

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SAND, LEONARD B.	2. Court or Organization U.S. DISTRICT COURT:SDNY	3. Date of Report 08/21/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. COURTHOUSE 500 PEARL STREET, ROOM 1650 NEW YORK, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. TRUSTEE	TRUST #2
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	TEACHING SALARY - NEW YORK UNIVERSITY	\$20,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. EXXON MOBIL COMMON STOCK	A	Dividend	J	T					
2. IBM COMMON STOCK	C	Dividend	N	T					
3. BP AMOCO PLC COMMON STOCK	C	Dividend	M	T					
4. NIKE INC COMMON STOCK	B	Dividend	M	T					
5. COMMERCIAL METALS COMMON STOCK	D	Dividend	M	T					
6. STRYKER CORP COMMON STOCK	D	Dividend	O	T					
7. TOOTSIE ROLL INDS COMMON STOCK	A	Dividend	K	T					
8. UNITED COMPANIES TAX DEFERRED ANNUITY	B	Interest	K	T					
9. KIMBERLY CLARK COMMON STOCK	B	Dividend	L	T					
10. KIMBERLY CLARK COMMON STOCK	A	Dividend	J	T					
11. MCKESSON HBOC COMMON STOCK	A	Dividend	K	T					
12. JP MORGAN CHASE & CO COMMON STOCK	A	Dividend	K	T					
13. NASSAU CTY SER N MUNI BOND	A	Interest			Redeemed	05/02/11	J	A	
14. NEW YORK STATE DORM AU MUNI BOND	B	Interest	K	T					
15. LM CLEARB AGG GRW FD CL A		None			Sold	09/26/11	K	C	
16. LM CLEARB AGG GRW FD CL A		None			Sold	09/27/11	J	B	
17. BERMUDA PROPERTIES LTD COMMON & PFD STOCK		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. OLSTEIN FINANCIAL ALERT FUND - CLASS C		None	O	T	Buy (add'l)	09/26/11	N		
19. LM CLEARB LG CAP GRW FD CL A		None			Sold	09/27/11	K	D	
20. HAIN CELSTIAL GROUP		None	K	T					
21. OLSTEIN FINANCIAL ALERT FUND CLASS C		None	M	T					
22. OLSTEIN FINANCIAL ALERT FUND CLASS C		None	M	T	Buy (add'l)	09/27/11	L		
23. NEW YORK TIMES CLASS A STOCK (X)		None	J	T					
24. LM WEST ASSET ADJ RATE INC FD	A	Dividend	J	T					
25. LM CLEARBR APP FD CL B		None			Sold	09/26/11	M	D	
26. LM CLEARBR LG CAP GRW FD CL B		None			Sold	09/26/11	M	E	
27. WHIRLPOOL CORP COMMON STOCK	A	Dividend	J	T					
28. WINDSTREAM CORP COMMON STOCK	A	Dividend	J	T					
29. PUERTO RICO COMWLTH PUB SER A BOND	B	Interest	L	T					
30. PENNANT PARK INVT CORP	B	Dividend	K	T					
31. AUTOMATIC DATA PROCESSING	A	Dividend	J	T					
32. GENERAL ELECTRIC		None	J	T	Buy	12/23/11	J		
33. GENERAL ELECTRIC	A	Dividend	K	T	Buy	08/08/11	K		
34. INTEL CORP		None	L	T	Buy	11/30/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. JOHNSON & JOHNSON		None	K	T	Buy	12/02/11	K		
36. PROCTOR & GAMBLE		None	L	T	Buy	11/30/11	L		
37. GENERAL ELECTRIC	A	Dividend	K	T	Buy	08/08/11	K		
38. TRUSTS									
39. IRA A/C #1	E	Int./Div.	N	T					
40. (U.S. TRES SECURITIES, MONEY MKT INTEREST & MUTUAL FUND									
41. DIVIDENDS FROM DE GROUP FD & EMERGING MKTS FD)									
42. IRA A/C #2	B	Int./Div.	K	T					
43. (U.S. TRES SECURITIES, MONEY MKT INTEREST & MUTUAL FUND									
44. DIVIDENDS FROM DE GROUP FD & EMERGING MKTS FD)									
45. LIFE INTEREST IN INCOME OF TRUST #1									
46. VALUE OF TRUST HOLDINGS	E	Int./Div.	P1	T					
47. IDENTITY OF TRUST HOLDINGS									
48. COMMON STOCK									
49. EXXON MOBIL					Sold (part)	12/29/11	L		
50. GENERAL ELECTRIC									
51. BRISTOL MYERS									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. VERIZON COMMUNICATIONS									
53. NORFOLK SOUTHERN CORP									
54. NORTHROP GRUMAN									
55. DOW CHEMICAL CO					Sold	12/29/11	K		
56. ZIMMER HOLDINGS INC									
57. U.S. BANCORP DEL COM									
58. DU PONT EI DE NEMOURS & CO									
59. ARCHER DANIELS MIDLAND CO									
60. NESTLE SA (CHF) 1									
61. HOSPIRA INC					Sold	10/21/11	J		
62. PRAXIAR INC									
63. EMERSON ELECTRIC									
64. CUMMINS INC									
65. JOHNSON & JOHNSON									
66. ORACLE CORP									
67. FRONTIER COMMUNICATIONS									
68. SOUTHERN COMPANY					Sold	09/26/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. NEXTERA ENERGY INC									
70. HUNTINGTON INGALLS INDUSTRIES					Spinoff (from line 54)	03/31/11	J		
71. HUNTINGTON INGALLS INDUSTRIES					Sold	05/31/11	J		
72. LIFE INTEREST IN INCOME OF TRUST #2									
73. VALUE OF TRUST HOLDINGS	C	Int./Div.	N	T					
74. INDENTITY OF TRUST HOLDINGS									
75. SB MONEY FUND									
76. CITIBANK BANK NA BANK DEP PROGRAM									
77. COMMON STOCKS									
78. HAIN CELESTIAL GRP INC									
79. KB HOME									
80. WELLPOINT INC									
81. GENERAL ELECTRIC									
82. MUTUAL FUNDS									
83. OLSTEIN FINANCIAL ALERT FUND CL C									
84. LM CBA EQUITY INC BUILDER (CBA CAP & INC FD CL C)									
85. PARTNERSHIPS - LIMITED INTEREST									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. UNDERHILL ASSOC - ORIGINAL COST \$6,000	D	Distribution	J	R					
87. 150 LAKE ASSOC. - ORIGINAL COST \$3,000	C	Distribution	J	R					
88. RAMCO GERSHENSON PROPERTIES LP - ORIGINAL COST \$10,500	A	Distribution	J	R					
89. BANK ACCOUNTS									
90. CITIBANK	C	Interest	K	T					
91. JP MORGAN CHASE	A	Interest	K	T					
92. HUDSON CITY SAVINGS BANK		None			Closed				
93. CITIBANK N.A. BANK DEPOSIT PROGRAM	A	Interest	K	T					
94. CITIBANK N.A. BANK DEPOSIT PROGRAM	A	Interest	L	T					
95. CITIBANK N.A. BANK DEPOSIT PROGRAM	A	Interest	J	T					
96. MAHOPAC NATIONAL BANK	A	Interest	J	T					
97. BANK OF INDIA - NY CD		None	M	T	Buy	08/15/11	M		
98. BANK OF CHINA - NY CD		None	L	T	Buy	08/15/11	L		
99. GE MONEY BANK		None	M	T	Buy	08/15/11	M		
100. BANK OF CHINA - NY CD		None	L	T	Buy	08/15/11	L		
101. BANK OF BARODA - NY CD DUE 11/22/11	A	Interest			Buy	08/15/11	M		
102.					Closed	11/22/11	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. BANK OF CHINA - NY CD DUE 11/25/11	A	Interest			Buy	08/15/11	L		
104.					Closed	11/25/11	L	A	
105.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The undersigned has small minority interests as a limited partner in the limited partnerships listed in Part VII and is not in a position to obtain data regarding transactions in real property, securities, etc. If any further information is required, please refer to his letter of August 21, 1980 to the Honorable Edward Allen Tamm, Judicial Ethics Committee.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

SAND, LEONARD B.

Date of Report

08/21/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **LEONARD B. SAND**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544