

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CURLEY, SARAH S.	2. Court or Organization U.S. BANKRUPTCY COURT, D. AZ	3. Date of Report 05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 230 N FIRST AVENUE SUITE 101 PHOENIX, AZ 85003-1727		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. FINANCE COMMITTEE	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES
2. EXECUTIVE COMMITTEE	ABA JUDICIAL DIVISION - NATIONAL CONFERENCE OF FEDERAL TRIAL JUDGES
3. PRESIDENT	ARIZONA BANKRUPTCY AMERICAN INN OF COURT
4. BUDGET ADVISORY COMMITTEE	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/10/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. FALL, 2011	ASU LAW SCHOOL - TEACHING	\$3,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	02/10/2011 - 02/13/2011	ATLANTA, GA	PARTIAL REIMBURSEMENT FOR ATTENDANCE AT ABA MIDYEAR MEETING	ROOM
2. AMERICAN BAR ASSOCIATION	02/10/2011 - 02/13/2011	ATLANTA, GA	PARTIAL REIMBURSEMENT FOR ATTENDANCE AT ABA MIDYEAR MEETING	TRANSPORTATION AND ROOM
3. NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	04/03/2011 - 04/05/2011	PALM DESERT, CA	REIMBURSEMENT FOR MIDYEAR NCBJ MEETING	TRANSPORTATION AND ROOM
4.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/10/2012

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/10/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. AXA EQUITABLE	A	Interest	K	T					
2. IRA ACCOUNT, WELLS FARGO BANK	C	Int./Div.	N	T					
3. - WELLS FARGO MONEY MARKET ACCT.									
4. - WELLS FARGO ADV. TOTAL RETURN BOND FUND (MNTX)					Sold (part)	06/14/11	J		
5. -MFS SER. TR. VALUE (MSFRX)					Sold (part)	06/14/11	J		
6. -NORTHERN LTS. ARROW ALTER. SOLUTIONS - CLASS C (ASFTX)					Sold (part)	01/11/11	J		
7. -NORTHERN LTS. ARROW DWA BALANCED - CLASS C (DWATX)					Buy	01/11/11	J		
8. -NORTHERN LTS. ARROW DWA BALANCED FUND - CLASS C (DWATX)					Sold	06/14/11	L		
9. -NORTHERN LTS. ARROW ALTERNATIVE (ASFTX)					Sold	06/14/11	J		
10. -HARTFORD INFLATION PLUS FUND, CLASS A (HIPAX)					Sold (part)	06/16/11	J		
11. -HARTFORD MTL MIDCAP VALUE, CLASS A (HNVAX)					Sold (part)	06/16/11	K		
12. -CD'S									
13. -COMMON STOCK - RESEARCH IN MOTION LIMITED (RIMM)									
14. -COMMON STOCK - PEPSICO (PEP)									
15. -COMMON STOCK - MEDICIS PHARMACEUTICAL, CLASS A (MRX)									
16. SHS CISCO COMMON STOCK	A	Dividend	K	T					
17. WELLS FARGO MONEY MARKET FUND A	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA ACCOUNT, US BANK	D	Int./Div.	N	T					
19. -US BANK MONEY MARKET ACCT									
20. -HARTFORD INFLATION PLUS FUND, CLASS A (HIPAX)					Sold (part)	01/19/11	L		
21. -HARTFORD FUNDS GROWTH, CLASS A (HGWAX) (X)					Buy	01/19/11	L		
22. -HARTFORD FUNDS TOTAL RETURN BOND FUND, CLASS A (ITBAX)					Sold (part)	01/19/11	K		
23. -HARTFORD FUNDS MIDCAP VALUE, CLASS A (HMOVAX)					Buy	01/19/11	K		
24. -HARTFORD FUNDS GROWTH, CLASS A (HGWAX)(X)					Sold (part)	06/16/11	K		
25. -HARTFORD FUNDS GLOBAL HEALTH FUND, CLASS A (HGHAX)					Sold (part)	06/16/11	L		
26. -HARTFORD INFLATION PLUS FUND, CLASS A (HIPAX)					Sold (part)	06/16/11	K		
27. -HARTFORD FUNDS MIDCAP VALUE, CLASS A (HMOVAX)					Sold (part)	06/16/11	K		
28. -HARTFORD FUNDS GROWTH FUND, CLASS A (HGWAX)(X)					Sold	07/19/11	J		
29. -HARTFORD FUNDS CHECKS & BALANCES, CLASS A (HCKAX)(X)					Buy	07/19/11	J		
30. -HARTFORD FUNDS TOTAL RETURN BOND FUND, CLASS A (ITBAX)					Sold	07/19/11	K		
31. -HARTFORD FUNDS CHECKS AND BALANCES, CLASS A (HCKAX)(X)					Buy	07/19/11	K		
32. -HARTFORD FUNDS CAPITAL APPRECIATION FUND, CLASS A (ITHAX)					Sold	07/19/11	L		
33. -HARTFORD FUNDS CHECKS & BALANCES, CLASS A (HCKAX)(X)					Buy	07/19/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. -HARTFORD FUNDS CHECKS & BALANCES, CLASS A (HCKAX)(X)					Buy	07/20/11	L		
35. -UNION BANK NA C.D. (DJ LINKED)					Buy	07/28/11	K		
36. -UNION BANK NA C.D. (S&P LINKED)					Buy	07/28/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/10/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In the Amended Report of 2010, Part VII, Line 8, Northern Lts. Arrow Tactical Class, C (DWTTX) was labeled as being sold (part) on 2/8/10. However, the entire interest in said fund was sold on 2/8/10. Therefore, there is no interest in said fund to report on this financial disclosure.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/10/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ SARAH S. CURLEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544