

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baker, Kristine G.	2. Court or Organization Eastern District of Arkansas	3. Date of Report 08/24/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court - Eastern District of Arkansas 500 West Capitol Avenue, Room D444 Little Rock, Arkansas 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Quattlebaum, Grooms, Tull & Burrow PLLC ("QGTB")
2.	Member	Arbor Enterprises, LLC
3.	Member	Wasserliebend, LLC
4.	Board Member	Presbyterian Village, Inc.
5.	Board Member	Arkansas Bar Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 7/19/2004	Equity partners of QGTB; governs agreed amount to be paid to a departing partner; resigned as partner 5/21/2012
2. 2012	QGTB PLLC 401(k) Plan; plan participants in firm-sponsored 401(k) plan; self-directed account with investments disclosed on Part VII
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 5/21/2012	QGTB Ordinary business income	\$135,134.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2012	MWSGW Ordinary business income
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase #1	Credit card	J
2.	Chase #2	Credit card	J
3.	Am. Express	Credit card	J
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Acct. #1:									
2. Am. Funds Int'l Growth & Income Fund	A	Dividend	L	T	Buy (add'l)	12/26/12	J		
3. Am. Funds Europac. Fund	A	Dividend	K	T	Buy (add'l)	12/28/12	J		
4. Am. Funds Growth Fund of Am.	A	Dividend	K	T	Buy (add'l)	08/08/12	J		
5.					Buy (add'l)	10/15/12	J		
6.					Buy (add'l)	11/12/12	J		
7.					Buy (add'l)	12/10/12	J		
8.					Buy (add'l)	12/21/12	J		
9. Am. Funds New World Fund	B	Dividend	M	T	Buy (add'l)	12/28/12	J		
10. Am. Funds Smallcap Wrld. Fund	A	Dividend	K	T	Buy (add'l)	12/28/12	J		
11. Am. Funds Washington Mut. Fund	B	Dividend	L	T	Buy (add'l)	9/25/12	J		
12.					Buy (add'l)	12/26/12	J		
13. Am. Funds Global Balanced Fund	B	Dividend	L	T	Buy (add'l)	4/13/12	K		
14.					Buy (add'l)	6/11/12	K		
15.					Buy (add'l)	7/10/12	J		
16.					Buy (add'l)	10/1/12	J		
17.					Buy (add'l)	12/31/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Brokerage Acct. #2:									
19. Am. Funds Int'l. Growth & Income Fund	A	Dividend	K	T	Buy (add'l)	1/12/12	J		
20.					Buy (add'l)	2/13/12	J		
21.					Buy (add'l)	3/16/12	J		
22.					Buy (add'l)	3/20/12	J		
23.					Buy (add'l)	4/13/12	J		
24.					Buy (add'l)	5/11/12	J		
25.					Buy (add'l)	6/14/12	J		
26.					Buy (add'l)	9/13/12	J		
27.					Buy (add'l)	12/26/12	J		
28. Am. Funds Europac. Fund	B	Dividend	L	T	Buy (add'l)	12/28/12	J		
29. Am. Funds Growth Fund of Am.	A	Dividend	L	T	Buy (add'l)	6/4/12	J		
30.					Buy (add'l)	12/21/12	J		
31. Am. Funds Smallcap Wrld. Fund	A	Dividend	K	T	Buy (add'l)	1/12/12	J		
32.					Buy (add'l)	2/13/12	J		
33.					Buy (add'l)	3/16/12	J		
34.					Buy (add'l)	4/13/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	5/11/12	J		
36.					Buy (add'l)	6/4/12	J		
37.					Buy (add'l)	12/28/12	J		
38. Am. Funds Washington Mut. Fund	B	Dividend	L	T	Buy (add'l)	3/27/12	J		
39.					Buy (add'l)	6/19/12	J		
40.					Buy (add'l)	9/25/12	J		
41.					Buy (add'l)	12/26/12	J		
42. Brokerage Acct. #3:									
43. Fed Ex Corp		None			Sold	8/7/12	J	A	
44. Am. Funds Fundamental Investors Fund	A	Dividend	K	T	Buy (add'l)	12/21/12	J		
45. Am. Funds Growth Fund of Am.	A	Dividend	J	T	Buy (add'l)	12/21/12	J		
46. Am. Funds Int'l. Growth & Income Fund	A	Dividend	J	T	Buy (add'l)	12/24/12	J		
47. Invesco Constellation Fund		None	K	T					
48. Am. Funds Europac. Fund	A	Dividend	J	T	Buy (add'l)	12/28/12	J		
49. Brokerage Acct. #4:									
50. Am. Funds Int'l. Growth & Income Fund	A	Dividend	J	T	Buy (add'l)	3/20/12	J		
51.					Buy (add'l)	6/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	9/13/12	J		
53.					Buy (add'l)	12/26/12	J		
54. Am. Funds Europac. Fund	A	Dividend	J	T	Buy (add'l)	12/28/12	J		
55. Am. Funds Fundamental Investors Fund	A	Dividend	J	T	Buy (add'l)	3/20/12	J		
56.					Buy (add'l)	6/15/12	J		
57.					Buy (add'l)	9/14/12	J		
58.					Buy (add'l)	12/21/12	J		
59. Am. Funds Growth Fund of Am.	A	Dividend	J	T	Buy (add'l)	12/21/12	J		
60. Am. Funds Global Balanced Fund	A	Dividend	J	T	Buy (add'l)	4/2/12	J		
61.					Buy (add'l)	7/2/12	J		
62.					Buy (add'l)	10/1/12	J		
63.					Buy (add'l)	12/31/12	J		
64. Brokerage Acct. #5:									
65. Am. Funds Global Balanced Fund	A	Dividend	J	T	Buy (add'l)	12/31/12	J		
66. Am. Funds Europac. Fund	A	Dividend	J	T	Buy	02/07/12	J		
67.					Buy (add'l)	12/28/12	J		
68. Brokerage Acct. #6:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Am. Funds Global Balanced Fund	A	Dividend	J	T	Buy (add'l)	12/31/12	J		
70. Am. Funds Europac. Fund	A	Dividend	J	T	Buy	12/28/12	J		
71.					Buy (add'l)	12/28/12	J		
72. Brokerage Acct. #7:									
73. Vanguard Age-Based Aggressive Growth		None	M	T	Buy (add'l)	5/15/12	J		
74.					Buy (add'l)	9/13/12	J		
75.					Buy (add'l)	11/21/12	J		
76. Brokerage Acct. #8:									
77. Am. Funds Int'l Growth & Income Fund	A	Dividend			Sold	10/4/12	K	A	
78. Am. Funds Capital World & Income Fund	A	Dividend			Sold	10/4/12	K	A	
79. Am. Funds Europac. Fund		None			Sold	10/4/12	J	A	
80. Am. Funds Growth Fund of America		None			Sold	10/4/12	J	A	
81. Am. Funds New World Fund		None			Sold	10/4/12	J	A	
82. Am. Funds Smallcap World Fund		None			Sold	10/4/12	J	A	
83. Am. Funds Washington Mutual Fund	A	Dividend			Sold	10/4/12	J	A	
84. Am. Funds Global Balanced Fund	A	Dividend			Sold	10/4/12	J	A	
85. American Funds 2024 Fund	A	Dividend	M	T	Buy	10/4/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Brokerage Acct. #9:									
87. Vanguard Age-Based Aggressive Growth		None	M	T	Buy (add'l)	5/15/12	J		
88.					Buy (add'l)	9/13/12	J		
89.					Buy (add'l)	11/21/12	J		
90. Brokerage Acct. #10:									
91. Am. Funds Growth Fund of Am.		None			Buy (add'l)	5/10/12	J		
92.					Buy (add'l)	9/12/12	J		
93.					Sold	10/8/12	K	A	
94. Am. Funds New World Fund		None			Buy (add'l)	5/10/12	J		
95.					Buy (add'l)	9/12/12	J		
96.					Sold	10/8/12	K	A	
97. Am. Funds Capital World Growth & Income	A	Dividend			Buy (add'l)	5/10/12	J		
98.					Buy (add'l)	9/12/12	J		
99.					Sold	10/8/12	K	A	
100. American Funds 2024 Fund	A	Dividend	L	T	Buy	10/8/12	L		
101. Centennial Bank (ckg. acct.)	A	Interest	L	T					
102. Delta Trust & Bank (ckg. acct.)	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Iberia Bank (svgs. acct.)	A	Interest	J	T					
104. Membership interest in Arbor Enterprises, LLC;									
105. Delta Bank & Trust	A	Interest	L	T					
106. American Funds Int'l. Growth & Income Fund**	A	Dividend	K	T	Buy (add'l)	3/20/12	J		
107.					Buy (add'l)	6/14/12	J		
108.					Buy (add'l)	9/13/12	J		
109.					Buy (add'l)	12/26/12	J		
110. American Funds Capital World Fund**	A	Dividend	K	T	Buy (add'l)	3/20/12	J		
111.					Buy (add'l)	6/19/12	J		
112.					Buy (add'l)	9/25/12	J		
113.					Buy (add'l)	11/12/12	J		
114.					Buy (add'l)	12/18/12	J		
115. American Funds Europacific Fund**	A	Dividend	K	T	Buy	2/14/12	J		
116.					Buy	12/18/12	J		
117.					Buy	12/28/12	J		
118. American Funds Growth Fund of Am.**	A	Dividend	K	T	Buy (add'l)	11/12/12	J		
119.					Buy (add'l)	12/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. American Funds New World Fund**	A	Dividend	K	T	Buy (add'l)	12/28/12	J		
121. American Funds Smallcap World Fund**	A	Dividend	K	T	Buy (add'l)	12/28/12	J		
122. American Funds Washington Mutual Fund**	A	Dividend	K	T	Buy (add'l)	3/27/12	J		
123.					Buy (add'l)	6/19/12	J		
124.					Buy (add'l)	9/25/12	J		
125.					Buy (add'l)	12/26/12	J		
126. Munder Growth Opportunities Fund**		None			Sold	12/17/12	J		
127. Windstream Corp.*	A	Dividend			Sold	8/2/12	J		
128. American Funds Fundamental Investors Fund*	A	Dividend	K	T	Buy (add'l)	11/9/12	J		
129. American Funds Growth Fund of Am.*	A	Dividend	L	T	Buy (add'l)	11/9/12	J		
130.					Buy (add'l)	12/21/12	J		
131. American Beacon Stephens Mid Cap Growth Fund*	A	Dividend	K	T	Buy (add'l)	12/31/12	J		
132. American Beacon Stephens Small Cap Growth Fund*	B	Dividend	K	T	Buy (add'l)	12/31/12	J		
133. American Funds Europacific Fund*	A	Dividend	J	T	Buy	2/13/12	J		
134.					Buy (add'l)	11/9/12	J		
135.					Buy (add'l)	12/31/12	J		
136. Membership interest in Wasserliebend, LLC;									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Developed parcel [REDACTED]		None	M	W					
138. Undeveloped parcel [REDACTED]		None	J	W					
139. Undeveloped parcel [REDACTED]		None	J	W					
140. Undeveloped parcel [REDACTED]		None	J	W	Buy	3/23/12	J		James and Carol Stewart
141. Simmons First National Bank (ckg. acct.)		None	J	T					
142. Membership interest in Quattlebaum, Grooms, Tull & Burrow	A	Interest			Sold	5/21/12	M		QGTB
143. Membership interest in Mitchell Williams	A	Int./Div.	L	U					
144. New York Life: whole life policy 1		None	K	T					
145. New York Life: whole life policy 2		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Upon review of the instructions, I have determined I may be required to report the specific assets at Lines 105-135 and 137-141.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Baker, Kristine G.

Date of Report

08/24/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Kristine G. Baker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544