

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kurren, Barry M.	2. Court or Organization U.S. District Court, Hawaii	3. Date of Report 06/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court 300 Ala Moana Blvd. Honolulu, Hawaii 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust # 1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Tesoro Petroleum Corporation
2. 2016	Hawaii Dental Service
3. 2016	First Insurance Company of Hawaii
4. 2016	First Hawaiian Bank

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Magistrate Judges Association	Sept. 14-16	Washington, D.C.	Executive Board Meeting	Transportation, meals, hotel
2. American Bar Association Aviation Section	Nov. 3-4	Washington, D.C.	Judges' Panel	Transportation, meals, hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. -Oakmark International Fund C11	B	Dividend	L	T					
3. -Dodge & Cox Stock Fund	C	Dividend	M	T					
4. -Oakmark Equity Income Fund	C	Dividend	M	T					
5. -Jensen Portfolio Class J	B	Dividend	M	T					
6. -Real Property - Honolulu, Hawaii	F	Rent	P1	S					
7. -Treasury Bills	A	Interest			Matured	11/11/16	M	A	
8. -Treasury Notes	D	Interest	O	T					
9. -Hawaii State Revenue Bonds	B	Dividend	K	T	Buy (add'l)	06/28/16	K		
10.					Buy (add'l)	08/11/16	J		
11. -Hawaii State General Obligation Bonds	C	Dividend	L	T	Sold (part)	03/14/16	K	C	
12.					Sold (part)	05/11/16	K	A	
13.					Sold (part)	08/25/16	K	A	
14.					Sold (part)	10/07/16	J	A	
15.					Buy (add'l)	09/30/16	J		
16.					Sold (part)	10/20/16	J	A	
17.					Buy (add'l)	11/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Honolulu General Obligation Bonds	D	Dividend	M	T	Buy (add'l)	03/08/16	J		
19.					Buy (add'l)	03/31/16	J		
20.					Buy (add'l)	07/07/16	K		
21.					Buy (add'l)	07/12/16	J		
22.					Buy (add'l)	07/14/16	K		
23.					Sold (part)	10/07/16	K	C	
24.					Sold (part)	10/07/16	K	C	
25.					Buy (add'l)	10/06/16	K		
26. -Hawaii State Special Purpose Revenue Bonds	D	Dividend	M	T	Buy (add'l)	07/29/16	J		
27. -Honolulu Revenue Bonds	C	Dividend	L	T	Buy (add'l)	08/18/16	J		
28. -Honolulu Water Revenue Bonds	B	Dividend	K	T					
29. -First Hawaiian Bank Cash Account	C	Interest	P1	T					
30. -Dreyfus Treasury Prime Investor Money Market Fund	A	Interest	J	T					
31. -New York General Obligation Bond	A	Dividend	J	T					
32. -Massachusetts State General Obligation Bond	B	Dividend	K	T					
33. -Washington State General Obligation Bond	A	Dividend	J	T					
34. -Maui County General Obligation Bond	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -California State General Obligation Bonds	A	Dividend	K	T	Buy (add'l)	03/10/16	J		
36. -Memphis General Obligation Bond	A	Dividend	K	T					
37. -Florida State Revenue Bond	A	Dividend	K	T					
38. -Alaska State Revenue Bond	A	Dividend	J	T					
39. -University of Hawaii Revenue Bonds	B	Dividend	K	T	Buy (add'l)	04/13/16	J		
40.					Buy (add'l)	05/10/16	K		
41.					Buy (add'l)	10/05/16	J		
42. -Santa Monica Community College General Obligation Bond	A	Dividend			Sold	10/20/16	J	A	
43. -Hawaii County General Obligation Bonds	B	Dividend	K	T	Buy	02/04/16	K		
44.					Buy (add'l)	04/08/16	J		
45. -Metropolitan Transportation Authority Revenue Bond	A	Dividend	J	T					
46. -Richardson Texas Independant School District Refunding Bond	A	Dividend	K	T	Buy	07/13/16	K		
47. IRA#1 (H)									
48. -Dreyfus Treasury Prime Money Market Fund	A	Dividend	K	T					
49. -BlackRock Global Allocation Fund	A	Dividend	J	T					
50. -Ishares S&P Index ETF	D	Dividend	N	T	Buy (add'l)	03/09/16	J		
51. -Ishares S&P Value Index ETF	A	Dividend	K	T	Buy (add'l)	03/04/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Ishares MSCIEFE ETF	C	Dividend	M	T					
53. -Vanguard Short-Term Inflation Protected ETF	A	Dividend	J	T					
54. -Vanguard FTSE Emerging Markets ETF	A	Dividend	K	T					
55. -Vanguard Extended Market ETF	B	Dividend	L	T					
56. -Neuberger Berman Absolute Return Multi-Manager Fund	A	Dividend	K	T					
57. -Nuveen Real Asset Income Fund	B	Dividend	K	T					
58. IRA #2 (H)									
59. -Ishares S&P Index ETF	D	Dividend	O	T	Buy (add'l)	03/09/16	K		
60. -Dreyfus Treasury Prime Money Market Fund	A	Dividend	K	T					
61. -Blackrock Global Allocation Fund	A	Dividend	K	T					
62. -Ishares S&P Value Index ETF	B	Dividend	L	T	Buy (add'l)	03/09/16	J		
63. -Ishares MSCIEAFE Index ETF	D	Dividend	M	T					
64. -Vanguard Short-Term Inflation Protected ETF	A	Dividend	J	T					
65. -Vanguard FTSE Emerging Markets ETF	B	Dividend	L	T					
66. -Vanguard Extended Markert ETF	B	Dividend	M	T					
67. -Neuberger Berman Absolute Return Multi-Manager Fund	A	Dividend	K	T					
68. -Nuveen Real Asset Income Fund	C	Dividend	K	T					

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69. BHP Common Stock	A	Dividend	J	T					
70. First Hawaiian Bank Cash Account	A	Interest	N	T					
71. Hawaii Innovation Growth Fund	A	Dividend	J	T					
72. FHB Common Stock	A	Dividend	M	T	Buy	08/04/16	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts, Line 45: The Metropolitan Transportation Authority Revenue Bond was identified incorrectly in the 2015 Report at line 54 as a New York Revenue Bond.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barry M. Kurren**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544