

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bailey, John P.	2. Court or Organization Northern District of West Virginia	3. Date of Report 07/24/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse P.O. Box 551 Wheeling, WV 26003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Federal Judges Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Germain & Co., Inc.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. ABA	02/03/17 to 02/06/17	Miami, Florida	Midyear meeting	Travel & some expenses
2. Federal Judges Association	03/20/17 to 03/22/17	Washington, DC	Board Meeting	Travel & Lodging
3. West Virginia Bar Foundation	05/20/17 to 05/21/17	Roanoke, West Virginia	Young Lawyer Leadership Conference	Lodging & meals
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase Bank	Credit Card	K
2.	American Express	Credit Card	K
3.	Main Street Bank	Personal Loan	M
4.	BB&T	Mortgage on Rental Property	O
5.	Barclay's Bank	Credit Card	K

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. - Barclays US TIPS Fund	A	Dividend	J	T					
3. - MSCI EAFE Index Fund	A	Dividend	J	T					
4. - MSCI Emerging Mkt Index Fd ETF	A	Dividend	J	T					
5. - SPDR S&P 500 ETF	A	Dividend	K	T					
6. - SPDR S&P Midcap 400 ETF	A	Dividend	J	T					
7. - Russell 2000 Value Index Fd	A	Dividend	J	T					
8. - SPDR S&P Dividend ETF	A	Dividend	J	T					
9. - Federated US Treasury Cash Reserves 395	A	Interest	J	T					
10. Mass Mutual Life Ins. Whole Life Ins.	A	Dividend	J	T					
11. Rental Property, Seabrook Island, SC	E	Rent	O	R					
12. IRA #2	D	Int./Div.	O	T					
13. - Dodge & Cox International Stock FD	A	Dividend	K	T					
14. - Fidelity Govt MMkt Cap Reserves	A	Int./Div.	L	T					
15. - Harbor International Institutional Fd	A	Dividend			Sold	07/19/17	K	B	
16. - Ishares Russell 2000 Value ETF	A	Dividend	J	T					
17. - Ishares Edge MSCI Min Vol EAFE EFT	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - MFS Global Equity Fd Class I	A	Dividend	K	T					
19. - Pimco Foreign Bond Fd Hedged Inst	A	Dividend	K	T					
20. - Powershares Exch Traded Fd TSTII S&P	A	Dividend	K	T					
21. - Guggenheim S&P 500 Pure Growth	A	Dividend	K	T					
22. - SPDR Ser Tr S&P Div. ETF	B	Dividend	L	T					
23. - SPDR S&P Midcap 400 ETF Tr UTSEI	A	Dividend	L	T					
24. - Vanguard Spec. Portfolio Div	A	Dividend	K	T					
25. - Vanguard Equity Inc. Admiral FD	A	Dividend	K	T					
26. - Vanguard Index Fd Vanguard Small Cap	A	Dividend	K	T					
27. - Wisdomtree TR Midcap Div Fd	B	Dividend	L	T					
28. - Wisdomtree Small Cap Div Fd	A	Dividend	K	T					
29. -Goldman Sachs CD 1.45%	A	Interest	L	T	Buy	10/27/17	L		
30. -Oppenheimer Global Opportunities	A	Int./Div.	K	T	Buy	07/20/17	K		
31. Enterprise Products Partners LP	A	Distribution	J	T					
32. Columbus Ohio City School Bond	A	Interest	J	T					
33. Chevron Corp New Com	A	Dividend	J	T					
34. Coca Cola Co.	A	Dividend	J	T					

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35. e.l.f. Beauty Inc.	A	Dividend	J	T					
36. Fidelity Govt MMKT Cap. Res.	A	Int./Div.	J	T					
37. Germaine & Co 401(k) X	A	Dividend	K	T					
38. -PNC Stable Value Fd X	A	Dividend	J	T					
39. -Am Europacific Growth R6 X	A	Dividend	J	T					
40. -T Rowe Price Growth Stock X	A	Dividend	J	T					
41. - Vanguard 500 Index Admiral X	A	Dividend	J	T					
42. - Vanguard Mid Cap Index Adm X	A	Dividend	J	T					
43. - Vanguard Small Cap Index Adm X	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 9. Asset was erroneously described as Federated U.S. Treasury Cash Reserves 632, when in fact the asset is Federated U.S. Treasury Cash Reserves 395.

Part VII, line 11. Property purchased 02/28/14. Actual purchase price \$762,500.

Part VII, lines 37-43. Not previously reportable under section 360.10(c) Special Disclosure Rules. The account in question represents my spouse's sole financial interest and that the filer had no specific knowledge of the property until 2017. In addition, the item is not in any way, past or present, derived from the income, assets or activities of the filer. Finally, the filer neither derives, nor expects to derive, any financial or economic benefit from the item.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John P. Bailey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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