

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Braden, Susan G.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 05/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, D.C. 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	American Bar Association - salary
2. 2017	Ropes & Gray LLP - retirement benefits
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NYIPLA	March 31-April 1, 2017	New York, NY	95th Fed. Judicial Dinner	Transportation, meals
2. Federal Circuit Bar Assoc.	June 21-24, 2017	Coeur D'Alene, ID	Speaker	Transportation, meals, lodging
3. ABA Public Contract Section	August 10, 2017	New York, NY	Speaker	Transportation, meals, lodging
4. Federal Circuit Bar Assoc.	September 22-27, 2017	London, UK	2017 Global Series Speaker	Transportation, meals, lodging
5. IPLAC	October 13, 2017	Chicago, IL	Annual Dinner	Transportation, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BB&T	Mortgage on rental property	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, Alexandria, VA	F	Rent	P1	W					
2. Citibank	A	Interest	K	T					
3. RGIP, LLC	D	Distribution	L	U					
4. Dept. of Justice Credit Union (various accounts)	D	Interest	N	T					
5. 401(k) #1 (H)									
6. WCM Focust Int Growth Inst (WCMIX) (X)	A	Dividend	M	T	Sold (part)	11/14/17	K		
7. Ameriprise Insured Deposit Account (IDA) (cash)	A	Interest	K	T					
8. Advisors Inner Circle FDS Edgewood Growth Instl CI (EGFIX)		None	M	T	Sold (part)	01/26/17	K		
9.					Sold (part)	11/14/17	K		
10. Artisan Intl Value (APDKX)	B	Dividend	M	T					
11. Artisan High Income Advisor (APDFX)	D	Dividend	M	T	Buy	03/20/17	M		
12. Blackrock Strategic Income Opportunities (BSIIX)	C	Dividend	M	T					
13. Dreihaus Inc. Mut. Fd. Emerging Mkt. Act (DIEMX)	A	Dividend	L	T	Sold (part)	01/26/17	K		
14.					Sold (part)	11/14/17	K		
15. FMI Funds Large Cap (FMIQX)	C	Dividend	N	T					
16. Matthews Intl Fds Asia Div. Fd. (MIPIX)	C	Dividend	L	T	Sold (part)	11/14/17	K		
17. Metropolitan West Fds. Total Return Bd Fd (MWTIX)	D	Dividend	O	T	Buy (add'l)	01/26/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Miller Invt TR Convertible Bond Fund (MCIFX)	C	Dividend	L	T					
19. Neuberger Berman High Income Bd Fd	A	Dividend			Sold (part)	01/26/17	K		
20.					Sold	03/20/17	M		
21. Neuberger Berman Core Bd Fd Intl Cl (NCRLX)	E	Dividend	O	T	Buy (add'l)	01/26/17	L		
22. Transamerica Intl Sml Cap Value (TISVX)	D	Dividend	M	T					
23. Vanguard Index Sml Cap Index Admiral (VSMAX)	C	Dividend	M	T	Sold (part)	11/14/17	J		
24. Vanguard Index 500 Index Admiral (VFIAX)	D	Dividend	N	T	Sold (part)	01/26/17	K		
25. Oil & Gas Royalty (Houston & San Antonio, TX)	D	Royalty	L	W					
26. U Fund College Investing Plan #1: Fidelity Funds, MA Portfolio 2021	B	Dividend	K	T	Buy (add'l)	12/15/17	J		
27. U Fund College Investing Plan #2: Fidelity Funds, MA Portfolio 2024		None	K	T	Buy (add'l)	12/15/17	J		
28. U Fund College Investing Plan #3: Fidelity Funds, MA Portfolio 2024		None	K	T	Buy (add'l)	12/15/17	J		
29. U Fund College Investing Plan #4: Fidelity Funds, MA Portfolio 2027		None	K	T	Buy (add'l)	12/15/17	J		
30. U Fund College Investing Plan #5: Fidelity Funds, MA Portfolio 2027		None	K	T	Buy (add'l)	12/15/17	J		
31. U Fund College Investing Plan #6: Fidelity Funds, NH Portfolio 2030		None	K	T	Buy (add'l)	12/15/17	J		
32. U Fund College Investing Plan #7: Fidelity Funds, NH Portfolio 2033		None	J	T	Buy (add'l)	12/15/17	J		
33. Trust #1 (H)									
34. Federated Govt Obli Fd	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Edgewood Growth Fund		None	L	T	Sold (part)	05/10/17	J	A	
36.					Sold (part)	12/15/17	J	C	
37. FMI Large Cap Fd	A	Dividend	L	T	Sold (part)	03/21/17	J		
38.					Buy (add'l)	12/06/17	J		
39. Vanguard 500 Index Fund	B	Dividend	L	T	Sold (part)	03/21/17	J	B	
40.					Buy (add'l)	12/06/17	K		
41. Artisan Int'l Value Fd Inv.	A	Dividend	K	T	Sold (part)	05/10/17	J		
42.					Buy (add'l)	12/06/17	J		
43. WCM Focused International Growth Fund	A	Dividend	K	T	Sold (part)	05/10/17	J	A	
44.					Buy (add'l)	12/06/17	J		
45. Vanguard Sml Cap Index Fd	A	Dividend	K	T	Buy (add'l)	12/06/17	J		
46. Transamerica Intl Small Cap Value Fd	A	Dividend	K	T	Sold (part)	05/10/17	J	A	
47.					Buy (add'l)	12/06/17	J		
48. Driehaus Emg Mkts Growth Fund	A	Dividend	K	T	Sold (part)	05/10/17	J	A	
49. Matthews Asia Dividends Ins	A	Dividend	K	T	Sold (part)	05/10/17	J	A	
50.					Buy (add'l)	12/06/17	J		
51. NB Muni I/T Bonds Fnd Ins.	C	Dividend	M	T	Buy (add'l)	03/21/17	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52.					Sold (part)	05/10/17	J		
53.					Buy (add'l)	12/06/17	J		
54. Vanguard I/T T/E Fd Adm	C	Dividend	M	T	Buy (add'l)	03/21/17	J		
55.					Sold (part)	05/10/17	J		
56.					Buy (add'l)	12/06/17	K		
57. Nuveen Short Duration High Yield Muni Bond Fd.	B	Dividend	K	T	Buy	03/21/17	K		
58.					Buy (add'l)	12/06/17	J		
59. Blackrock Strategic Inc. Opp Fd Ins	A	Dividend	K	T	Buy (add'l)	12/06/17	J		
60. Miller Convertible Bond Fund	A	Dividend	K	T	Buy (add'l)	12/06/17	J		
61. NB High Income Bond Fd Ins	A	Dividend			Sold	03/21/17	K		
62. ABAThrift Savings 401(k) #1 (no control) (H)									
63. T. Rowe Prc Retirement 2010	D	Dividend	M	T					
64. T. Rowe Price 2040 Fund	D	Dividend	M	T					
65. S.SgA Russ. Sm/MD Cap Index Fund	D	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 26-32: Names expanded to include the fund family.

Part VII, lines 12, 31, 32, and 34: Name changes; see 2016, lines 11, 40, 41, and 80, respectively.

Part VII, lines 62-65: This is an employer-controlled retirement plan. The employee can choose the funds in which he is invested from a list provided by the employer's plan administrator, but the employee is not able to control transactions executed within the plan, nor is he provided with the details of transactions executed within the plan by the plan administrator. The employee receives quarterly statements identifying the allocated value and investment earnings for the funds in which he is invested (Columns A through C). Despite inquiry of the plan administrator, further information is unavailable.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan G. Braden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544