

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sorokin, Leo T.	2. Court or Organization District Court, Massachusetts	3. Date of Report 7/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court One Courthouse Way Boston, MA 02210 Suite 7400		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2011

Self-employed - psychologist

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. George Mason University

7/18/2011

Washington, D.C.

Speaking on Panel as
part of GMU Research
Presentation at NADCP
Conference

Transportation and Food

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Gold Reserve Line of Credit	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental/Vacation Property Massachusetts (2001, 273,100)	D	Rent	N	R					
2. Bank of America Accounts	A	Interest	M	T					
3. IRA #1									
4. -Fidelity Contrafund	A	Dividend	K	T					
5. -Fidelity Pacific Basin	A	Dividend	J	T					
6. IRA #2									
7. -Davis NY Venture Fund C	A	Dividend	K	T					
8. IRA #3									
9. -Amazon	A	Dividend	J	T	Buy	03/23/11	J		
10. -Wells Fargo Cash Account	A	Interest	J	T					
11. -Citigroup	A	Dividend	J	T					
12. -Allianz AGIC Opportunity Fund	A	Dividend	J	T					
13. -Allianz AGIC Target Fund C	A	Dividend	J	T					
14. -PIMCO FDS PAC Dev Inv't Mgmt	A	Dividend	K	T					
15. -Home Properties, Inc.	A	Dividend			Sold	03/23/11	J	C	
16. Brokerage Acct#1									
17. -Wells Fargo Money Market Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. -Home Properties, Inc.	A	Dividend	J	T	Sold (part)	01/13/11	J	C	
19. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
20. -Bristol Myers Squibb	A	Dividend	J	T					
21. -this line intentionally blank									
22. -Healthcare Realty Trust, Inc.	B	Dividend	K	T					
23. -Aethena Health	A	Dividend	J	T	Buy	12/10/11	J		
24. -Berkshire Hathaway Series B	A	Dividend	J	T	Buy	10/20/11	J		
25. -this line intentionally blank									
26. -Otelco Inc.	A	Dividend	J	T					
27. -Zimmer Holdings Inc.					Sold	1/13/11	J	B	
28. -Apple	A	Dividend	K	T					
29. -Sector Spdr Tech Select Sector	A	Dividend	K	T					
30. DWS Scudder High Income Trust	B	Dividend	K	T					
31. Matthews Pacific Tiger Fund	A	Dividend	K	T					
32. Nuveen Municipal Value Fund	B	Dividend			Sold	5/20/11	K	C	
33. Intentionally Blank									
34. IRA #4									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. -Vanguard 500 Index Fund Adm	A	Dividend	K	T					
36. -Vanguard Intermediate Term Investment Grade Fund	A	Dividend	J	T					
37. -Vanguard U.S. Value Fund	A	Dividend	J	T					
38. - Vanguard Emerging Markets Stock Index Adm	A	Dividend	K	T					
39. Brokerage Acct#2									
40. -Vanguard U.S. Value Fund	A	Dividend	J	T					
41. Brokerage Acct#3									
42. -Vanguard 500 Index Fund	A	Dividend	J	T					
43. -Vanguard Long Term treasury Fund	A	Dividend	K	T					
44. -Vanguard Extended Market Index Fund	A	Dividend	J	T					
45. Brokerage Acct#4									
46. -American Century Income and Growth Fund	A	Dividend	K	T					
47. IRA #5									
48. -American Century Equity Income	A	Dividend	J	T					
49. -American Century Prime Money Market	A	Interest	K	T	Buy	10/13/11	J		
50. -American Century Small Cap Value Fund	A	Dividend	J	T					
51. Brokerage Acct#5									

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52. -American Century Equity Income Fund	A	Dividend	K	T					
53. Brokerage Acct#6									
54. -American Century Gifttrust Fund	A	Dividend	J	T					
55. Brokerage Acct#7									
56. -American Century Gifttrust Fund	A	Dividend	J	T					
57. Shares in Bear Hollow Limited Partnership (2004 50,678)		None	K	R					
58. -Intentionally blank									
59. Limited Partnership 7400	D	Int./Div.	J	U					
60. Limited Partnership Bear Hollow Lender	A	Int./Div.	K	U					
61. Limited Partnership Wolf Paca I	E	Int./Div.	J	U					
62. Limited Partnership Joppa East	A	Int./Div.	J	U					
63. Trust #3	B	Int./Div.	L	W					SEE NOTE
64. -Columbia Bank Account									
65. -Wells Fargo Bank Deposit Sweep									
66. -Fund Vanguard FTSE All World Index									
67. -Stock Pepco holdings									
68. -Stock Citigroup					Sold	12/20/11	J	A	

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69. -Merck					Buy	2/3/11	J		
70. -Stock Nicor					Merged (with line 87)	12/15/11	J	B	
71. -Stock Regions Financial Corp					Sold	12/23/11	J	B	
72. -Stock Walmart									
73. -Fund John Hancock Bank & Thrift Opportunity Fund					Sold	3/24/11	J	A	
74. -Fund Nuveen Muni Value Fund									
75. -Fund Pimco Muni Income Fund III									
76. -Limited Partnership Mayfield W									
77. -Bear Hollow Lender									
78. -Exxon Mobil									
79. -Constellation Energy Group									
80. -Duke Energy Corp									
81. -Fastenal									
82. -Ishares Barclays Tips Bond Fund									
83. -Lockheed Martin Company									
84. -MetLife									
85. -Pepsico									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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86. -Vodafone Group									
87. -Stock AGL Res									
88. -Target					Buy	04/11/11	J		
89.					Sold (part)	10/17/11	J	A	
90. -Stock Coca Cola									
91. -Stock Nextera									
92. -Stock McCormick & Co Non Vtg MkC									
93. -McDonald's Corp									
94. -Stock Partner Communications					Buy	5/9/11	J		
95. -Chlorox					Buy	11/4/11	J		
96. Family Limited Partnership		None	M	T					
97. Brokerage Account 11									
98. -Vanguard 500 Index Fund	A	Dividend	J	T					
99. Brokerage Account 12A									
100. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
101. -Matthews Pacific Tiger Fund	A	Distribution	J	T	Buy	1/19/11	J		
102. -Home Properties, Inc.	A	Dividend	J	T					

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103. Intentionally Blank									
104. Brokerage Account 12B									
105. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
106. -Sterling Bank Corp.	A	Dividend	J	T					
107. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
108. Brokerage Account 13A									
109. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
110. -Matthews Pacific Tiger	A	Dividend	J	T	Buy	1/19/11	J		
111. Brokerage Account 13B									
112. -Wells Fargo Bank Deposit Sweep Option	A	Int./Div.	J	T					
113. -Sterling Bank Corp	A	Dividend	J	T					
114. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
115. Brokerage Account 14A									
116. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
117. -Matthews Pacific Tiger	A	Dividend	J	T	Buy	1/19/11	J		
118. Brokerage Account 14B									
119. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					

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120. Trust #4	C	Int./Div.	M	W					see note
121. -Raymond James Bank Deposit Program									
122. -Intentionally Blank									
123. - Fund Davis New York Venture Fund Class A									
124. - Fund RS Value Fund Class A					Sold	11/1/11	J	A	
125. - Fund Royce Opportunity Fund Investment Class N/L									
126. - Fund The Third Avenue Value Fund N/L									
127. - Fund GDL									See Note
128. - ISHARES TR S&P500 GRW									
129. -Stock American Tower Corp. Class A									
130. -Fund Oppenheimer DevMkts Fund					Buy	11/1/11	J		
131. - Stock El Paso Corporation									
132. - Intentionally Blank									
133. - Fund Thornburg Int'l Value Fund									
134. -Intentionally Blank									
135. -Fund Fairholm Fund					Sold	6/6/11	J	A	
136. - Stock Alleghany Corp					Sold (part)	5/3/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Flow 2006 LLC									
138. -PNC Bank Account									
139. -Fund Hartford Capital Appreciation Fund Class A									
140. -Fund CGM Advisor Target Equity FundClass A									
141. -Intentionally Blank									
142. -Fund Third Avenue Focused Credit Fund Investor Class									
143. Janus Worldwide Fund	A	Dividend	J	T					
144. Trust #5	E	Dividend	N	W					See Note
145. -Wells Fargo Bank Deposit Sweep									
146. -Stock Sector Spdr TR Tech Select Sector XLK									
147. -Baclays Bank PLC Medium Term Note									
148. -Dow Chemical Co Internotes									
149. -Richie Associates LLC									
150. -Bear Hollow Lender LLC									
151. -Gunpowder W Corp.									
152. -Joppa East Limited Partnership									
153. -Mayfield W, Inc.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. -MHW, LLC					Buy (add'l)	4/21/11	K		See note
155. -Exploration Capital 2005									
156. -Madison LLC									
157. -Wolf Realty Corp.									
158. -Intentionally Blank									
159. -Tenn Valley Authority Bond									
160. -VSECU Bank Account									
161. -Merck					Buy	2/3/11	K		
162. Brokerage Account 15									
163. -Matthews Pacific Tiger Fund					Buy	1/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 12-14 Name Changes

Lines 63, 120, 144 (Trusts #s 3, 4 & 5) The valuation of this trust is listed as estimated because some of the assets of the trust are partial interests in illiquid limited partnerships. The value code was based upon the Cash Market value of the bank accounts and publicly traded assets combined with the trustee's estimate of the value of the partnership interests.

Line 127 (Trust #3) Gabelli Global Deal - the sale listed on the 2009 report of this entity was only a partial sale not a complete disposition of the asset and now the fund has changed its name

Line 154 The Seller of the interest is a relative.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Leo T. Sorokin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544