

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gordon, Leo M.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Court of International Trade One Federal Plaza New York, NY 10278		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Dean's Advisory Board - Emory University School of Law - Atlanta, GA
2. Executor		Estate of [REDACTED] - Maplewood, NJ
3. Executor		Estate of [REDACTED] - Maplewood, NJ
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Seton Hall University School of Diplomacy - South Orange, NJ - Teaching	\$4,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	- Teaching - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Emory University School of Law	3/20/2013	Atlanta, GA	Lecture	Transportation, and meals
2. Nat'l Customs Brokers and Forwarders Association of America	4/6/2013-4/9/2013	Rancho Mirage, CA	Nat'l Customs Brokers and Forwarders Assoc. of America Annual Conference - Mock Trial	Transportation, lodging, and meals
3. Emory University School of Law	4/17/2013	Atlanta, GA	Lecture	Transportation
4. Emory University School of Law	4/18/2013-4/19/2013	Atlanta, GA	Emory University School of Law - Dean's Advisory Board Meeting	Lodging and meals

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5.	Federal Judges Association	4/23/2013-4/24/2013	Washington, DC	Board Meeting	Transportation, lodging, and meals
6.	Emory University School of Law	10/24/2013-10/25/2013	Atlanta, GA	Emory University School of Law - Dean's Advisory Board Meeting	Transportation, lodging, and meals
7.	Judicial Exchange and Study Academy	11/25/2013-11/26/2013	Philadelphia, PA	AIEJ Conference - Lecture	Lodging and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	Sallie Mae Servicing	Student Loans	K
3.	Chase Card Services	Credit Card	K
4.	Bank of America	Credit Line	J
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Verizon Communications - Common Stock	A	Dividend	J	T					
2. ML Bank Deposit Program - Wells Fargo Bank, N.A.	A	Interest	J	T					
3. ML Bank Deposit Program - Wells Fargo Bank, N.A.	A	Interest	J	T					
4. Franklin Templeton - Temp Class A - World Fund - Mutual Fund	C	Dividend	L	T					
5. Invesco VanKampen Amer. Cap. High Yield Mun. F. - Mutual Fund	B	Dividend	K	T					
6. Disney - Common Stock	A	Dividend	J	T					
7. Valley Nat'l Savings Bank - Savings Account	A	Interest	J	T					
8. ING Security Life - Whole Life Insurance Policy	A	Interest	J	T					
9. Apple - Common Stock	B	Dividend	L	T					
10. Visa Inc. - Common Stock	B	Dividend	J	T					
11. Stonebridge Life Ins. Co. - Whole Life Ins. Policy	A	Interest	J	T					
12. Portage Minerals Inc.		None	J	T					
13. Bank of America - Savings Acct.	A	Interest	J	T					
14. U.S. Treasury HH Bonds		None	J	T					
15. - GNMA - Bonds	A	Interest	J	T					
16. -Franklin Templeton - High Inc. Tr. Fnd - Class A - Mut.Fund	A	Dividend			Buy	08/16/13	J		
17. -Amer. Funds -Wash. Mut.Investors Fund- Class A - Mut. Fund	A	Dividend			Buy	08/16/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Estate #1	D	Int./Div.	K	T					
19. -U.S. Treasury EE Bonds									
20. - AGE Bank Deposit Program - Wells Fargo Bank, N.A.									
21. - GNMA - Bonds					Redeemed (part)	04/22/13	J	A	
22. - Fed. Home Loan Mtg. Corp. - Bonds									
23. - Fed. Home Loan Mtg. Corp. - Bonds (Y)									
24. -Franklin Templeton - High Inc. Tr. Fnd - Class A - Mut.Fund					Sold	08/16/13	J	A	
25. -Amer. Funds -Wash. Mut.Investors Fund- Class A - Mut. Fund					Sold	08/16/13	K	A	
26. -Bank of America Checking Account									
27. IRA #1	D	Int./Div.	K	T					
28. -AGE Bank Deposit Program - Wells Fargo Bank, NA									
29. -Calamos Investment Trust New Convertible Fund - Mutual Fund					Sold (part)	02/12/13	J	A	
30. -Calamos Investment Trust New Convertible Fund - Mutual Fund					Sold (part)	10/29/13	J	A	
31. -Calamos Investment Trust New Growth Fund - Mutual Fund					Sold (part)	04/29/13	J	A	
32. -Calamos Investment Trust New Growth Fund - Mutual Fund					Sold (part)	10/29/13	J	A	
33. -Kinectics Mutual Fund Inc -Paradigm Fund - Mutual Fund					Sold (part)	04/12/13	J	A	
34. -Kinectics Mutual Fund Inc -Paradigm Fund - Mutual Fund					Sold (part)	04/29/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Kinectics Mutual Fund Inc -Paradigm Fund - Mutual Fund					Sold (part)	10/29/13	J	A	
36. -WHV Int'l Equity Fund - Class 1- Mutual Fund					Sold (part)	04/29/13	J	A	
37. -WHV Int'l Equity Fund - Class 1- Mutual Fund					Sold (part)	10/29/13	J	A	
38. -Pimco Real Return-Bond					Sold (part)	04/29/13	J	A	
39. -Pimco Real Return -Bond					Sold (part)	10/29/13	J	A	
40. -Wells Fargo Advantage Emerging Markets-Equity Fund Mut Fund					Sold (part)	01/11/13	J	A	
41. -Wells Fargo Advantage Emerging Markets-Equity Fund Mut Fund					Sold (part)	04/30/13	J	A	
42. -Wells Fargo Advantage Emerging Markets-Equity Fund Mut Fund					Sold (part)	10/29/13	J	A	
43. Estate #2	B	Int./Div.	L	T	Closed				
44. -General Motors-Bonds					Sold (part)	05/08/13	J	A	
45. -General Motors-Bonds					Sold (part)	08/14/13	J	A	
46. -AGE Bank Deposit Program - Wells Fargo Bank, NA					Sold	08/22/13	J	A	
47. Estate #3	B	Int./Div.	L	T					
48. - Prudential Financial Inc. - Common Stock					Sold	12/04/13	J	A	
49. - Xerox Corp. - Common Stock					Sold	12/04/13	J	A	
50. - Apple - Common Stock					Sold	12/04/13	K	A	
51. Chubb Corp. - Common Stock (X)					Sold	12/04/13	J	A	

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52. Bank of America - Checking Account (X)									
53.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I

Ln 1 Inadvertently omitted from prior report.

Part VII

Ln 15 Inadvertently omitted from prior report.

Lns 51-52 Inadvertently omitted from prior report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leo M. Gordon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544