

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Diane	2. Court or Organization Utica, NY	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 220 U.S. Courthouse 10 Broad Street Utica, New York 13501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.	Co-Trustee	Trust #2
3.	Co-Trustee	Trust #3
4.	Co-Trustee	Trust #4
5.	Co-Executor	mother's estate

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	Troy Web Consulting LLC - salary
2. 2015	self-employed elder caregiver
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Central NY and Capital District Bankruptcy Bar Assns.	10/23/2015-10/24/2015	Cooperstown, NY	Bar Associations Annual Bankruptcy Conference	mileage, meals, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. -Fidelity Advisors Small Cap Fund Class A	A	Int./Div.	J	T					
3. -Henderson Global Investors Intl Opport Fund A	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
4. -Mainstay Large Cap Growth Fund Class A	A	Int./Div.	J	T					
5. -MFS Value Fund Class A	A	Int./Div.	J	T					
6. -FT Templeton Global Bond A	A	Int./Div.	J	T					
7. -PIMCO Total Return Fund Class A	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
8. -USB Bank USA Dep Acct	A	Int./Div.	J	T					
9. -JP Morgan Core Bond Fund Select	A	Int./Div.			Buy (add'l)	03/30/15	J		
10.					Sold	11/16/15	J	A	
11. -Virtus Multi-Sector Short Term Bond Fund Class A	A	Int./Div.	J	T	Buy (add'l)	03/30/15	J		
12. -Manning & Napier FD, Inc. World Opportunities	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
13.					Sold (part)	07/02/15	J	A	
14. -USB PACE Large Company Growth Equity Investment Fund Class	A	Int./Div.	J	T					
15. -UBS PACE Intl Emerging Mkts Equity Investment Fund Class P	A	Int./Div.	J	T					
16. -UBS PACE Large Company Value Equity Investment Fund Class P	A	Int./Div.	J	T					
17. -UBS PACE International Equity Investment Fund Class P	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -UBS PACE Small/Medium Co Value Equity Investment Fund	A	Int./Div.	J	T					
19. -UBS Global Real Estate Securities Investment Fund Class P (Y)									
20. -UBS Government Sec Fixed Income Investment Fund	A	Int./Div.	J	T					
21. -UBS Strategic Fixed Income Investment Fund Long	A	Int./Div.	J	T					
22. -UBS High Yield Investments Class P	A	Int./Div.	J	T					
23. -CSRSX	A	Int./Div.	J	T					
24. -GTEYX	A	Int./Div.	J	T					
25. -FMI Large Cap Fund	A	Int./Div.	J	T	Sold (part)	03/31/15	J	B	
26. -Riverpark/Wedgewood Retail Funds	A	Int./Div.	J	T	Sold (part)	03/31/15	J	B	
27. -DDMSX	A	Int./Div.	J	T					
28. -UBS PACE Small/Medium Co Growth Equity Inv Class P	A	Int./Div.	J	T					
29. -UBS PACE International Fixed Investment Class P	A	Int./Div.	J	T					
30. -UBS PACE Alternative Strategies Investments Class P	A	Int./Div.	J	T					
31. -SPY	A	Int./Div.	K	T	Buy (add'l)	04/01/15	J		
32.					Sold (part)	04/02/15	J	C	
33. -JVMIX	A	Int./Div.	J	T	Sold (part)	03/31/15	J	B	
34. -BND	A	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -AQMNX	A	Int./Div.	J	T					
36. -JHAIX	A	Int./Div.	J	T	Buy (add'l)	03/30/15	J		
37. -MFLDX	A	Int./Div.	J	T					
38. -UBS PACE Interim Fixed Income	A	Int./Div.	J	T					
39. -IWR	A	Int./Div.			Sold	04/02/15	J	B	
40. -OOSYX	A	Int./Div.	J	T					
41. -BGCIX	A	Int./Div.	J	T					
42. -RLSIX	A	Int./Div.	J	T					
43. -ICEUX	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
44. -TGLDX (Y)									
45. -NMPAX	A	Int./Div.	J	T					
46. -GMF	A	Int./Div.			Sold (part)	09/11/15	J	A	
47.					Sold (part)	09/14/15	J	A	
48.					Sold	09/15/15	J	A	
49. -VTI	A	Int./Div.			Sold	04/07/15	K	A	
50. -BMDIX	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
51. -CWSIX	A	Int./Div.	J	T					

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52. -GACIX	A	Int./Div.			Sold	03/31/15	J	A	
53. -IEF	A	Int./Div.	J	T	Buy (add'l)	03/30/15	J		
54. -BNDX	A	Int./Div.			Sold (part)	04/07/15	J	A	
55.					Sold	10/15/15	J	A	
56. -CLSIX	A	Int./Div.	J	T					
57. -BGLSX	A	Int./Div.	J	T					
58. -BHYIX	A	Int./Div.	J	T	Buy	03/30/15	J		
59. -Delaware Diversified Income I	A	Int./Div.	J	T	Buy	03/30/15	J		
60. -EWJ	A	Int./Div.	J	T	Buy	04/01/15	J		
61.					Sold (part)	10/16/15	J	A	
62. -EZU	A	Dividend	J	T	Buy	10/13/15	J		
63. -EFA	A	Int./Div.	J	T	Buy	04/01/15	J		
64. -ACWX	A	Int./Div.	J	T	Buy	07/01/15	J		
65. -HEDJ	A	Int./Div.	J	T	Buy	04/01/15	J		
66. -LQD	A	Int./Div.	J	T	Buy	10/09/15	J		
67. -LSIIX	A	Int./Div.	J	T	Buy	03/30/15	J		
68. -PONPX	A	Int./Div.	J	T	Buy	11/13/15	J		

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69. Brokerage Account #2									
70. NYS Retirement Plan - no control (spouse)		None	N	T					
71. Trustco	A	Interest	J	T					
72. Keybank	A	Int./Div.	M	T					
73. SEFCU	A	Interest	K	T					
74. Citizens	A	Interest	K	T					
75. Berkshire	A	Interest	K	T					
76. Brokerage Account #3									
77. Morgan Stanley Bank N.A.	A	Interest	K	T	Open	10/15/15	L		
78. -IWR	A	Int./Div.	J	T	Buy	11/23/15	J		
79. -IJH	A	Int./Div.	J	T	Buy	11/23/15	J		
80. -IJR	A	Int./Div.	J	T	Buy	11/23/15	J		
81. -IWM	A	Int./Div.	J	T	Buy	11/23/15	J		
82. -FNX	A	Int./Div.	J	T	Buy	11/23/15	J		
83. -FYX	A	Int./Div.	J	T	Buy	11/23/15	J		
84. -EWJ	A	Int./Div.	J	T	Buy	11/23/15	J		
85. -DIA	A	Int./Div.	J	T	Buy	11/23/15	J		

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86. -FEZ	A	Int./Div.	J	T	Buy	11/23/15	J		
87. -SPY	A	Int./Div.	J	T	Buy	11/23/15	J		
88. -VGK	A	Int./Div.	J	T	Buy	11/23/15	J		
89. -VPL	A	Int./Div.	J	T	Buy	11/23/15	J		
90. Trust #1	F	Int./Div.	O	T					
91. James S. Davis -buyout of 50% int in decedent's ownership of warehouse	E	Interest	M	T					
92. -Bank Deposit Program Citibank	A	Int./Div.	J	T					
93. -XOM	A	Int./Div.	K	T					
94. -MS Liquid Asset Fund	A	Int./Div.	N	T					
95. -EEM (Y)									
96. -Goldman Sacs Group Corporate Bond	A	Interest			Matured	01/12/15	J	A	
97. -VZ	A	Dividend	J	T					
98. -JNJ	A	Dividend	K	T					
99. -IBM	A	Dividend	J	T	Sold (part)	02/10/15	K	C	
100. -MSFT	A	Dividend	K	T					
101. -MRK	A	Dividend	K	T					
102. -HD	A	Dividend	J	T	Sold (part)	02/10/15	J	C	

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103. -INTC	A	Dividend	J	T					
104. -UTX	A	Dividend	J	T	Sold (part)	02/10/15	J	D	
105. -AXP	A	Dividend	J	T	Sold (part)	02/10/15	J	B	
106. -BA	A	Dividend	J	T	Sold (part)	02/10/15	J	D	
107. -T	A	Dividend	J	T					
108. -PFE	A	Dividend			Buy (add'l)	02/10/15	J		
109.					Sold	05/01/15	J	C	
110. -JPM	A	Dividend	J	T					
111. -PG	A	Dividend	K	T					
112. -WMT	A	Dividend	J	T	Sold (part)	02/10/15	J	C	
113. -DD	A	Dividend	K	T					
114. -GE	A	Dividend	K	T					
115. -DIS	A	Dividend	J	T	Sold (part)	02/10/15	J	D	
116. -KO	A	Dividend	J	T					
117. -CVX	A	Dividend			Sold (part)	02/10/15	J	A	
118.					Sold	08/05/15	J	A	
119. -CSCO	A	Dividend	J	T	Sold (part)	02/10/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -WFC	A	Dividend	K	T					
121. -Proctor & Gamble Co	A	Interest			Matured	12/15/15	J	A	
122. -E.I. Du Pont De Nemours	A	Interest	J	T					
123. -JSOSX	A	Int./Div.			Buy (add'l)	06/18/15	J		
124.					Sold	10/01/15	K	A	
125. -CAT	A	Dividend	J	T	Sold (part)	02/10/15	J	A	
126. -F	A	Dividend	J	T					
127. -USB	A	Dividend	J	T	Sold (part)	02/10/15	J	B	
128. -UNH	A	Dividend	J	T	Sold (part)	02/10/15	J	D	
129. -Sector Rotation Account	D	Int./Div.	L	T					
130. Walgreen Co Corporate Bond	A	Interest			Matured	03/13/15	J	A	
131. Kellogg Company Corporate Bond	A	Interest			Matured	05/15/15	J	A	
132. Conagra Foods Inc. Corporate Bond	A	Interest			Matured	09/10/15	J	A	
133. Apple Inc Corporate Bond	A	Interest	J	T					
134. Verizon Communications Corporate Bond	A	Interest	J	T					
135. -ILF	A	Int./Div.			Sold	11/23/15	J	A	
136. -DIA	A	Int./Div.	J	T	Sold (part)	02/10/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -FEZ	A	Int./Div.	J	T	Sold (part)	02/10/15	J	A	
138. -MDY	A	Int./Div.	J	T					
139. -SPY	A	Int./Div.	J	T	Sold (part)	02/10/15	J	B	
140. -VGK	A	Int./Div.	J	T	Sold (part)	02/10/15	J	A	
141. -VPL	A	Int./Div.	J	T	Sold (part)	02/10/15	J	A	
142. -EWJ	A	Int./Div.	J	T					
143. -MCD	A	Dividend	K	T					
144. -NKE	A	Dividend	J	T	Sold (part)	02/10/15	J	B	
145. -EWS (Y)									
146. -EWY (Y)									
147. -IJR (Y)									
148. -EWU (Y)									
149. US Treasury Bills	A	Interest			Matured	04/02/15	K	A	
150. Occidental Petroleum Corp corporate bond	A	Interest	J	T					
151. Caterpillar Financial corporate bond	A	Interest	J	T					
152. Proctor & Gamble corporate bond	A	Interest	J	T					
153. 3M Company corporate bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Walt Disney Company corporate bond	A	Interest	J	T					
155. -BAC	A	Dividend	J	T	Sold (part)	02/10/15	J	A	
156. -DOW	A	Dividend			Sold	12/28/15	K	A	
157. -CALL CVX 1/17/15		None			Closed	01/16/15	J	B	
158. Bank of Montreal Corporate Bond	A	Interest	J	T	Buy	04/15/15	J		
159. JP Morgan Chase & Co Corporate Bond	A	Interest	J	T	Buy	11/02/15	J		
160. Home Depot Inc. Corporate Bond	A	Interest	J	T	Buy	03/26/15	J		
161. US Bancorp Corporate Bond	A	Interest	J	T	Buy	02/13/15	J		
162. Microsoft Corp Corporate Bond	A	Interest	J	T	Buy	02/10/15	J		
163. John Deere Capital Corp Corporate Bond	A	Interest	J	T	Buy	04/16/15	J		
164. Wal-Mart Stores Inc Corporate Bond	A	Interest	J	T	Buy	03/23/15	J		
165. Ally Bk Midvale UT CD	A	Interest	J	T	Buy	04/24/15	J		
166. -JSOSX	A	Int./Div.	K	T	Buy	11/10/15	K		
167. -AAPL	A	Dividend	J	T	Buy	10/05/15	J		
168. -MET	A	Dividend	J	T	Buy	09/23/15	K		
169. -CALL UNH 3/18/16		None			Open	03/09/15	J		
170.					Closed	11/30/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -CALL MSFT 2/19/16		None	J	T	Open	11/16/15	J		
172. -CALL NKE 4/15/16		None	J	T	Open	12/03/15	J		
173. -CALL NKE 1/15/16		None	J	T	Open	12/03/15	J		
174. -CALL DIS		None			Closed	02/18/15	J	A	
175. -CALL DIS		None			Closed	03/19/15	J	A	
176. -CALL MCD 6/17/16		None	J	T	Open		J		
177. -Eli Lilly & Co	A	Dividend			Buy	02/10/15	J		
178.					Sold	07/17/15	K	B	
179. Trust #2	D	Int./Div.	P1	T					
180. -Lake property Middlesec, NY, Yates County	E	Rent	O	W					
181. MS Liquid Asset Fund	A	Int./Div.	K	T					
182. -MUXAX	A	Dividend	K	T					
183. -HME	B	Dividend			Sold	10/08/15	K	D	
184. -XOM	B	Dividend	K	T					
185. -EMN	A	Dividend	J	T					
186. -Goldman Sacs Group Corporate Bond	A	Interest			Matured	01/12/15	J	A	
187. -EWU	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -EWJ	A	Int./Div.	J	T					
189. -IWR	A	Int./Div.	K	T	Buy (add'l)	12/03/15	J		
190. -IJH	A	Int./Div.	K	T	Buy (add'l)	12/03/15	J		
191. -IWM	A	Int./Div.	J	T					
192. -IJR	A	Int./Div.	K	T					
193. -EWS	A	Int./Div.	J	T					
194. -DIA	A	Int./Div.	K	T	Buy (add'l)	12/03/15	J		
195. US Treasury Notes	A	Interest			Sold	07/14/15	J	A	
196. Citibank Bank Deposit Program	A	Interest	J	T					
197. -EWY	A	Int./Div.	J	T					
198. -Proctor & Gamble Co	A	Interest			Matured	12/15/15	J	A	
199. -E.I. Du Pont De Nemours	A	Interest	J	T					
200. -JSOSX	A	Int./Div.	J	T					
201. -MDY	A	Int./Div.	J	T					
202. -EEM	A	Int./Div.	J	T					
203. -IWF	A	Int./Div.	J	T					
204. -IWD	A	Int./Div.	J	T	Buy (add'l)	12/03/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -IWO	A	Int./Div.	J	T					
206. -IWN	A	Int./Div.	J	T					
207. -IJK	A	Int./Div.	J	T					
208. -IJJ	A	Int./Div.	J	T					
209. -SPY	A	Int./Div.	K	T	Buy (add'l)	12/03/15	J		
210. -FXV	A	Int./Div.	J	T					
211. Walgreen Co corporate bond	A	Interest			Matured	03/13/15	J	A	
212. Kellogg Company corporate bond	A	Interest			Matured	05/15/15	J	A	
213. Conagra Foods Inc corporate bond	A	Interest			Matured	09/10/15	J	A	
214. Apple Inc corporate bond	A	Interest	J	T					
215. Verizon Communications corporate bond	A	Interest	J	T					
216. -FNV	A	Int./Div.	J	T					
217. -ILF	A	Int./Div.			Sold	11/23/15	J	A	
218. -FEZ	A	Int./Div.	J	T					
219. -VGK	A	Int./Div.	J	T					
220. -VPL	A	Int./Div.	K	T					
221. Walt Disney corporate bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. 3M Company corporate bond	A	Interest	J	T					
223. Proctor & Gamble Company corporate bond	A	Interest	J	T					
224. Occidental Petroleum Corp corporate bond	A	Interest	J	T					
225. Caterpillar Financial corporate bond	A	Interest	J	T					
226. Home Depot Inc. Corporate Bond	A	Interest	J	T	Buy	03/26/15	J		
227. US Bancorp Corporate Bond	A	Interest	J	T	Buy	02/13/15	J		
228. Microsoft Corp Corporate Bond	A	Interest	J	T	Buy	02/10/15	J		
229. Wal-Mart Stores Inc. Corporate Bond	A	Interest	J	T	Buy	03/23/15	J		
230. Toyota Motor Credit Corp Corporate Bon	A	Interest	J	T	Buy	12/08/15	J		
231. DMB CMNTY BK DEFOREST WIS CD	A	Interest	J	T	Buy	12/30/15	J		
232. -Elfriede H. Davis - amounts due on maintenance of lake house property	A	Interest	J	T					
233. Trust #3			N	T					
234. -Morgan Stanley cash	A	Interest	N	T	Open	09/15/15	K		
235.						10/02/15	P1		
236. Trust #4			O	T					
237. -Morgan Stanley cash	A	Interest	K	T	Open	09/15/15	K		
238. -EMN (X)	A	Dividend	J	T		09/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -FE (X)	A	Dividend	J	T		09/15/15	J		
240. -PEP (X)	A	Dividend	J	T		09/15/15	J		
241. -YUM (X)	A	Dividend	J	T		09/15/15	J		
242. -Everflow Eastern Partners, LP (X)	A	Distribution	L	W					
243. -residential property Pittsford, NY, Monroe County (X)		None	N	W					
244. -residential property Delray Beach, FL, Palm Beach County (X)		None	N	W					
245. -Daisy Davis note (X)	A	Interest	K	T					
246. -Charles M. Davis note (X)	A	Interest	K	T	Matured	11/30/15	K	A	Charles M. Davis
247. Canadaigua National Bank (X)	A	Interest	J	T					
248. Morgan Stanley cash (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I: I serve as a Co-trustee on two trusts (Trust #1 and Trust #2) with my two brothers. The trusts were established as part of my late father's estate and are for the benefit of my mother. We review the status of the trusts annually to assure they meet my mother's financial needs. My brothers and I have waived our right to receive our lawful commission from the trusts. The balance of these trusts will now go to the seven children equally, including myself, as a result of her passing in July 2015.

I serve as a Co-trustee on Trust #3 with one of my brothers. My position as co-trustee occurred upon my mother's passing in July 2015. The assets of the trust will be collected and distributed to her seven children equally, including myself. My brother and I have waived our right to receive our lawful commission from the trust.

I serve as Co-trustee on Trust #4 with one of my brothers. My position as co-trustee occurred upon my mother's passing in July 2015 when the trust became irrevocable. The assets of the trust will be monitored and disposed of by the trustees and the balance will be distributed equally to her seven children, including myself. My brother and I have waived our right to receive our lawful commission from the trust.

I serve as Co-executor of my late mother's estate with one of my brothers. We are in the process of gathering information and data for the estate and a full accounting of its assets and liabilities is not available at this time. My brother and I have waived our right to any fees we may be entitled to from the estate.

PART VII:

Line 90 indicates the aggregate total for Trust #1. All of the information listed in lines 91 through 178 are the holdings for this trust.

The Sector Rotation Account at Line 129 is an account that buys stocks in Sector Rotation style for Trust #1. All stocks within the Trust have been disclosed in Part VII.

Line 179 indicates the aggregate total for Trust #2. All of the information listed in lines 180 through 232 are the holdings for this trust.

Line 233 indicates the aggregate total for Trust #3. All of the information listed in line 234 through 235 are the holdings for this trust. Line 235 reflects receipt of insurance proceeds.

Line 236 indicates the aggregate total for Trust #4. All of the information listed in lines 237 through 246 are the holdings for this trust.

Line 247 and 248 are assets of the estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Diane Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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