pimco 1-5 Year US Tips Index ETF	A	Dividend	J	T	Buy	08/10/16	J		
156. iShares IBonds Dec. 2019 Term CRP ETF	A	Dividend	J	T	Buy	04/29/16	J		
157. iShares IBonds Dec. 2021 Term CRP ETF	A	Dividend	J	T	Buy	04/29/16	J		
158. iShares IBonds Dec. 2023 Term CRP ETF	A	Dividend	J	T	Buy	04/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, lines 1 and 2 re Trust #1: I and my brother are co-trustees of a trust that has been segregated into four cash accounts, one for each of me and three of my siblings. The aggregate amount of those combined cash accounts is reflected in Column C(1). However, most of those funds are not in my separate account, which would be a Category L.
- 2) Part VII, line 20 re Middlesex CO IMP: I have come to believe that this asset is the same as the asset referred to as Middlesex NJ in line 7. Thus, it was incorrectly included in last year's report, and I am deleting it from this year's report.
- 3) Part VII, line 102 re iShares Res Real Estate Cap ETF: I have come to believe that this asset is the same as the asset referred to as iShares Res Real Estate in line 87. They both have the same ticker symbol. Thus, it was incorrectly included in last year's report, and I am deleting it from this year's report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Andrew P. Gordon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544