

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conner, Christopher C.	2. Court or Organization Middle District Pennsylvania	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address P.O. Box 847 Harrisburg, PA 17108-0847		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Fidelity Advisor 529 College Savings Plans #1 and #2
2. Trustee	Trustee Account #1
3. Member of the Board of Advisors	Penn State University Dickinson School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	The Pennsylvania State University - teaching at law school	\$24,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	The Pennsylvania State University - teaching salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pennsylvania State Employees Credit Union	Mortgage equity loan on Rental Property, Mechanicsburg, PA (Part VII, Line 5)	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank, NA cash accounts	A	Interest	K	T					
2. Metro Bank cash accounts	A	Interest	J	T					
3. PNM Resources Inc. common stock	A	Dividend	J	T					
4. Consecro Life Insurance Co. - flexible premium life ins pol	A	Interest	J	T					
5. RENTAL PROPERTY, Mechanicsburg, PA (2004, \$302,250)	E	Rent	N	R					
6. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #1 (H)									
7. - FA 529 Diversified International Portfolio Class A		None			Distributed	07/16/14	J	A	
8. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	K	T					
9. - FA 529 Small Cap Portfolio Class A		None			Distributed (part)	03/06/14	J	A	
10.					Distributed	05/09/14	J	A	
11. - FA 529 Money Market Portfolio Class A		None			Distributed	05/09/14	J	A	
12. - FA 529 High Income Portfolio Class A		None			Distributed	05/09/14	J	A	
13. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #2 (H)									
14. - FA 529 Diversified International Portfolio Class A		None	K	T	Distributed (part)	07/16/14	J	A	
15. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	K	T	Distributed (part)	07/16/14	J	A	
16. - FA 529 Small Cap Portfolio Class A		None	K	T	Distributed (part)	07/16/14	J	A	
17. - FA 529 Dividend Growth Portfolio Class A		None	K	T	Distributed (part)	07/16/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - FA 529 High Income Portfolio Class A		None	J	T	Distributed (part)	07/16/14	J	A	
19. - FA 529 Limited Term Bond Portfolio C1 A (formerly Intermediate Bond)		None	J	T	Distributed (part)	07/16/14	J	A	
20. TRUSTEE ACCOUNT #1 (H)									
21. - Schwab One money market account	A	Interest	K	T					
22. - Loomis Sayles Bond Fund Class R	B	Dividend	K	T					
23. - Pimco Income Fund Class D	B	Dividend	K	T	Buy (add'l)	01/27/14	J		
24. - T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T	Sold (part)	01/27/14	J	B	
25. - BBH Core Select Fund Class N	A	Dividend	K	T	Sold (part)	01/27/14	J	B	
26. - Commerce Bond Shares (Y)									
27. - Vanguard Dividend Growth Fund Investor shares	B	Dividend	K	T	Sold (part)	01/27/14	J	A	
28. - Powershares S&P ETF 500 Low Volatility	A	Dividend	K	T					
29. - Matthews Asia Dividend Fund	A	Dividend	J	T					
30. - Vanguard Selected Value Fund	A	Dividend	K	T					
31. - Pimco 0-5 Year High Yield Corp Bond Index Fund	A	Dividend			Sold (part)	11/24/14	J		
32.					Sold	12/08/14	J		
33. - AdvisorShares Trust Peritus High Yield ETF	B	Dividend			Sold (part)	11/03/14	K		
34.					Sold	11/06/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - SPDR S&P China ETF		None			Sold	01/29/14	J		
36. - WisdomTree Europe Hedged Equity Fund	A	Dividend	J	T					
37. - WisdomTree Japan Hedged Equity Fund	A	Dividend	J	T					
38. - Schwab Health Care Fund	C	Dividend	K	T	Buy	07/22/14	K		
39. - Ishares ETF Short Maturity Bond	A	Dividend	J	T	Buy	07/29/14	K		
40.					Sold (part)	11/06/14	J		
41. - Glenmede Large Cap Growth Fund	A	Dividend	K	T	Buy	11/03/14	J		
42. - SPDR S&P Dividend ETF	A	Dividend	J	T	Buy	11/03/14	J		
43. - Ishares US Preferred Stock ETF	A	Dividend	J	T	Buy	11/06/14	J		
44. - Proshares UltraShort Euro		None	J	T	Buy	11/06/14	J		
45. CHARLES SCHWAB IRA #1 (H)									
46. - Charles Schwab money market account	A	Interest	J	T					
47. - Charles Schwab Bank insured deposit account	A	Interest	L	T					
48. - Templeton Global Bond Fund	B	Dividend	K	T	Sold (part)	11/24/14	J	A	
49. - PPL Corporation common stock	B	Dividend	K	T					
50. - Sector SPDR Financial Select Shares of Beneficial Interest	A	Dividend	J	T					
51. - iShares TR TIPS Bond ETF (Formerly Barclays TIPS Bond Fund)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - T Rowe Price Blue Chip Growth Fund	B	Dividend	K	T	Sold (part)	01/27/14	J	C	
53. - Vanguard Short Term Corporate Bond Index ETF	B	Dividend	L	T					
54. - Commerce Bond Shares (Y)									
55. - Metropolitan West Total Return Bond M	A	Dividend	K	T					
56. - Vanguard Dividend Growth Fund Investor Shares	B	Dividend	K	T	Sold (part)	01/27/14	J	A	
57. - Powershares S&P ETF 500 Low Volatility	A	Dividend	K	T	Sold (part)	01/27/14	K	B	
58. - Vanguard Selected Value Fund	B	Dividend	K	T	Sold (part)	01/27/14	J	A	
59. - SPDR S&P Midcap 400 ETF	A	Dividend	J	T					
60. - Matthews Asia Dividend Fund	A	Dividend	K	T					
61. - Pimco 0-5 Year High Yield Corp Bond Index Fund	B	Dividend			Sold (part)	11/24/14	K		
62.					Sold	12/08/14	K		
63. - AdvisorShares Trust Peritus High Yield ETF	B	Dividend			Sold (part)	11/03/14	K		
64.					Sold	11/24/14	J		
65. - SPDR S&P China ETF	A	Dividend			Sold	01/27/14	J		
66. - WisdomTree Europe Hedged Equity Fund	A	Dividend	J	T					
67. - WisdomTree Japan Hedged Equity Fund	B	Dividend	J	T					
68. - Schwab Health Care Fund	C	Dividend	K	T	Buy	07/22/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$0,001 - \$100,000 F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. - Ishares ETF Short Maturity Bond: Near	A	Dividend	K	T	Buy	07/29/14	K		
70. - Proshares UltraShort Euro		None	J	T	Buy	10/17/14	J		
71. - Sector SPDR Consumer Fd Shares of Beneficial Interest	A	Dividend	J	T	Buy	10/17/14	J		
72. - Sector SPDR Tech Select Shares of Beneficial Interest	A	Dividend	J	T	Buy	10/17/14	J		
73. - Glenmede Large Cap Growth Fund	A	Dividend	K	T	Buy	11/03/14	J		
74. - Ishares US Preferred ETF US Pfd Stock	A	Dividend	K	T	Buy	11/06/14	K		
75. - SPDR S&P Dividend ETF	A	Dividend	K	T	Buy	11/03/14	J		
76. - Ishares Select Dividend ETF	A	Dividend	K	T	Buy	12/18/14	K		
77. GE Capital Bank, certificate of deposit		None	K	T	Buy	11/14/14	K		
78.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

N/A

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/11/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher C. Conner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544