

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hollander, Ellen L.	2. Court or Organization UNITED STATES DISTRICT COURT, DISTRICT OF MARYLAND	3. Date of Report 12/03/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address UNITED STATES COURTHOUSE CHAMBERS OF ELLEN LIPTON HOLLANDER 101 WEST LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD MEMBER	LIBRARY COMPANY OF THE BALTIMORE BAR
2. BOARD MEMBER	MARYLAND CHAPTER OF THE FEDERAL BAR ASSOCIATION
3. VICE PRESIDENT & SECRETARY	DISTRICT JUDGES ASSOCIATION OF THE FOURTH CIRCUIT
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989-2017	RETIREMENT SYSTEM FOR JUDGES OF THE STATE OF MARYLAND
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	MARYLAND STATE RETIREMENT AGENCY	\$109,089.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	SELF-EMPLOYED - ADVERTISING/MARKETING
2. 2017	PENSION - THE HEARST CORP BROADCAST RETIREMENT PLAN
3. 2017	SELF-EMPLOYED - ROYALTIES (AUTHOR)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WHOLE LIFE INSURANCE POLICY : (H)									
2. NORTHWESTERN MUTUAL POLICY - CASH VALUE	A	Int./Div.	M	T					
3. CASH ACCOUNTS: (H)									
4. M&T BANK	A	Interest	J	T					
5. BANK OF AMERICA	A	Interest	J	T					
6. US BANK - MORTGAGE ESCROW ACCOUNT	A	Interest	J	T					
7. JP MORGAN CHASE BANK, N.A.	A	Interest	K	T					
8. BROKERAGE & PERSONAL HOLDINGS: (H)									
9. WELLS FARGO ADVANTAGE TREASURY PLUS MM FUND	A	Int./Div.	J	T					
10. DEUTSCHE BANK MONEY MARKET	A	Int./Div.	J	T					
11. MERRILL LYNCH BANK DEPOSIT	A	Int./Div.	K	T					
12. ALPHABET INC - CLASS C		None	K	T					
13. AMAZON.COM INC.		None	K	T					
14. AMERICAN TOWER CORP	A	Dividend	K	T	Sold (part)	03/02/17	J	C	
15. ANSYS, INC. - COMMON		None	K	T	Sold (part)	05/16/17	J	B	
16. BLACKBAUD, INC.	A	Dividend	J	T	Sold (part)	05/19/17	J	B	
17. BLACKLINE, INC.		None	J	T	Buy	04/12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.					Buy (add'l)	05/15/17	J		
19. CARMAX, INC.		None	J	T					
20. CBRE GROUP INC.		None	J	T					
21. CELGENE CORP - COMMON		None	K	T					
22. CISCO SYSTEMS, INC. - COMMON	A	Dividend	J	T					
23. COMCAST, INC. - COMMON	A	Dividend	J	T					
24. CONSOLIDATED EDISON - COMMON	A	Dividend	J	T					
25. CORE LAB N.V.		None	J	T	Buy	11/07/17	J		
26. COSTAR GROUP, INC.		None	J	T					
27. DISCOVER FINANCIAL SERVICES, INC.	A	Dividend	J	T					
28. ECOLAB, INC. - COMMON	A	Dividend	K	T					
29. ENVESTNET, INC. - COMMON		None	J	T					
30. EXPONENT, INC.	A	Dividend	J	T					
31. FASTENAL CO - COMMON	A	Dividend	K	T	Sold (part)	01/20/17	J	D	
32. HEALTHCARE SERVICES GROUP, INC.	A	Dividend	J	T					
33. ILLUMINA, INC.		None	K	T					
34. INTEL CORP - COMMON	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. IBM - COMMON	D	Dividend	M	T					
36. IMAX CORP		None			Sold	08/08/17	J		
37. INTUITIVE SURGICAL, INC		None	K	T					
38. J & J - COMMON	A	Dividend	J	T					
39. LKQ CORP - COMMON		None	K	T	Sold (part)	02/16/17	J	B	
40.					Sold (part)	09/22/17	J	C	
41. MARKEL CORP - COMMON		None	K	T					
42. MASTERCARD, INC - CL A	A	Dividend	J	T					
43. S&P GLOBAL, INC	A	Dividend	K	T					
44. MOODYS CORP	A	Dividend	K	T					
45. PAYCHEX, INC - COMMON	A	Dividend	J	T					
46. PROS HOLDINGS INC.		None	J	T	Buy	09/05/17	J		
47. QUALCOMM, INC - COMMON	A	Dividend	K	T					
48. RED HAT, INC.		None	K	T					
49. ROPER INDUSTRIES - COMMON	A	Dividend	K	T	Sold (part)	02/06/17	J	C	
50. SEI INVESTMENTS CO - COMMON	A	Dividend	K	T	Sold (part)	03/02/17	J	C	
51.					Sold (part)	11/29/17	J	C	

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52. SIGNET JEWELERS, LTD	A	Dividend			Sold	03/09/17	J		
53. STERICYCLE - COMMON		None			Sold	05/08/17	J	C	
54. STERIS PLC SHS	A	Dividend	J	T					
55. THREE D SYSTEMS NEW - COMMON		None			Sold	12/07/17	J		
56. TRANSDIGM GROUP INC COM	A	Dividend	J	T					
57. TRIMBLE NAVIGATION - COMMON		None	K	T	Sold (part)	05/16/17	J	C	
58. TYLER TECHNOLOGIES, INC.		None	J	T					
59. VERISK ANALYTICS		None	K	T					
60. VISA INC - COMMON	A	Dividend	K	T					
61. WAGeworks, INC.		None	J	T					
62. WASTE CONNECTIONS, INC.	A	Dividend	J	T					
63. WATSCO, INC. - COMMON	A	Dividend	J	T	Buy	04/26/17	J		
64.					Buy (add'l)	09/22/17	J		
65. WESTINGHOUSE AIR BRAKE TECHNOLOGIES, INC.	A	Dividend			Buy	03/15/17	J		
66.					Sold	08/08/17	J		
67. AMERICAN FUNDS - AMERICAN BALANCED FD INC SHS F-2 CL	A	Dividend	J	T					
68. AMERICAN FUNDS-GROWTH FUND OF AMERICA CL F-2	A	Dividend	J	T					

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69. FIRST EAGLE GLOBAL FUND, CLASS I (formerly Class A)	A	Dividend	J	T					
70. HARTFORD MUTUAL FUNDS- HARTFORD MIDCAP I	A	Dividend	J	T					
71. HARBOR MID CAP VALUE FD INSTITUTIONAL CLASS	A	Dividend	J	T					
72. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
73. LOOMIS SAYLES FDS II WORLDWIDE INST		None	J	T					
74. MFS SER TR I VALUE FD CL I	A	Dividend	J	T					
75. MORGAN STANLEY INSTL FD INC GLOBAL GRW I		None	J	T					
76. PRIMECAP ODYSSEY FUNDS ODYSSEY STK FD	A	Dividend	J	T					
77. T ROWE PRICE MD TAX FREE BOND FUND	A	Dividend	J	T					
78. VICTORY PORTFOLIOS SMALL CO OPP I	A	Dividend	J	T					
79. ISRAELI BONDS	A	Interest			Sold	04/19/17	J	A	
80. IRA ACCOUNT #1: (H)									
81. DEUTSCHE BANK INS DEPOSIT	A	Int./Div.	J	T					
82. FIRST EAGLE GLOBAL FUND I	A	Dividend	K	T					
83. HARBOR CAPITAL APPRECIATION FUND	B	Dividend	K	T					
84. HARBOR MIDCAP VALUE FUND	A	Dividend	J	T					
85. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					

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86. LOOMIS SAYLES GLOBAL EQUITY FD & INCOME FD CL Y	A	Dividend	K	T					
87. MFS VALUE FUND CLASS I	A	Dividend	K	T					
88. METROPOLITAN WEST TOTAL RETURN BOND FD CL I	A	Dividend	K	T					
89. MSIF GLOBAL GROWTH OPPORTUNITY PORTFOLIO CL I		None	K	T	Sold (part)	07/12/17	J	A	
90.					Sold (part)	10/09/17	J	A	
91. PRIMECAP ODYSSEY STOCK FUND	A	Dividend	K	T	Sold (part)	04/12/17	J	A	
92. VIRTUS GLOBAL REAL ESTATE SECURITIES FD CL A	A	Dividend	J	T					
93. PRINCIPAL FDS INC MIDCAP GROWTH I (formerly Midcap Blend)	A	Dividend	J	T					
94. VICTORY PORTFOLIOS SMALL CO OPP I	A	Dividend	J	T	Sold (part)	01/10/17	J	A	
95. IRA ACCOUNT #2: (H)									
96. DEUTSCHE BANK INS DEPOSIT	A	Int./Div.	J	T					
97. FIRST EAGLE FDS, INC - GLOBAL FUND CL I	A	Dividend	J	T					
98. HARBOR CAPITAL APPRECIATION FD	A	Dividend	J	T					
99. HARBOR MID CAP VALUE FUND	A	Dividend	J	T					
100. METROPOLITAN WEST TOTAL RETURN BOND FD CL I	A	Dividend	J	T					
101. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
102. MFS VALUE FUND CLASS I	A	Dividend	J	T					

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103. MSIF GLOBAL GROWTH OPPORTUNITY PORTFOLIO FD CL I	A	Dividend	J	T	Sold (part)	07/12/17	J	A	
104.					Sold (part)	10/09/17	J	A	
105. PRIMECAP ODYSSEY STOCK FUND	A	Dividend	J	T	Sold (part)	04/12/17	J	A	
106. PRINCIPAL FDS INC MIDCAP GROWTH I (formerly Midcap Blend)	A	Dividend	J	T					
107. THORNBURG GLOBAL OPPORTUNITIES FND CLASS I	A	Dividend	J	T					
108. VIRTUS GLOBAL REAL ESTATE SECURITIES	A	Dividend	J	T					
109. AMG MGRS SKYLINE SPECIAL EQTY	A	Dividend	J	T	Sold (part)	01/10/17	J	A	
110. 401(K) PLAN: (H)									
111. AMCENT EQ GR INST	A	Dividend	M	T					
112. AMERICAN FUNDS EURO PACIFIC GROWTH FD R6		None	L	T					
113. AMERICAN FUNDS GROWTH FUND OF AMERICA R6		None	L	T					
114. FIDELITY PURITAN FUND	A	Dividend	L	T					
115. TCW COR FXD INC I		None	L	T					
116. T. ROWE PRICE INST SMCAP STK		None	L	T					
117. VANGUARD INST INDX INST PLS		None	L	T					
118. VANGUARD MID CAP INDEX INSTL FD		None	L	T					
119. EDUCATION SAVINGS 529 PLANS: (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. MD COLLEGE INVESTMENT PLANS - PORTFOLIO FOR COLLEGE 2030 (ACCT #1)		None	J	T					
121. MD COLLEGE INVESTMENT PLANS - PORTFOLIO FOR COLLEGE 2030 (ACCT #2)		None	J	T					
122. MD COLLEGE INVESTMENT PLANS - PORTFOLIO FOR COLLEGE 2030 (ACCT #3)		None	J	T					
123. MD COLLEGE INVESTMENT PLANS - PORTFOLIO FOR COLLEGE 2036 (ACCT #4)		None	J	T					
124. MD COLLEGE INVESTMENT PLANS PORTFOLIO FOR COLLEGE 2033 (ACCOUNT #5)		None	J	T	Buy	12/12/17	J		
125. MD COLLEGE INVESTMENT PLANS -PORTFOLIO FOR COLLEGE 2033 (ACCOUNT #6)		None	J	T	Buy	12/12/17	J		
126.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I:
Previously, I inadvertently omitted reference to the District Judges Association of the Fourth Circuit.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ellen L. Hollander**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544