

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ADLER, JAN M.	2. Court or Organization US DISTRICT COURT, SDCA	3. Date of Report 05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US MAGISTRATE JUDGE FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address UNITED STATES DISTRICT COURT 940 FRONT STREET, ROOM 1145 SAN DIEGO, CA 92101-8928		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER	EQUITY PARTNERS SPECIAL PURPOSE LLC
2.	CO-TRUSTEE	TRUST #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2011	RETIREMENT PAY FROM FORMER LAW FIRM. PAYMENTS ENDED IN 2011.
2.	2011	FEE INCOME FROM FORMER LAW FIRM TO BE COLLECTED FOR WORK PERFORMED BEFORE LEAVING THE FIRM. APPROXIMATELY \$40,037 PER YEAR THROUGH 2025
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

ADLER, JAN M.

Date of Report

05/10/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	EQUITY PARTNERS SPECIAL PURPOSE LLC-MEMBER INCOME
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ASSOCIATION OF BUSINESS TRIAL LAWYERS	10/13/2012-10/16/2012	Santa Barbara, California	Annual Seminar	train and cab fare, hotel & registration fees
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

ADLER, JAN M.

Date of Report

05/10/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

ADLER, JAN M.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST #1:									
2. COLUMBIA FUNDS		None	J	T	Buy (add'l)	1/31/11	J		
3. AMERIPRISE BROKERAGE ACCOUNT IRA #1 - MM		None	K	T					
4. ---AMERICAN CAPITAL INCOME BUILDER - A	B	Dividend	K	T					
5. ---AMERICAN CAPITAL WORLD GROWTH & INCOME - A	A	Dividend	K	T					
6. ---AMERICAN NEW PERSPECTIVE - A	A	Dividend	K	T					
7. ---AMERICAN NEW WORLD	A	Dividend	J	T					
8. ---PIMCO REAL RETURN BD - A	D	Dividend	M	T					
9. ---PIMCO ALL ASSET - A	C	Dividend	K	T					
10. ---HCP INC	B	Dividend	K	T					
11. ---CNL INCOME PROPERTIES	B	Distribution	K	T					
12. ---INLAND AMERICAN	B	Distribution	K	T					
13. ---AMERICAN FUNDAMENTAL INVESTORS - A	A	Dividend	K	T					
14. AMERIPRISE BROKERAGE ACCOUNT IRA #2 - MM		None	J	T					
15. ---AMERICAN CAP INCOME BUILDER - A	A	Dividend	K	T					
16. ---AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME	A	Dividend	K	T					
17. AMERIPRISE ONE FINANCIAL ACCOUNT - MM	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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18. ---AMERICAN GROUP INTERMEDIATE BOND FUND OF AMERICA	C	Dividend	M	T					
19. ---FRANKLIN FEDERAL INTERMEDIATE TERM TAX-FREE FUND - A	D	Dividend	N	T					
20. ---FRANKLIN INSURED TAX-FREE INCOME A	B	Dividend	K	T					
21. ---FRANKLIN MUTUAL GLOBAL DISCOVERY	A	Dividend			Sold (part)	06/28/11	K	C	
22.					Sold	09/27/11	J	B	
23. ---FRANKLIN RISING DIVIDENDS	A	Dividend	K	T	Buy	9/27/11	J		
24. ---CALVERT TAX FREE BOND - A	C	Dividend	L	T	Sold (part)	09/20/11	K		
25. ---MONTEBELLO CA COMMUNITY REDEVELOPMENT 3/1/11	A	Interest			Matured	03/01/11	K		
26. STRATEGIC PORTFOLIO SERVICE ADVANTAGE - MM #1	A	Interest	K	T	Sold (part)	01/18/11	J		
27.					Buy (add'l)	08/03/11	J		
28. ---BARON SMALL CAP FUND	B	Dividend	L	T					
29. ---C&S REALTY INC - A COHEN & STEERS FUND	A	Dividend	K	T	Buy	08/03/11	K		
30. ---COLUMBIA LTD DUR CREDIT - A COLUMBIA FUNDS GROUP	A	Dividend	J	T	Buy	02/09/11	J		
31.					Buy (add'l)	6/10/11	J		
32.					Buy (add'l)	12/08/11	J		
33. ---FIDELITY ADVISOR SMALL CAP - A	C	Dividend	K	T	Sold (part)	09/16/11	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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34. ---FIDELITY ADVISOR STRATEGIC REAL RETURN	A	Dividend	J	T	Buy	11/8/11	J		
35.					Buy (add'l)	12/08/11	J		
36. ---FIDELITY ADVANTAGE STRATEGIC INCOME	B	Dividend	L	T	Buy	9/16/11	L		
37.					Buy (add'l)	12/08/11	J		
38. ---FIDELITY ADVISOR NEW INSIGHTS - A	A	Dividend	K	T	Buy (add'l)	01/18/11	J		
39. ---1ST EAGLE GLOBAL - A FIRST EAGLE FUND	B	Dividend	K	T	Buy (add'l)	01/18/11	J		
40.					Buy (add'l)	02/17/11	J		
41.					Buy (add'l)	03/17/11	J		
42.					Buy (add'l)	04/18/11	J		
43.					Buy (add'l)	05/17/11	J		
44.					Buy (add'l)	06/16/11	J		
45.					Buy (add'l)	07/18/11	J		
46.					Buy (add'l)	08/16/11	J		
47.					Buy (add'l)	09/16/11	J		
48. ---FRANKLIN RISING DIVIDENDS	A	Dividend	L	T					
49. ---FRANKLIN STRATEGIC INCOME	A	Dividend			Buy	08/03/11	L		
50.					Sold	09/15/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

ADLER, JAN M.

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51. ---GABELLI ASSET AAA	B	Dividend	L	T	Buy (add'l)	06/10/11	K		
52. ---LEGG MASON PARTNERS MID CAP CORE A		None	L	T	Sold (part)	11/08/11	K	D	
53. ---PIMCO TOTAL RETURN	A	Dividend	K	T	Buy	08/03/11	K		
54. ---TEM GLOBAL BOND - A FRANKLIN GROUP OF FUNDS	A	Dividend			Buy	02/09/11	J		
55.					Sold	06/10/11	J	A	
56. ---VIRTUS REAL ESTATE SECURITIES	A	Dividend	M	T					
57. ---FRANKLIN GROWTH FUND		None			Sold	06/10/11	K	D	
58. ---S&P 500 ETF TRUST (SPDR) UNIT SER I	B	Dividend	L	T					
59. ---WEITZ SHORT INTERIM INCOME	B	Dividend	L	T					
60. STRATEGIC PORTFOLIO SERVICE ADVANTAGE - MM #2		None			Redeemed	08/03/11	J		
61. ---C&S REALTY INC - A COHEN & STEERS FUND	A	Dividend			Sold	08/03/11	K		
62. ---FRANKLIN STRATEGIC INC	B	Dividend			Sold	08/03/11	L		
63. ---PIMCO TOTAL RETURN	A	Dividend			Sold	08/03/11	K		
64. STRATEGIC PORTFOLIO SERVICE ADVANTAGE, IRA		None	J	T					
65. ---COLUMBIA US GOV'T MORTGAGE - A	B	Dividend	K	T					
66. ---COL ACORN - A	C	Dividend	L	T					
67. ---PIMCO TOTAL RETURN	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

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68. ---FIDELITY ADVANTAGE NEW INSIGHTS - A	A	Dividend	L	T					
69. ---BLACKROCK NATIONAL RESOURCES - A	A	Dividend	K	T	Sold (part)	09/26/11	K		
70. ---S&P 500 (SPDR) GOLD TRUST		None	K	T	Buy (add'l)	09/28/11	K		
71. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 2012	B	Interest	K	T					
72. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD MAY 2013	A	Interest	J	T					
73. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD JUNE 1013	A	Interest	J	T					
74. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 8/1/13	A	Interest	J	T					
75. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 8/29/13	A	Interest	J	T					
76. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD NOV 2013		None	J	T					
77. ---FIDELITY ADVANTAGE STRATEGIC REAL RETURN - A	B	Dividend	K	T					
78. ---FIDELITY ADVANTAGE STRATEGIC INCOME - A	C	Dividend	K	T					
79. ---FRANKLIN RISING DIVIDENDS	A	Dividend	K	T					
80. ---WEITZ SHORT INTERIM INCOME	B	Dividend	L	T	Buy (add'l)	02/10/11	J		
81. RVS LIFE RETIREMENT ADVISOR ADVANTAGE VARIABLE ANNUITY									
82. ---RVS VP CASH MNGMNT FUND N/K/A COLUMBIA VP CASH MANAGEMENT		None							
83. ---GOLDMAN VIT MIDCAP VA		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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84. ---FID VIP MIDCAP CL 2		None	K	T	Sold (part)	09/15/11	K		
85. ---AM CENT VP VAL CL II		None	J	T					
86. ---OPPENHEIMER STRATEGIC INC CL 3		None	M	T	Buy (add'l)	09/15/11	J		
87. ---FIDELITY VIP CONTRAFUND CL 2		None	L	T	Buy (add'l)	09/15/11	K		
88. ---COLUMBIA VP GLOBAL BOND CL 3		None			Sold	09/15/11	J		
89. ---JANUS ASPEN OVERSEAS		None	J	T					
90. RIVERSOURCE RETIREMENT ADVISOR 4 ADVANTAGE VA									
91. ---VP MODERATE PORT UNDERLYING FUND CL 4		None	M	T					
92. RIVERSOURCE LIFE PROTECTION PLUS		None	M	T					
93. AMERICAN FUNDS									
94. ---WASHINGTON MUTUAL INVESTORS FUND A	B	Dividend	L	T					
95. ---AMERICAN BALANCED FUND - A	A	Dividend	K	T	Buy	10/5/11	J		
96.					Buy (add'l)	11/4/11	J		
97.					Buy (add'l)	12/5/11	J		
98. ---AMCAP FUND A	A	Dividend	L	T					
99. ---THE GROWTH FUND OF AMERICA - A	A	Dividend	L	T	Sold (part)	10/5/11	J		
100.					Sold (part)	11/4/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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101.					Sold (part)	12/5/11	J		
102. ---NEW PERSPECTIVE FUND - CL A	A	Dividend	L	T					
103. ---EUROPACIFIC GROWTH FUND - A	B	Dividend	L	T					
104. ---CAPITAL WORLDL GROWTH & INCOME FUND - A	B	Dividend	K	T					
105. ---NEW WORLD FUND - A	A	Dividend	L	T					
106. ---BOND FUND OF AMERICA - A	B	Dividend	K	T					
107. ---LTD TERM TAX-EXEMPT BOND FUND OF AMERICA - A	C	Dividend	L	T					
108. ---AMERICAN FUNDS MONEY MARKET FUND - A		None	J	T	Redeemed (part)	02/08/11	J		
109. ---AMERICAN FUND SHORT-TERM TAX-EXEMPT BOND FUND CL A	A	Dividend	L	T					
110. ---LTD TERM TAX-EXEMPT BOND FUND OF AMERICA - CL A	A	Dividend			Redeemed (part)	01/19/11	J		
111.					Sold (part)	1/19/11	J		
112.					Sold	06/09/11	J		
113. ---AMERICAN FUNDS MONEY MARKET FUND - A (EDUCATION)		None			Buy (add'l)	06/09/11	K		
114.					Buy (add'l)	06/09/11	J		
115.					Redeemed	06/27/11	K		
116. ---AMERICAN MUTUAL FUND - A	A	Dividend			Buy	01/19/11	J		
117.					Sold	06/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

ADLER, JAN M.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
118. FIDELITY ADVISOR #1		None	L	T					
119. FIDELITY ADVISOR #2		None	L	T					
120. JP MORGAN CHASE BANK ACCOUNTS	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

ADLER, JAN M.

Date of Report

05/10/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII LINE 1: HEADER FOR ALL INVESTMENTS. ALL INVESTMENTS IN PART VII ARE HELD IN TRUST #1.

PART VII LINE 81: HEADER FOR LINES 82-89.

PART VII LINE 90: HEADER FOR LINE 91.

PART VII LINE 93: HEADER FOR LINES 94-117.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

ADLER, JAN M.

Date of Report

05/10/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAN M. ADLER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544