

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenbaum, Robin S.	2. Court or Organization U.S. Court of Appeals for the Eleventh Circuit	3. Date of Report 10/23/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 299 E. Broward Blvd., Room 108 Fort Lauderdale, FL 33301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Holland & Knight -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Cornell University Law School	10/30/2015-11/1/2015	Ithaca, New York	Judged Moot Court Competition	Transportation, Lodging, Food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameritrade Money Market Account	A	Interest	J	T					
2. Astropower common		None	J	T					
3. Ballard Power Systems common		None	J	T					
4. Bank of America--check/savings	A	Interest	K	T					
5. Cisco Systems common	A	Dividend	J	T					
6. DiscoverBank Savings and Money Market Account	A	Interest	M	T					
7. Dodge & Cox Int'l Stock Fund	A	Int./Div.	J	T					
8. Domini Social Equity Fund	A	Int./Div.	J	T					
9. Eagle Small Cap Growth Fund	A	Int./Div.	J	T	Sold	01/02/15	J	A	
10. Ericsson L M Tel Co ADR	A	Dividend	J	T					
11. Emagin Inc. common		None	J	T					
12. Fidelity Cash Reserves	A	Dividend	J	T					
13. Fidelity Contrafund (H&K 401k)	A	Int./Div.	K	T					
14. Fidelity Contrafund (IRA)	A	Int./Div.	L	T					
15. Fidelity Low Priced Stock Fund	A	Dividend	J	T					
16. Fidelity Municipal Money Market Fund	A	Interest	J	T					
17. Fidelity Retirement Money Market Fund	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Unique NH 2015 Portfolio	A	Int./Div.	J	T	Merged (with line 21)	04/09/15	J		
19. Fidelity Unique NH 2018 Portfolio	A	Int./Div.	K	T	Merged (with line 21)	04/09/15	K		
20. Fidelity Unique NH 2021 Portfolio Index	A	Int./Div.	J	T	Buy	04/09/15	J		
21. Fidelity Unique NH Bank Deposit Portfolio	A	Int./Div.	M	T					
22. Intel Corp.	A	Dividend	J	T					
23. Janus Twenty Fund	A	Dividend	J	T					
24. Janus Global Research Fund (previously Janus Worldwide Fund)	A	Dividend	J	T					
25. JDS Uniphase Corp. common		None	J	T	Merged (with line 41)	08/04/15	J		
26. Lumentum Holdings, Inc. common		None	J	T		08/04/15	J		
27. MassMutual VUL: Oppenheimer Capital Appreciation Fund		None	J	T					
28. MassMutual Variable Universal Life: Oppenheimer Midcap Fund		None	J	T					
29. Parnassus Fund	A	Int./Div.	K	T					
30. PIM Total Return Institutional Bond Fund- Holland&Knight 401k	A	Int./Div.	J	T	Sold	01/02/15	J		
31. PRU Total Return Bond Fund-Holland & Knight 401k	A	Int./Div.	J	T	Buy	01/02/15	J		
32. Rhythms Netconnections common		None	J	T					
33. T. Rowe Price 529 College Savings Plan Portfolio 2018	A	Int./Div.	K	T					
34. T. Rowe Price 529 College Savings Plan Money Market Portfolio	A	Int./Div.	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. T. Rowe Price Blue Chip Growth Fund	A	Int./Div.	K	T					
36. T. Rowe Price Health Sciences Fund (UGMA) - Account 1	A	Int./Div.	J	T	Buy	03/24/15	J		
37. T. Rowe Price Health Sciences Fund (UGMA) - Account 2	A	Int./Div.	J	T	Buy	03/24/15	J		
38. TZ Limited common		None	J	T					
39. Universal Display Corp. common		None	K	T					
40. Vanguard Institutional Index Fund	A	None	J	T					
41. Viavi Solutions, Inc. common		None	J	T	Buy	08/04/15	J	A	
42. Wells Fargo Small Company Growth Fund-Holland & Knight 401k	A	Int./Div.	J	T	Buy	01/02/15	J		
43. Apple	A	Dividend	J	T	Buy	07/21/15	J		
44. Ameritrade Money Market Account	A	Interest	J	T					
45. Facebook		None	J	T	Buy	07/02/15	J		
46. Nortel Network Corp. common		None			Sold	01/06/15	J	A	
47. Trust #1	A	Interest	M	T					
48. -MML VUL: MassMutual Life Blend		None	K	T					
49. -MML VUL: Oppenheimer Main Street		None	K	T					
50. -MML VUL: Janus Aspen Forty		None	K	T					
51. -MML VUL: Oppenheimer Discovery Mid Cap Growth		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.	-MML VUL: T. Rowe Price Mid-Cap Growth		None	K	T				
53.	-MML VUL: Oppenheimer Global		None	K	T				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Lines 18 and 19 were merged into Line 21 (this is all part of the same 529 account).
2. JDS Uniphase (line 25) changed its name to Viavi (line 41) and spun off Lumentum (line 26).
3. Lines 43-46 are held by my mother, but I am listed as joint tenant on her account. This has been the case for several years. I derive no income or other benefits from my mother's investments.
4. Lines 47-53 list the assets in Trust #1. I do not receive any income or other benefits from this trust or from serving as the trustee, nor am I a beneficiary of the trust.
5. Part VII, Line 26, D.1 - Though I have explained the Lumentum asset above in Note 2, the Committee asked me to notate line 26, D.1. I have tried to do so, but the program will not accept the notation. Therefore, I am noting here that the notation there should be "Spinoff (from line 25)."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robin S. Rosenbaum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544