

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Morris, Cecelia G.	2. Court or Organization Bankruptcy - Southern, N. Y.	3. Date of Report 04/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active - Chief Bankruptcy Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 355 Main Street Suite J Poughkeepsie, N. Y. 12601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Tina L Brozman Foundation for Ovarian Cancer
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. Various	St. John's University - Salary (Teaching)	\$7,000.00
2. 10/05/12	Bloomberg LP - (Treatise)	\$12,060.00
3. 10/03/12	Hudson Valley Bankruptcy BAS Assoc. - (Fee)	\$100.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. A O	01/03-01/06/12	St. Pete. Beach, FL	Meeting - USJC	Travel, Lodging, & Food
2. F J C	01/31-02/02/12	Jacksonville, FL	FJC Educ. Seminar	Travel & Lodging
3. A O	03/16/12	New York, NY	Court Governance	Travel & Food
4. F J C	03/26-03/29/12	Charleston, SC	Educ. Seminar	Travel, Lodging & Food
5. A B I	04/19-04/21/12	National Harbor, MD	Educ. Seminar	Travel & Lodging
6. A O	04/22-04/23/12	Washington, DC	Meeting - AO	Travel & Lodging
7. A O	05/23/12	Wilmington, DE & Washington, DC	Court Governance	Travel & Food

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8.	A O	06/05-06/08/12	New Paltz, NY	Meeting - Circuit Judicial Council	Travel, Lodging & Food
9.	Bloomberg Law	06/14-06/15/12	New York, NY	Education	Travel, Lodging & Food
10.	A O	06/18-06/20/12	Chicago, IL	FJC Educational Seminar	Travel, Lodging & Food
11.	F J C	06/27-06/29/12	Washington, DC	FJC Educational Seminar	Travel, Lodging & Food
12.	A O	07/16-07/17/12	Las Vegas, NV	Judicial Council Meeting	Lodging & Food
13.	F J C	07/17-07/20/12	Las Vegas, NV	FJC Educational Seminar	Travel, Lodging & Food
14.	A O	09/17-09/18/12	Washington, DC	Court Governance	Travel, Lodging & Food
15.	A/O	09/24/12	New York, NY	Court Governance	Travel & Food
16.	American Conference	09/27-09/29/12	Dallas, TX	Professional Assoc, Activity	Travel Lodging & Food
17.	A/O	10/25/-10/26/12	Washington, DC	Court Governance	Travel, Lodging & Food
18.	A/O	12/03/12	New York, NY	Court Governance	Travel
19.	A/O	12/10 - 12/12/12	Washington, DC	Meeting - USJC	Travel, Lodging & Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. U S A A Fed'l Savings Bank	A	Interest	J	W			J	A	
2.									
3.									
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cecelia G. Morris

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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