

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gilman, Ronald L.	2. Court or Organization U. S. Court of Appeals, Sixth Circuit	3. Date of Report 03/20/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 167 North Main Street, Suite 1176 Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Gulf Housing Ltd. Partnership (5 apt. projects in Ala. and Miss.)	A	Interest	J	W					
2.	C	Rent							
3. FedTrust Federal Credit Union	A	Interest	L	T					
4. Schwab Cash & Money Market Sweep	A	Interest	K	T					
5. AQR Managed Futures Strategy Fund		None	M	T	Buy	01/31/17	L		
6.					Buy (add'l)	05/04/17	K		
7. AQR Managed Futures Strategy HV Fund		None			Sold	01/30/17	L	A	
8. Barclays Bank iPath Pure Beta (f/n/a Barclays Bank PLC Hybrid)		None	L	T					
9. Blackrock Strategic Income Opportunities Portfolio	A	Dividend	J	T					
10. DFA Emerging Markets Core Equity Portfolio	C	Dividend	M	T					
11. DFA International Core Equity	D	Dividend	N	T	Sold (part)	10/27/17	K	C	
12. DFA U.S. Small Cap Value	D	Dividend	M	T					
13. Fidelity Large Cap Enhanced Index	C	Dividend	L	T					
14. Fidelity Mid Cap Enhanced Index	C	Dividend	L	T	Buy (add'l)	05/17/17	K		
15. Goldman Sachs MLP Energy Infrastructure	A	Dividend			Sold	12/13/17	L		
16. iShares Core Moderate Allocation		None			Buy	05/04/17	K		
17.					Sold	05/15/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$50,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. iShares S&P Midcap 400 Value	C	Dividend	M	T	Sold (part)	01/09/17	K	D	
19.					Buy (add'l)	08/24/17	J		
20. iShares Tr. Russell 3000 Index	E	Dividend	P1	T	Sold (part)	05/04/17	L	E	
21. JP Morgan Chase FINL Equity Limited Note 01/29/2018		None	M	T					
22. JP Morgan Tax Aware Real Return	B	Dividend	K	T					
23. Morgan Stanley Equity Linked Note 01/29/2018		None	M	T					
24. Pimco Enhanced Short Maturity Strategy	A	Dividend	K	T					
25. Powershares S&P 500 High Quality Portfolio	B	Dividend	M	T					
26. Powershares S&P 500 Low Volatility Portfolio	B	Dividend	L	T					
27. Schwab U.S. REIT		None			Sold	01/30/17	L		
28. Stone Ridge Alt. Lending Risk Premium	A	Dividend	K	T	Buy	06/01/17	K		
29. Stone Ridge High Yield Reinsurance Risk Premium	C	Dividend			Buy (add'l)	05/15/17	K		
30.					Sold	12/14/17	M		
31. Stone Ridge Reinsurance Risk Premium Interval	C	Dividend	M	T	Buy	12/15/17	M		
32. T Rowe Price Summit Int. Term Bond	C	Dividend	M	T					
33. Thornburg Ltd. Term Muni	B	Dividend	L	T					
34. Tortoise MLP & Pipeline	A	Dividend	L	T	Buy	12/14/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Vanguard Ltd. Term Tax Exempt (Admiral Share)	A	Dividend	L	T					
36. Vanguard Meg Cap 300	B	Dividend	L	T					
37. Vanguard REIT	B	Dividend	L	T	Buy	01/30/17	L		
38.					Buy (add'l)	10/27/17	K		
39.									
40. IRA #1 (H)									
41. - Schwab Cash Reserves	A	Interest	J	T					
42. - Blackrock Strategic Income Opportunities Portfolio	B	Dividend	L	T					
43. - DFA Int'l Core Equity	C	Dividend	M	T					
44. - DFA Int'l Small Company Port.	D	Dividend	M	T					
45. - DFA U.S. Small Cap Value	D	Dividend	M	T	Sold (part)	12/06/17	K	D	
46. - JP Morgan Strategic Income Oppty.	B	Dividend	L	T					
47. - Stone Ridge Alt. Lending Risk Premium	C	Dividend	K	T					
48.									
49. IRA #2 (H)									
50. -Schwab Cash Reserves	A	Interest	J	T					
51. -DFA Int'l Small Company Portfolio	A	Dividend	J	T	Sold (part)	12/06/17	J	B	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - iShares MSCI U.S. Minimum Volatility Index	B	Dividend	L	T					
53. - Stone Ridge Alt. Lending Risk Premium	C	Dividend	L	T					
54.									
55. College Savings Plan (529 account) (for Grandchild #1) (H)									
56. - Fidelity/Delaware Total Market Index Fund		None	M	T					
57.									
58. College Savings Plan (529 account) (for Grandchild #2) (H)									
59. - Fidelity/Delaware Total Market Index Fund		None	M	T					
60.									
61. College Savings Plan (529 account) (for Grandchild #3) (H)									
62. - Fidelity/Delaware Total Market Index Fund		None	M	T					
63.									
64. College Savings Plan (529 account) (for Grandchild #4) (H)									
65. - Fidelity/Delaware Total Market Index Fund		None	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 55-65. I have shown "None" for "Income during reporting period" for these college savings plans (Sec. 529 accounts) because that information is not generated by Fidelity Investments due to the tax free nature of the accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ronald L. Gilman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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