

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, Rebecca B.	2. Court or Organization Eastern District of Virginia	3. Date of Report 5/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court 600 Granby Street Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	General Douglas MacArthur Foundation, Norfolk, Va
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Law Association	March 23-24, 2012	New York, New York	Annual Dinner	Transportation, lodging, food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Branch Bank & Trust Co. Bank Account	A	Interest	J	T					
2. Investment Account #1 directing (line 3)									
3. IRA-Brokerage Account #1 holding (lines 4-32)									
4. Schwab Govt. Money Fund	A	Int./Div.	L	T					
5. Hampton Roads Bankshares Inc		None			Sold	04/13/12	J		
6. Standard & Poor's Midcap 400	B	Int./Div.	K	T					
7. Tr MSCI EAFE Index Fund	C	Int./Div.	L	T					
8. Standard & Poor's 500 Depository Receipts Trust	B	Int./Div.	M	T					
9. A T & T Inc New	A	Int./Div.	J	T					
10. Verizon Communications	A	Int./Div.	J	T					
11. IShares S & P Sncap Value	A	Int./Div.	J	T					
12. IShares TR Barclays Bond Intermediate	B	Int./Div.	K	T					
13. IShares TR Barclays Fund Aggregate now Ishares Core	A	Int./Div.	J	T					
14. IShares Tr Cohen & Steer	B	Int./Div.	J	T					
15. SPDR Trust Unit Sr 1		None	J	T					
16. IShares Barclays Bond (1-3 yr credit bond)		None			Sold	09/13/12	K	A	
17. IShares Trust (High Yield Corp)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Vanguard MSCI Emerging Markets		None	J	T					
19. IShares Tr Barclays Tips	A	Int./Div.	K	T	Buy	09/13/12	K		
20. Wisdomtree Trust	A	Int./Div.	J	T	Buy	03/02/12	J		
21. Eaton Corporation	A	Int./Div.			Buy	07/18/12	J		
22.					Sold	12/03/12	J	B	
23. Taxable Bonds:									
24. Gov't Natl Mtg. Assn Pool 5/15/20 Medium Term Notes		None	J	T					
25. US TSY Inflation Index Notes 7-15-13		None	J	T					
26. U.S. T-Note 5/31/13	A	Int./Div.	K	T					
27. U.S. T-Note 8/15/12		None			Matured	08/15/12	J	A	
28. U.S. T-Note 8/15/15	A	Int./Div.	J	T					
29. Fed Farm CR BK 6/8/17	A	Int./Div.	K	T					
30. Conocophillips 10/15/16	A	Int./Div.	J	T					
31. Amgen 11/15/21	A	Int./Div.	J	T					
32. Maryland St Sta 12/15/18	A	Int./Div.	J	T	Buy	08/28/12	J		
33. VA		None	N	W					
34. Investment Account #2 directing (line 35)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Brokerage Account #2 holding (lines 36-94)									
36. Schwab Govt Money Fund	A	Int./Div.	J	T					
37. Equities/Stocks:									
38. A T & T Inc.	B	Dividend	K	T					
39. Ace Limited	A	Dividend	K	T					
40. Auto Data Processing	A	Dividend	K	T					
41. Cisco Systems Inc.	A	Dividend	J	T					
42. Dollar Tree Inc.		None	K	T					
43. DuPont E I De Nemours & Co.	A	Dividend	J	T					
44. Duke Energy Corp.	A	Dividend	J	T					
45. Exxon Mobil Corp.	A	Dividend	K	T					
46. General Electric Co.	B	Dividend	K	T					
47. Hampton Roads Bankshares		None			Sold	04/13/12	J		
48. Honeywell International	A	Dividend	K	T					
49. Johnson & Johnson	A	Dividend	K	T					
50. J.P. Morgan Chase & Co.	A	Dividend	K	T					
51. Kraft Foods, Inc name changed to Mondelez on 10/2/12	A	Dividend	J	T					

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52. Medtronic Inc.		None			Sold	02/29/12	J		
53. Microsoft Corp.	A	Dividend	K	T					
54. Pepsico Inc.	A	Dividend	K	T					
55. Verizon Communications	A	Dividend	J	T					
56. Canadian Natl Ry Co	A	Dividend	K	T					
57. Chesapeake Energy Corp		None			Sold	05/11/12	J	A	
58. Harris Corporation		None			Sold	04/03/12	J	A	
59. Home Depot	A	Dividend	J	T					
60. Intel Corp	A	Dividend			Sold	11/14/12	J	B	
61. Marathon Oil Corp	A	Dividend	J	T					
62. Proctor & Gamble	A	Dividend	J	T					
63. Travelers Companies	A	Dividend	K	T					
64. Rydex SGI MGD Futures		None			Sold	02/17/12	K		
65. Vanguard Div Appreciation	A	Dividend	K	T					
66. Chevron Corporation	A	Dividend	K	T					
67. Noble Corp.	A	Dividend			Sold	04/03/12	J	A	
68. Wal-Mart Stores Inc	B	Dividend	K	T					

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69. SPDR Index Shares Fund	A	Dividend	J	T					
70. Apple Inc	A	Dividend	K	T					
71. Marathon Pete Corp	A	Dividend	J	T					
72. Plum Creek Timber Co	A	Dividend	J	T					
73. Amgen Incorporated	A	Dividend	J	T	Buy	05/11/12	J		
74. BP PLC ADR	A	Dividend	K	T	Buy	03/01/12	K		
75. CSX Corp	A	Dividend	K	T	Buy	05/11/12	K		
76. Cliffs Natural Res Inc	A	Dividend	J	T	Buy	02/29/12	J		
77. Corning Inc	A	Dividend	J	T	Buy	07/13/12	J		
78. McDonalds Corp	A	Dividend	J	T	Buy	11/02/12	J		
79. Kraft FoodsGroup	A	Dividend	J	T	Spinoff (from line 51)	10/01/12	K		
80. Total S A Adr	A	Dividend	J	T	Buy	04/03/12	J		
81. Tax Exempt Bonds:									
82. Bristol, VA 11/1/16	A	Interest	J	T					
83. Middle Riv. Regl. 5/15/12		None			Matured	05/15/12	K		
84. Prince William County VA CTF Partn 6/1/15	A	Interest	J	T					
85. Virginia College Bl. 9/1/15	A	Interest	J	T					

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86. Virginia College Bl. 4/1/16	A	Interest	K	T					
87. VA Res Auth Infrastructure Rev. Pooled Loan Bond 11/1/14	A	Interest	K	T					
88. Appamattox Cnty Va 05/01/14	A	Interest	K	T					
89. Fairfax Cnty Va ECO 05/15/17	A	Interest	J	T					
90. Virginia St Hsg 04/01/13	A	Interest	J	T					
91. Hanover County VA Eco 04/01/18	A	Interest	K	T					
92. Norfolk Va Arpt Aut 07/01/13	A	Interest	K	T					
93. Virginia St Res Aut 11/01/19	B	Interest	K	T					
94. Richmond Va Met		None	K	T	Buy	08/28/12	K		
95. Wells Fargo	A	Interest	M	T					
96. Rental Property #1, Norfolk, VA	E	Rent	N	W					
97. C.A. Associates, 2%, Norfolk, VA	D	Dividend	K	W					
98. GJF Currituck Beach Associates, NC		None	J	W					
99. IRA - Equi-Vest		None	K	T					
100. Deferred Annuity - Equitable Life Ins. Co.	B	Distribution	K	T					
101. Holly Holdings, Inc.	D	Interest	N	T					
102. Friends of Cedar Point Country Club	A	Interest	J	T					

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2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
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103. IRA-Brokerage Acct #3 directing and holding (lines 104-156)									
104. UBS Financial Services IRA Partial Dist.	D	Distribution	M	T					
105. First Eagle Sogen Global Fund		None			Sold	02/07/12	J	A	
106. Washington Real Estate Investment Fund	A	Int./Div.	J	T					
107. Eaton Vance Traditional Worldwide Health Sciences	A	Int./Div.			Sold	02/07/12	J	A	
108. Ivy Small Cap Value Fund	A	Int./Div.			Sold	02/07/12	J	B	
109. Matthews China Fund	A	Int./Div.			Sold	02/07/12	J	B	
110. Nuveen Tradewinds Global	A	Int./Div.			Sold	02/07/12	J	A	
111. Oppenheimer Global Opportunities Fund	A	Int./Div.			Sold	02/07/12	J	C	
112. Federated Capital Income Fund	A	Int./Div.			Sold	02/07/12	J	B	
113. Janus Balanced Fund	A	Int./Div.			Sold	02/07/12	J	A	
114. Calvert Income Fund		None			Sold	02/07/12	J	A	
115. Federated Government Income Secs Fund		None			Sold	02/07/12	J	A	
116. Blackrock Global Allocation Fund	A	Int./Div.			Sold	02/07/12	J	A	
117. Ivy Asset Strategy Fund	A	Int./Div.			Sold	02/07/12	J	A	
118. iShares Trust Russell 1000 Value Index	A	Int./Div.	J	T	Buy	05/16/12	J		
119. iShares Trust Russell 1000 Growth Index	A	Int./Div.	J	T	Buy	08/10/12	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. iShares Inc MSCI Brazil		None	J	T	Buy	05/16/12	J		
121. iShares Trust Russell Midcap Value Index	A	Int./Div.	J	T	Buy	08/10/12	J		
122. iShares Trust Russell Midcap Growth Index	A	Int./Div.	J	T	Buy	08/10/12	J		
123. iShares MSCI EAFE Index Fund	A	Int./Div.	J	T	Buy	05/16/12	J		
124. iShares Inc MSCI Pac Ex Japan	A	Int./Div.	J	T	Buy	04/09/12	J		
125. iShares MSCI Emerging Markets Index	A	Int./Div.	J	T	Buy	05/16/12	J		
126. SPDR S & P 500 ETF	A	Int./Div.	J	T	Buy	08/10/12	J		
127. Vanguard Total Stock Mkt ETF	A	Int./Div.	J	T	Buy	08/10/12	J		
128. Vanguard Index Funds Vanguard Value ETF	A	Int./Div.	J	T	Buy	04/09/12	J		
129. Vanguard Index Funds Vanguard Small Cap	A	Int./Div.	J	T	Buy	08/10/12	J		
130. Vanguard Index Funds Vanguard Growth	A	Int./Div.	J	T	Buy	04/09/12	J		
131. Vanguard REIT ETF	A	Int./Div.	J	T	Buy	04/09/12	J		
132. Vanguard MSCI European ETF	A	Int./Div.	J	T	Buy	05/16/12	J		
133. iShares IBoxx \$ Invt Gra De Corp Fund	A	Int./Div.	J	T	Buy	10/10/12	J		
134. iShares TR Core Total	A	Int./Div.	J	T	Buy	08/10/12	J		
135. iShares Barclays Inter CR BD FD	A	Int./Div.	J	T	Buy	02/10/12	J		
136. iShares Barclays MBS Fund		None	J	T	Buy	08/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

5/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. iShares iBoxx High Yield Corp	A	Int./Div.	J	T	Buy	10/04/12	J		
138. Powershares Emerging Markets Sovereign	A	Int./Div.	J	T	Buy	04/09/12	J		
139. SPDR Barclays Inter Term		None	J	T	Buy	10/10/12	J		
140. SPDR Barclays Intl Treas	A	Int./Div.	J	T	Buy	08/10/12	J		
141. SPDR Barclays Capital High Yield ETF	A	Int./Div.	J	T	Buy	02/10/12	J		
142. iShares Gold Trust ETF	A	Int./Div.	J	T	Buy	10/19/12	J		
143. Jefferies Commodity Strategy Alloc. Fund		None	J	T	Buy	05/16/12	J		
144. Pimco Commodity Real Return Strategy	A	Int./Div.	J	T	Buy	04/09/12	J		
145. Permanent Portfolio Fund	A	Int./Div.	J	T	Buy	05/16/12	J		
146. Fed. Govt Income Fund Class A		None	J	T	Buy	08/23/12	J		
147. Lord Abbett Intermediate Tax Fund	A	Int./Div.	J	T	Buy	08/23/12	J		
148. Thornburg Limited Term Fund	A	Int./Div.	J	T	Buy	08/23/12	J		
149. Wells Fargo Advantage Intermediate	A	Int./Div.	J	T	Buy	08/23/12	J		
150. Wells Fargo Advantage Municipal Fund	A	Int./Div.	J	T	Buy	08/23/12	J		
151. Tax Exempt Bonds:									
152. Virginia Beach Va Pub Impt 7/15/23	A	Interest	J	T					
153. Virginia Small Business Fing Auth 11/1/21	A	Interest	K	T	Open	09/11/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

5/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Arlington Cnty Va IDA 7/1/31	B	Interest	K	T	Open	09/11/12	K		
155. Roanoke VA EDA Hosp 7/1/20	C	Interest	K	T	Open	09/11/12	K		
156. Henrico County Va Econ Auth Res Care 10/1/35	A	Interest	K	T	Open	09/11/12	K		
157. Brokerage Account #4 directing and holding (lines 158-193)									
158. Resource Management Tax-Free Fund, Inc.	A	Interest	J	T					
159. Equities/Stocks:									
160. IShares Trust Russell 1000 Value Index Fund	A	Dividend	J	T					
161. IShares Trust Russell 1000 Growth Index Fund	A	Dividend	J	T					
162. IShares Trust Russell Midcap Index Fund	A	Dividend	J	T					
163. IShares MSCI EAFE Index Fund		None	J	T					
164. Rydex ETF Trust S & P 500 (NOW SPDR S & P 500 ETF)	A	Dividend	J	T					
165. Vanguard Intl Equity Index Fd		None			Sold	04/16/12	J		
166. Vanguard Divid Appreciation ETF	B	Dividend			Sold	04/16/12	J	A	
167. IShares Barclays 1-3 yr Treas Bond Fund	A	Dividend			Sold	05/31/12	J	A	
168. IShares Barclays Tips Bond Fund	A	Dividend			Sold	08/02/12	J	A	
169. IShares Barclays MBS Bond Fd		None	K	T					
170. SPDR Barclays Capital Int Term Treasury ETF		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

5/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. iShares Barclays 20+ year Treasury Bd	B	Dividend			Sold	09/18/12	K	A	
172. Permanent Portfolio Fund Inc.	B	Dividend	J	T					
173. First Trust Exchange Traded Fund II	A	Dividend			Sold	04/16/12	J	A	
174. Nuveen Gold Value Opportunities		None			Sold	04/16/12	J		
175. SPDR S & P Dividend ETF	A	Dividend			Sold	04/16/12	J	A	
176. Arrow Managed Futures		None			Sold	04/16/12	J		
177. Robeco Boston Partners	A	Dividend			Sold	08/21/12	J	A	
178. iShares Gold Trust ETF	A	Dividend	J	T					
179. Vanguard Total Stock MKT ETF	A	Dividend	J	T	Buy	05/31/12	J		
180. Vanguard Index Funds Vanguard Value	A	Dividend	J	T	Buy	05/31/12	J		
181. Vanguard Index Funds Vanguard Growth	A	Dividend	J	T	Buy	05/31/12	J		
182. Vanguard MSCI European ETF	A	Dividend	J	T	Buy	05/31/12	J		
183. iShares Barclays 7-10 year Treas Bond	A	Dividend	J	T	Buy	04/16/12	J		
184. iShares iBoxx \$ Invt Gra De Corporate	A	Dividend	K	T	Buy	10/12/12	K		
185. iShares Tr Core Total US Bond Mkt	A	Dividend	K	T	Buy	04/16/12	K		
186. iShares Barclays Inter CR BD FD	A	Dividend	K	T	Buy	04/16/12	K		
187. iShares iBoxx High Yield Corp Bond	A	Dividend	J	T	Buy	10/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

5/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Powershares Emerging Markets Sovereign	A	Dividend	J	T	Buy	05/31/12	J		
189. SPDR Barclays Intl Treas Bond ETF	A	Dividend	J	T	Buy	04/16/12	J		
190. SPDR Barclays Capital High Yield	A	Dividend	J	T	Buy	05/31/12	J		
191. AQR Managed Futures Strategy Fund	A	Dividend	J	T	Buy	07/06/12	J		
192. Jefferies Commodity Strategy Alloc Fund		None	J	T	Buy	05/31/12	J		
193. Pimco Commodity Real Return Strategy	A	Dividend	J	T	Buy	05/31/12	J		
194. Brokerage Account #5 directing and holding (lines 195-198)		None			Closed	09/11/12	M		
195. Henrico Cnty Va Econ Auth Res Care 10/1/35		None			Merged (with line 156)	09/11/12	K		
196. Virginia Small Business Fing Auth 11/1/21		None			Merged (with line 153)	09/11/12	K		
197. Arlington Cnty Va IDA 7/1/31		None			Merged (with line 154)	09/11/12	K		
198. Roanoke VA EDA Hosp 71/20		None			Merged (with line 155)	09/11/12	K		
199. NUZ Investments LLC		None	K	T	Buy	10/19/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting	Date of Report
Smith, Rebecca B.	5/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Brokerage Account #5 (line 194) was closed on September 11, 2012. The four (4) assets held in that account, as shown on lines 195, 196, 197, and 198, were then opened/transferred to Brokerage Account #3 (line 98) on that same date, September 11, 2012, as shown on lines 153, 154, 155, and 156.

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

5/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rebecca B. Smith

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544