

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AZRACK, JOAN M.	2. Court or Organization U.S. DISTRICT COURT, EASTERN DISTRICT NY	3. Date of Report 8/9/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 100 Federal Plaza Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/9/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Salary and bonus from Landman Corsi Ballaine & Ford PC

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting
AZRACK, JOAN M.

Date of Report
8/9/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/9/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank NA Personal Banking NY, NY (multiple accounts)	A	Interest	N	T					
2. National Life Ins. Co. whole life policy	A	Dividend	N	T					
3. Oppenheimer: Advantage Bank Deposits various banks (IRA)	A	Interest	J	T					
4. Fidelity VIP Equity Incm Port - Nationwide annuity contract		None	M	T					
5. Fidelity VIP Growth Port - Nationwide annuity contract		None	K	T					
6. Fidelity Overseas Port - Nationwide annuity contract		None	K	T					
7. Fidelity VIP2 Asst Mgr Port - Nationwide annuity contract		None	L	T					
8. PMA: Pimco Low Duration Fund Intl (IRA)	B	Distribution	L	T	Buy (add'l)	02/27/17	J		
9. (continuation of 8)					Sold (part)	05/19/17	J	A	
10. Oppenheimer: Catalyst Inside Buying Fund	A	Dividend	J	T					
11. Oppenheimer Global Value Fund - Nationwide annuity contract		None	L	T					
12. Fidelity Blue Chip Fund - Fidelity 401K (see VIII)		None	M	T					
13. Fidelity Diversified intl - Fidelity 401K (see VIII)		None	M	T					
14. Fidelity Freedom 2020 Fund - Fidelity 401K (see VIII)		None	K	T					
15. Fidelity Contrafund - Fidelity 401K (see VIII)		None	N	T					
16. Fidelity Growth & Inc - Fidelity 401K (see VIII)		None	M	T					
17. Fidelity Value Fund - Fidelity 401K (see VIII)		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

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8/9/2018

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Retirement - Fidelity 401K (see VIII)		None	M	T					
19. Fidelity Investment Grade Bond Fund - Fidelity 401K (see VIII)		None	M	T					
20. Am Century Equity - Fidelity 401K (see VIII)		None	L	T					
21. JP Morgan Growth & Income "A" Shares	A	Dividend	J	T					
22. JP Morgan Intl Opps Fund "A"	A	Dividend			Sold	10/02/17	J	D	
23. Walt Disney Co common stock	A	Dividend	J	T					
24. NVIT Money Market I - Nationwide annuity contract		None	K	T					
25. Oppenheimer: Eaton Vance Insured NY Muni Bond Fund	C	Int./Div.	L	T					
26. Oppenheimer: Kinetics Paradigm Adv C1 C	C	Dividend	M	T					
27. Oppenheimer: Catalyst Inside Buying Fund (IRA)	A	Dividend	K	T					
28. Oppenheimer: Kinetics Paradigm Fund (IRA)	A	Dividend	K	T					
29. Oppenheimer: Voya Global Equity Div & Pm Opp Fund (IRA)	B	Dividend	J	T					
30. PMA: Vanguard High Yield Adm (IRA)	C	Distribution	L	T	Buy (add'l)	02/27/17	J		
31. (continuation of 30)					Buy (add'l)	05/22/17	J		
32. Oppenheimer: Kinetics Paradigm Adv C (IRA)	A	Dividend	K	T					
33. PMA: Vanguard Short Term Investment Grade Fund (IRA)	C	Distribution	L	T	Sold (part)	05/19/17	J	A	
34. (continuation of 33)					Buy (add'l)	02/27/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. PMA: MetWest Intermediate Bond Fund	A	Distribution	K	T	Buy (add'l)	03/07/17	J		
36. (continuation of 35)					Buy (add'l)	11/21/17	J		
37. Northwestern Mutual Life Ins. whole policy	B	Dividend	L	T					
38. Oppenheimer: First Trust Strategic High Income Fund (IRA)	A	Dividend	J	T					
39. PMA: Vanguard Internet Term Investment Grade Fund (IRA)	C	Distribution	L	T	Buy (add'l)	02/27/17	J		
40. (continuation of 39)					Buy (add'l)	05/19/17	J		
41. NVIT Intl Equity Fund - Nationwide annuity contract		None	K	T					
42. NVIT Multi Mngr Intl Gr I - Nationwide annuity contract		None	K	T					
43. JP Morgan Mid Cap Growth	A	Dividend	K	T					
44. NVIT Large Cap Gr I - Nationwide annuity contract		None	L	T					
45. Oppenheimer: Invesco Tr Inv Gr NY Muni	C	Int./Div.	L	T					
46. Oppenheimer: Large Cap formerly Black Rock (IRA)	A	Dividend	K	T					
47. Oppenheimer: Large Cap formerly Black Rock (IRA)	A	Dividend	K	T					
48. Oppenheimer: Advantage Bank Deposits in various banks (IRA)	A	Interest	J	T					
49. PMA: Columbia Midcap Value Fund (IRA)	A	Distribution			Sold (part)	02/27/17	J	A	
50. (continuation of 49)					Sold	07/18/17	K	B	
51. PMA: FMI Large Cap Fund (IRA)	A	Distribution			Sold	07/18/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

AZRACK, JOAN M.

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8/9/2018

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. PMA: Jensen Quality Grade (IRA)	A	Distribution	K	T					
53. PMA: Odyssey Growth Fund (IRA)	A	Distribution	K	T	Sold (part)	02/27/17	J	A	
54. (continuation of 53)					Sold (part)	05/19/17	J	A	
55. (continuation of 53)					Sold (part)	11/17/17	J	A	
56. PMA: T Rowe Price Small Cap Stock Fund (IRA)	A	Distribution	K	T	Sold (part)	02/27/17	J	A	
57. (continuation of 56)					Sold (part)	11/17/17	J	C	
58. PMA: Vanguard Extended Market Index (IRA)	A	Distribution	L	T					
59. PMA: Vanguard 500 Index Fund (IRA)	B	Distribution	M	T	Sold (part)	02/27/17	K	A	
60. (continuation of 59)					Sold (part)	11/17/17	J	A	
61. PMA: Harbor Intl Fund (IRA)	A	Distribution	K	T	Sold (part)	05/19/17	J	A	
62. (continuation of 61)					Sold (part)	11/17/17	J	B	
63. PMA: T. Rose Price Intl (IRA)	A	Distribution	K	T					
64. PMA: Vanguard Intl Index Fund (IRA)	A	Distribution	K	T	Sold (part)	02/27/17	J	A	
65. (continuation of 64)					Sold (part)	05/19/17	J	A	
66. (continuation of 64)					Sold (part)	11/19/17	J	A	
67. PMA: Harbor Intl Fund	A	Distribution	K	T	Buy (add'l)	03/19/17	J		
68. (continuation of 67)					Buy (add'l)	11/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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69. PMA: Odyssey Growth Fund	A	Distribution	K	T					
70. PMA: Odyssey Aggressive Growth Fund	A	Distribution	K	T					
71. PMA: Vanguard Intl Index Fund	A	Distribution	K	T	Buy (add'l)	03/07/17	J		
72. PMA: Vanguard Extended Market Index Fund	A	Distribution	K	T					
73. PMA: Columbia Mid Cap Value Fund	A	Distribution			Sold	07/18/17	K	A	
74. PMA: FMI Large Cap Fund	A	Distribution			Sold	07/18/17	J	A	
75. PMA: Jensen Quality Growth	A	Distribution	K	T					
76. PMA: Vanguard 500 Index Fund	B	Distribution	L	T					
77. PMA: Pimco Low Duration Fund	A	Distribution	K	T	Sold (part)	05/19/17	J	A	
78. PMA: Vanguard High Yield Corporate Fund	A	Distribution	J	T	Buy (add'l)	03/07/17	J		
79. (continuation of 78)					Buy (add'l)	05/22/17	J		
80. (continuation of 78)					Buy (add'l)	11/21/17	J		
81. PMA: Vanguard Limited Term Tax Exempt Fund	A	Distribution	K	T	Buy (add'l)	03/07/17	J		
82. (continuation of 81)					Sold (part)	05/19/17	J	A	
83. (continuation of 81)					Buy (add'l)	11/17/17	J		
84. PMA: Vanguard Intmd Term Tax Exempt Fund	A	Distribution	K	T	Buy (add'l)	03/07/17	J		
85. PMA: T Rowe Price Equity Inc.	A	Distribution	K	T	Buy (add'l)	03/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. (continuation of 85)					Buy (add'l)	11/21/17	J		
87. PMA: American Beacon Intl Fund (IRA)	A	Distribution	K	T					
88. PMA: Vanguard Growth Index Fund (IRA)	A	Distribution	K	T	Sold (part)	02/27/17	J	A	
89. (continuation of 88)					Sold (part)	05/19/17	J	A	
90. (continuation of 88)					Sold (part)	11/17/17	J	A	
91. PMA: T Rowe Price Equity Income Fund IRA	B	Distribution	L	T	Sold (part)	02/27/17	J	A	
92. (continuation of 91)					Sold (part)	11/17/17	J	C	
93. PMA: Vanguard Growth Index Fund	A	Distribution	K	T					
94. Oppenheimer: Black Rock Muni Yield NY Qty Fund Tax Free	C	Int./Div.			Sold	06/07/17	L	A	
95. PMA: MetWest Intermediate Bond Fund (IRA)	B	Distribution	L	T	Buy (add'l)	02/27/17	J		
96. (continuation of 95)					Buy (add'l)	05/22/17	J		
97. McDonald's common stock	A	Dividend	J	T					
98. Coca Cola common stock	A	Dividend	J	T					
99. Nike common stock class B	A	Dividend	J	T					
100. PMA: Fidelity Gvt Cash Reserves (see VIII)	A	Distribution	J	T					
101. PMA: USAA Short Term Bond Fund (IRA)	B	Distribution	L	T	Buy (add'l)	02/27/17	J		
102. (continuation of 101)					Sold (part)	05/19/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. PMA: Fidelity Govt Cash Reserves (IRA) (see VIII)	A	Distribution	K	T					
104. Dodge Cox STK	A	Distribution	J	T	Buy	02/27/17	J		
105. Nuveen NY Mun Value FD Tax Free Com	B	Int./Div.	L	T	Buy	06/07/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/9/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PMA as used in Section VII refers to Prudential Management Associates, which maintains managed asset portfolios (individual and IRA) consisting of investments identified in that section.

Oppenheimer as used in Section VII refers to Oppenheimer & Co., Inc., which maintains brokerage accounts (individual and IRA) consisting of investments identified in that section.

Fidelity 401K as used in Section VII refers to a 401K account maintained by Fidelity Investments consisting of investments identified in that section. Each year, a maximum allowable 401K contribution is made (total value K,) which is allocated pursuant to formula among various of the 401K fund investments identified in this report. Each allocated amount has a value of J.

Nationwide annuity contract, as used in Section VII refers to a Nationwide variable annuity account containing investments identified in that section.

In Section VII, items 100 and 103 are money market funds that would be the subject of multiple purchases and sales during the course of the year. At year end, the increased value of each fund had a value of J.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/9/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **JOAN M. AZRACK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544