

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dunn, Randall L.	2. Court or Organization U.S. Bankruptcy Court, OR	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Bankruptcy Court 1001 S.W. 5th Av., #700 Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Immediate Past President	National Conference of Bankruptcy Judges
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	02/19/2011 - 02/22/2011	Tampa, FL	NCBJ - Annual Meeting Planning	Privately funded by: National Conference of Bankruptcy Judges - 4 days
2. San Diego Bankruptcy Forum	03/15/2011 - 03/16/2011	San Diego, CA	Non-FJC educational seminar or program	Privately funded by: San Diego Bankruptcy Forum - 1 day
3. National Conference of Bankruptcy Judges	04/03/2011 - 04/05/2011	Palm Desert, CA	NCBJ Mid-Year Meeting - activity of professional association or civic association	Privately funded by: National Conference of Bankruptcy Judges - 3 days
4. California Bankruptcy Forum	05/20/2011 - 05/22/2011	Squaw Valley, CA	Non-FJC educational seminar or program	Privately funded by: California Bankruptcy Forum - 3 days

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5.	NCBJ	07/19/2011 - 07/22/2011	Atlanta, GA	FMJA Annual Conference - activity of professional association or civic organization	Privately funded by: National Conference of Bankruptcy Judges - 4 days
6.	American Bankruptcy Institute	09/08/2011 - 09/10/2011	Las Vegas, NV	Non-FJC educational seminar or program	Privately funded by: American Bankruptcy Institute - 2 days
7.	National Conference of Bankruptcy Judges	10/08/2011 - 10/16/2011	Tampa, FL	NCBJ Annual Conference - activity of professional association or civic association	Privately funded by: National Conference of Bankruptcy Judges - 8 days

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IBM (IRA)	A	Dividend	J	T					
2. GAIAM		None	J	T					
3. Schwab Money Market	A	Dividend	J	T					
4. Schwab Money Market Fund (IRA)	A	Dividend	J	T					
5. First Eagle Overseas Fund, fka Sogen Overseas Fund (IRA)	A	Dividend	J	T					
6. JDS Uniphase (IRA)		None			Sold	01/10/11	J	A	
7. Euro Pacific Growth Fund (IRA) GFAFX	A	Dividend	J	T					
8. First Solar		None			Sold	09/01/11	J	A	
9. Biosolar, Inc.		None	J	T					
10. Emerson Electric (IRA)	A	Dividend	J	T	Sold (part)	04/11/11	J	A	
11. Tetra Tech, Inc. (IRA)		None	J	T	Sold (part)	11/29/11	J	A	
12. Lazard Emerging Markets Fund (IRA)	A	Dividend			Sold	11/07/11	J	A	
13. Pimco Total Return Inst. (IRA)	A	Dividend	J	T					
14. Longleaf Partners Fund (IRA)	A	Dividend	K	T					
15. I Shares TR Russell 1000 (IRA)	B	Dividend	K	T					
16. Spider Gold Trust (IRA)		None	J	T	Sold (part)	08/09/11	J	A	
17. Skyworks Solutions		None			Sold	03/17/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. JP Morgan Core Bond (IRA)	A	Interest	J	T					
19. Schwab Fundamental U.S. - Large (IRA)	A	Dividend	J	T	Sold (part)	01/21/11	J	A	
20. Schwab Fundamental U.S. - Small (IRA)	A	Dividend	J	T	Sold (part)	01/21/11	J	A	
21. I Shares Russell 1000 Val (IRA)	A	Dividend	K	T					
22. I Shares MSCIEMU (IRA)	A	Dividend	J	T	Sold (part)	10/03/11	K	C	
23. Apple (IRA)		None			Sold	01/10/11	J	A	
24. Consolidated Edison (IRA)	A	Dividend	J	T					
25. Diana Shipping (IRA)		None			Sold	05/25/11	J	A	
26. Home Depot	A	Dividend			Sold	11/23/11	J	A	
27. Spider Gold Trust		None	J	T	Sold (part)	08/04/11	J	A	
28. Wells Fargo (Savings Account)	A	Interest	J	T					
29. Honeywell	A	Dividend	J	T	Sold (part)	04/01/11	J	A	
30. Honeywell	A	Dividend	J	T	Buy	08/30/11	J		
31. Cree		None			Sold	04/20/11	J	A	
32. Bristol Myers (IRA)	A	Dividend			Sold	01/22/11	J	A	
33. Boeing (IRA)	A	Dividend	J	T	Buy	04/01/11	J		
34. Diana Container Ship-DCIX (IRA)		None	J	T					

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35. Airgas- ARG (IRA)		None			Sold	06/27/11	J	A	
36. Verizon-VZ (IRA)	A	Dividend	J	T	Buy	02/02/11	J		
37. Ivy Asset Strategy (IRA)	A	Dividend			Sold	01/05/11	J	A	
38. Pimco Foreign Bond-PFORX (IRA)	A	Dividend	K	T					
39. Pimco High Yield-PHIYX (IRA)	A	Dividend	K	T					
40. Leuthold Asset Allocation-LAAIX (IRA)	A	Dividend			Sold	07/11/11	J	A	
41. Schwab Funda. Emer - SFENX (IRA)	A	Dividend	J	T	Sold (part)	01/21/11	J	A	
42. Schwab Funda. Int'l - SFNNX (IRA)	A	Dividend	J	T	Sold (part)	01/21/11	J	A	
43. Schwab Funda. Int'l - SFILX (IRA)	A	Dividend	J	T					
44. 1 Shares MSCI-Japan - EWJ (IRA)	A	Dividend	J	T					
45. 1 Shares Barclays - AGG (IRA)	A	Dividend	K	T					
46. 1 Shares Russell 2000 - IWN (IRA)	A	Dividend	K	T					
47. Apple		None	J	T	Buy	04/01/11	J		
48. Caterpillar	A	Dividend	J	T	Buy	03/24/11	J		
49. EOG		None	J	T	Buy	11/21/11	J		
50. NUE	A	Dividend	J	T	Buy	03/18/11	J		
51. BK-BARODA-NY CD (IRA)	A	Interest	J	T	Buy	07/08/11	J		

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52. CLNE (IRA)		None	J	T	Buy	07/13/11	J		
53. CY (IRA)	A	Dividend	J	T	Buy	12/15/11	J		
54. HAIN (IRA)		None	J	T	Buy	06/20/11	J		
55. WY (IRA)	A	Dividend	J	T	Buy	04/08/11	J		
56. SPDR Engy Select (IRA)	A	Dividend	J	T	Buy	06/02/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Randall L. Dunn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544