

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOWEN, DUDLEY H.	2. Court or Organization SOUTHERN DISTRICT OF GEORGIA	3. Date of Report 06/19/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address UNITED STATES COURTHOUSE P O BOX 2106 AUGUSTA, GEORGIA 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHARLES SCHWAB IRA #1									
2. General Maritime New F	A	Dividend	J	T					
3. Pimco Total Return Fund	A	Dividend			Sold	05/08/14	K	A	
4. Dynegy Inc	A	Dividend	J	T					
5. NH HGR ED & Hlth FA	A	Dividend			Buy	01/01/14	J		
6. NH HGR ED & Hlth FA	A	Dividend			Sold	07/03/14	J	A	
7. NH HGR ED & Hlth FA	A	Dividend			Buy	01/01/14	J		
8. NH HGR ED & Hlth FA	A	Dividend			Sold	07/03/14	J	A	
9. CHARLES SCHWAB (FORMLY BOWNE CO)									
10. ABN AMRO / VERDUS Gwth Fd	A	Dividend	L	T					
11. Exxon Mobil Common Stk	A	Dividend	K	T					
12. DuPont E I De Nemour and Co com	A	Dividend	J	T					
13. Wachovia Corp New	A	Dividend	J	T					
14. Charles Schwab Money Market	B	Interest	J	T					
15. Pimco Total Return Fund	B	Dividend	J	T					
16. ABN AMRO VERDX MCGFX FUNDS (ALLEGHANY)	D	Dividend	M	T					
17. E I DEUPONT DRIP	A	Dividend	J	T					

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Ga Bk & Tr Money Mkt Market	B	Interest	M	T					
19. Ga Bk & Tr Savings	A	Interest	K	T					
20. TIAA CREF MUTUAL FUND	A	Dividend	L	T					
21. CSRA Fed Cr Union	A	Interest	J	T					
22. Real Ppty McCormick County, SC	D	Distribution	J	W					
23. Barclays Mon Mkt Acct	B	Interest	J	T					
24. Atlantic Amer Com		None	J	T					
25. Regions Bank Savings	A	Interest	J	T					
26. CHARLES SCHWAB IRA # 2									
27. IDII Stock	A	Dividend	J	T					
28. Merrill Lynch Pfd	A	Dividend	K	T					
29. Pimco Total Return Fund	B	Dividend			Sold	05/14/14	K	A	
30. CHARLES SCHWAB FORMERLY T ROWE PRICE									
31. Pengrowth Energy Corp	A	Dividend	J	T					
32. Blackrock CP High Yield	A	Dividend	J	T					
33. Motorola	A	Dividend	J	T					
34. Lucent	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Pimco Total Return Fund	A	Distribution			Sold	05/09/14	M	A	
36. The Southern Company	A	Dividend	J	T					
37. The Southern Company	A	Dividend	J	T					
38. New Hampshire DOE	A	Dividend	J	T					
39. New Hampshire DOE	A	Dividend	J	T					
40. New Hampshire HIGHE	A	Interest	J	T					
41. ISTAR FINL Inc	A	Dividend	J	T					
42. Westwood Holdings Group	A	Dividend	J	T					
43. Xerox	A	Dividend	J	T					
44. SG Structured PRO VAR	A	Dividend	K	T					
45. General Motors	A	Dividend	J	T					
46. Schwab Money Market	A	Interest	J	T					
47. TCP Capital Corp	A	Dividend	K	T					
48. TCP Capital Corp	A	Dividend	K	T					
49. Bank of America VAR	B	Dividend	K	T					
50. Gainesville GA	B	Interest	K	T					
51. HD Supply Inc	B	Interest	K	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

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52. HD Supply Inc	A	Interest	K	T					
53. Kansas INDPT COL	A	Interest	K	T					
54. Morgan Stanley	C	Interest	K	T					
55. Nataxis US VAR	B	Interest	K	T					
56. Powershares ETF	A	Dividend	K	T					
57. Franklin GA Tax Free	A	Interest	K	T					
58. Maingate MLP FD CL I	A	Interest	K	T					
59. Matthews Asia Dividend	A	Dividend	J	T					
60. Nuveen DIV Advan Muni	A	Dividend	J	T					
61. Nuveen DIV Advan Muni	A	Interest	J	T					
62. Oaktree Capital Group	A	Interest	K	T					
63. PROSHS ULTRASHT ETF	A	Dividend	K	T					
64. Kinder Morgan Inc	A	Distribution	J	T					
65. Northern Borders Partners nbp	A	Distribution	K	T					
66. Northern Borders Partners nbp	A	Distribution	J	T					
67. TRUST CHARLES SCHWAB									
68. Willis Group Holdings	A	Dividend	K	T					

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2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
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69. T Rowe Price Spectrum	A	Dividend	J	T					
70. Ford	A	Dividend			Sold	07/15/14	K	D	
71. Pimco Total Rtn Fund	B	Dividend			Buy	01/01/14	J		
72. Pimco Total Rtn Fund	B	Dividend			Sold	05/08/14	J	A	
73. Pimco Total Rtn Fund	F	Dividend			Buy	01/01/14	J		
74. Pimco Total Rtn Fund	F	Dividend			Sold	05/08/14	L	A	
75. Pimco Total Rtn Fund	D	Dividend			Buy	01/01/14	J		
76. Pimco Total Rtn Fund	D	Dividend			Sold	05/08/14	J	A	
77. CHARLES SCHWAB IRA #3 ROLLOVER									
78. Schwab Adv Cash Reserve	A	Dividend	K	T					
79. General Electric	A	Dividend			Sold	05/14/14	J	A	
80. General Electric	A	Dividend			Buy	04/28/14	J		
81. General Electric	A	Dividend			Sold	05/14/14	J	A	
82. Oaktree Capital Group	A	Dividend			Buy	06/23/14	K		
83. Oaktree Capital Group	A	Dividend			Sold	08/22/14	K	A	
84. Pimco Real Return Fd	A	Dividend			Buy	01/01/14	J		
85. Pimco Real Return Fd	A	Dividend			Sold	05/14/14	J	A	

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Pimco Total Rtn Fd	A	Dividend			Buy	01/01/14	J		
87. Pimco Total Rtn Fd	A	Dividend			Sold	05/09/14	J	A	
88. Transocean Inc New	A	Dividend			Buy	08/01/14	J		
89. Transocean Inc New	A	Dividend			Sold	08/22/14	J	A	
90. Pengrowth Energy Corp	A	Dividend			Buy	04/26/14	L		
91. Pengrowth Energy Corp	A	Dividend			Sold	06/23/14	L	A	
92. Pimco Real Return Fd	A	Dividend			Buy	01/01/14	K		
93. Pimco Real Return Fd	A	Dividend			Sold	05/14/14	J	A	
94. Pimco Total Return Fd	A	Dividend			Buy	01/01/14	K		
95. Pimco Total Return Fd	A	Dividend			Sold	05/09/14	K	A	
96. CHARLES SCHWAB DHB									
97. Alco Inc	D	Dividend	J	T	Buy	01/01/14	J		
98. Aoco Inc	D	Dividend			Sold	04/14/14	J	D	
99. Pimco Total Rtn Fund	A	Dividend			Buy	04/30/14	J		
100. Pimco Total Rtn Fund	A	Dividend			Sold	05/19/14	J	A	
101. KS IND CLG FA ED	A	Dividend			Buy	01/01/14	K		
102. KS IND CLG FA ED	A	Dividend			Sold	04/29/14	K	A	

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103. NH HGR ED & Hlth FA	B	Dividend			Buy	01/01/14	K		
104. NH HGR ED & Hlth FA	B	Dividend			Sold	07/03/14	K	B	
105. NH HGR ED & Hlth PA	A	Dividend			Buy	01/01/14	J		
106. NH HGR ED & Hlth PA	A	Dividend			Sold	07/03/14	J	A	
107. Prashs Ultrasht ETF	C	Dividend			Buy	01/01/14	K		
108. Prashs Ultrasht ETF	C	Dividend			Sold	10/17/14	K	C	
109. AMERITRADE									
110. Oaktree Capital Grp LLC	A	Dividend			Buy	05/02/14	K		
111. Oaktree Capital Grp LLC	A	Dividend			Sold	05/14/14	K	A	
112. Pimco Total Rtn	A	Dividend			Buy	01/01/14	J		
113. Pimco Total Rtn	A	Dividend			Sold	05/09/14	J	A	
114. Noble Corp PLC Ord	A	Dividend			Buy	05/02/14	J		
115. Noble Corp PLC Ord	A	Dividend			Sold	12/10/14	J	A	
116. Paragon Off Shore PLC	A	Dividend	J	T	Buy	05/02/14	J		
117. Paragon Off Shore PLC	A	Dividend			Sold	12/10/14	J	A	

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(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

06/19/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

06/19/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DUDLEY H. BOWEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544