

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bashant, Cynthia A.	2. Court or Organization U.S. District Court, Southern District of California	3. Date of Report 07/26/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 221 W. Broadway San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Trader Joe's (wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Business Trial Lawyers	04/17- 04/18/15	Rancho Palos Verdes, CA	Joint Board Retreat	Lodging and Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capitol One Savings Accounts (cash account)	A	Interest			Closed	04/04/15	K	A	
2. Cabrillo Federal Credit Union (cash accounts)	A	Interest	L	T					
3. CalHR Savings Plu Asset Allocation Index Fund-Moderate (IRA)	A	Dividend			Closed	02/27/15	K	A	
4. Vanguard Growth Index Fund (IRA)	C	Dividend	M	T					
5. iShares Russell 200 Index Fund (IRA)	A	Dividend	L	T					
6. Allianz NFJ Small Cap Value Fund (IRA)	A	Dividend	J	T					
7. American Funds American Balanced Fund (IRA)	A	Dividend	J	T					
8. SSgA Daily Bond aMarket Fund (IRA)	A	Dividend	J	T					
9. SSgA S&P 500 Index Fund (IRA)	B	Dividend	J	T					
10. Invesco Real Estate Fund (IRA)	A	Dividend	J	T					
11. SSgA U.S. Large Cap Growth Opportunities Fund (IRA)	A	Dividend	J	T					
12. Fidelity Government Money Market (SPAXX) (IRA)	A	Dividend			Sold	04/21/15	L	A	
13. Spartan Real Estate Index Fund Adv. Class (FSRVX) (IRA)	C	Dividend	K	T	Sold (part)	04/04/15	K	B	
14. Spartan Emrging Mket Index Fid. Adv. Class (FPMAX) (IRA)	A	Dividend	K	T					
15. Fidelity Four in One Index (FFNOX) (IRA)	D	Dividend	M	T	Buy (add'l)	04/02/15	K		
16. Spartan LNTM Treas. Bnd Adv. Class (FLBAX) (IRA)	D	Dividend			Sold	04/07/15	L	D	
17. Fidelity Int'l Enhanced Index Fund (FIENX)	A	Dividend	L	T	Buy (add'l)	04/06/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	04/07/15	J		
19. Spartan Extended Mkt Index Fund Adv Class (FSEVX) (IRA)	D	Dividend	L	T	Sold (part)	04/06/15	K	C	
20.					Buy (add'l)	04/21/15	J		
21.					Sold (part)	06/15/15	J	A	
22. Fed. Large Cap Growth Enhanced Index (FLGEX) (IRA)	D	Dividend	M	T	Buy (add'l)	04/06/15	L		
23.					Buy (add'l)	04/07/15	K		
24.					Buy (add'l)	04/21/15	K		
25.					Sold (part)	06/15/15	K	A	
26. Fidelity Small Cap Enhanced Index Fund (FCPEX) (IRA)	D	Dividend	L	T	Sold (part)	04/06/15	K	C	
27.					Buy (add'l)	04/21/15	J		
28.					Sold (part)	06/15/15	J	A	
29. PimCo Income Fund CI D (PONDIX) (IRA)	A	Dividend	M	T	Buy	04/21/15	K		
30.					Buy (add'l)	04/08/15	L		
31.					Buy (add'l)	04/21/15	J		
32.					Buy (add'l)	06/15/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cynthia A. Bashant

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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