

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stair, Richard S.	2. Court or Organization U.S. Bankruptcy Court, E.D. Tennessee	3. Date of Report 04/02/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 800 Market Street, Suite 330 Howard H. Baker, Jr. U.S. Courthouse Knoxville, TN 37902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT - Hilliard Lyons									
2. Hilliard Lyons Govnm't Funds - Money Market	A	Dividend	J	T	Redeemed (part)	10/17/13	J		
3. Texas Inst.	A	Dividend	J	T					
4. Income Fund of America - Class A (American Funds)	A	Dividend	J	T					
5. Income Fund of America - Class B (American Funds)	A	Dividend	J	T					
6. Templeton Growth Fund - Class B	A	Dividend	J	T					
7. Pimco FDS Mutual Fund - Class B	A	Dividend			Sold	10/16/13	J		
8. Morgan Stanley Dean Witter Step Up Bond 4% Due 9/22/20	A	Interest			Redeemed	09/23/13	J		
9. Time Warner Cable, Inc. Note (Bond)	A	Interest	J	T	Buy	09/18/13	J		
10. PERSONAL ASSETS									
11. First Tennessee Bank	A	Interest	J	T					
12. USAA Federal Savings Bank - Savings Account	A	Interest	J	T					
13. USAA Money Market Funds	A	Dividend	J	T					
14. USAA Tax Exempt Intermediate Fund	B	Dividend			Sold	01/11/13	K	A	
15. USAA Tax Exempt Short Term Fund		None			Sold	01/11/13	J	A	
16. USAA Growth & Tax Strategy Fund		None			Sold	01/11/13	J	B	
17. USAA Tax Exempt Money Market Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. USAA Performance First Savings Account	A	Interest	L	T					
19. USAA CD	A	Interest	M	T					
20. USAA Subscribers Account		None	J	T	Distributed (part)	02/21/13	J		
21.					Distributed (part)	12/11/13	J		
22. MANAGED PORTFOLIO ACCOUNT									
23. American Beacon Equity Int'l (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
24.					Sold (part)	06/20/13	J	A	
25. American European Growth Fund (Mutual Fund)		None			Buy	01/11/13	J		
26.					Sold	06/20/13	J		
27. American Growth Fund of America (Mutual Fund)		None			Buy	01/11/13	J		
28.					Sold	06/21/13	J	A	
29. Heartland Value Plus, Int'l (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
30.					Buy	06/21/13	J		
31. Hotchkis & Wiley FDIS	A	Dividend	J	T	Buy	01/11/13	J		
32.					Buy	06/20/13	J		
33. ING Intermediate Bond Fund (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
34.					Sold (part)	06/20/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. Legg Mason Batterymarch (Mutual Fund)	A	Dividend			Buy	01/11/13	J		
36.					Sold	06/20/13	J		
37. PIMCO Total Return Institutional (Mutual Fund)	A	Dividend			Buy	01/11/13	J		
38.					Sold	06/20/13	J		
39. TCW Relative Value Large Cap (Mutual Fund)		None			Buy	01/11/13	J		
40.					Sold	06/20/13	J	A	
41. USAA High Income Fund Institutional (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
42.					Buy	06/20/13	J		
43. USAA Income Fund Institutional (Money Market)	A	Dividend	J	T	Buy	01/11/13	J		
44.					Sold (part)	06/20/13	J		
45. USAA Managed Allocation (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
46.					Buy	06/20/13	J		
47. USAA Precious Metals and Minerals (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
48.					Sold (part)	06/20/13	J		
49. USAA Real Return Institutional (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
50.					Buy	06/20/13	J		
51. USAA Short Term Bond Fund (Mutual Fund)	A	Dividend	K	T	Buy	01/11/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold (part)	06/20/13	J		
53. Delaware Value Institutional (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
54. First Eagle Overseas 1 (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
55. Harding Loevner International Equity (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
56. Lazard Emerging Markets Equity (Mutual Fund)	A	Dividend	J	T	Buy	01/11/13	J		
57. Lazard International Strategic Equity (Mutual Fund)	A	Dividend	J	T	Buy	06/20/13	J		
58. LKCM Small-Mid Cap Equity Institutional (Mutual Fund)		None	J	T	Buy	01/11/13	J		
59.					Buy	06/20/13	J		
60. MSF Growth Fund (Mutual Fund)		None	J	T	Buy	06/20/13	J		
61. Schroder Emerging Markets Equity (Mutual Fund)	A	Dividend	J	T	Buy	06/20/13	J		
62. LIFE INSURANCE									
63. Mass Mutual - Policy 1 (Adjustable Life Policy)		None	J	T					
64. Mass Mutual - Policy 2 (Adjustable Life Policy)		None	J	T					
65. Mass Mutual - Policy 3 (Whole Life)		None	J	T					
66. USAA - Extended Guaranty Annuity (2 yr.)	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard S. Stair**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544