

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Freeman, Beth L.	2. Court or Organization U.S. District Court, Northern District of California	3. Date of Report 04/30/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U. S. District Court 280 South First St. San Jose, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Governors	Association of Business Trial Lawyers
2. Director	Junior Statesmen Foundation
3. Co-Trustee	Freeman Family Trust
4. Director	Federal Practice Program
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1983	San Mateo County Employees Retirement Association - Pension
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	San Mateo County Employees' Retirement Association - Pension	\$79,130.10
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Jones Day - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Association of Business Trial Lawyers	April 1-2, 2016	Carmel, CA	Board of Directors meeting	Transportation, meals, lodging
2. ChIPs Women in IP	September 13-15, 2016	Washington, D.C.	Presentation to conference	Transportation, meals, lodging, registration fee
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Freeman Aggregate (H)									
2. - DFA US Core Equity I Institutional	A	Dividend	K	T					
3. - SPDR S&P 500 ETF	D	Dividend	N	T					
4. - Schwab Total Stock Market Index Fund	A	Dividend	K	T					
5. - Vanguard Dividend Appreciation ETF	B	Dividend	L	T					
6. - Vanguard Growth Index Fund Investor Shares	A	Dividend	K	T					
7. - Vanguard Total Stock Market ETF	D	Dividend	N	T					
8. - BMO Small-Cap Growth Fund		None	J	T					
9. - John Hancock Disciplined Value Mid Cap Fund	B	Dividend	L	T					
10. - Touchstone Small Cap Core Fund	A	Dividend	L	T					
11. - Voya MidCap Opportunities Fund	C	Dividend	L	T					
12. - Artisan International Fund		None			Sold	04/21/16	M		
13. - Artisan International Fund Advisor Class	C	Dividend	M	T	Buy	04/21/16	L		
14.					Buy (add'l)	04/22/16	M		
15.					Buy (add'l)	07/29/16	K		
16. - Dodge & Cox International Stock Fund	D	Dividend	N	T	Buy (add'l)	04/21/16	L		
17.					Buy (add'l)	07/29/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Oberweis International Opportunities Fund	A	Dividend	M	T	Buy	03/16/16	M		
19.					Buy (add'l)	04/21/16	K		
20. - Oakmark International Small Cap Fund		None			Sold	03/15/16	M		
21. - Vanguard FTSE All World ex-US ETF	A	Dividend	J	T					
22. - Vanguard FTSE Developed Markets ETF	B	Dividend	L	T					
23. - iShares MSCI Pacific ex-Japan ETF	B	Dividend	K	T					
24. - Ashmore Emerging Markets Frontier Equity Fund	B	Dividend	M	T					
25. - Driehaus Frontier Emerging Markets Fund	A	Dividend	M	T	Buy	07/12/16	M		
26. - Wasatch Frontier Emerging Small Countries Fund		None			Sold	07/11/16	M		
27. - Angel Oak Flexible Income Fund	E	Dividend	O	T	Sold (part)	02/12/16	M		
28.					Buy (add'l)	03/02/16	L		
29.					Sold (part)	12/12/16	K		
30.					Sold (part)	12/22/16	J		
31. - Stone Ridge All Asset Variance Risk Premium Fund	E	Dividend	O	T	Buy (add'l)	01/11/16	K		
32.					Buy (add'l)	02/16/16	M		
33. - FPA Crescent Fund	B	Dividend	K	T					
34. - Schooner Hedged Alternative Income Fund	F	Dividend			Buy (add'l)	03/02/16	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35.					Sold (part)	12/12/16	K		
36.					Sold	12/13/16	O		
37. - Glenmede Secured Options Portfolio		None	O	T	Buy	12/14/16	O		
38. - Stone Ridge Reinsurance Risk Premium Fund	E	Dividend	O	T	Buy (add'l)	12/12/16	K		
39. - RiverPark Short Term High Yield Fund	E	Dividend	O	T	Buy (add'l)	06/20/16	K		
40.					Buy (add'l)	07/29/16	K		
41.					Buy (add'l)	09/19/16	K		
42. - Shenkman Short Duration High Income	D	Dividend	O	T	Buy	06/06/16	O		
43.					Buy (add'l)	06/20/16	L		
44.					Buy (add'l)	07/29/16	K		
45.					Buy (add'l)	09/19/16	K		
46.					Sold (part)	10/26/16	K		
47. - DoubleLine Total Return Bond Fund	E	Dividend	P1	T	Buy (add'l)	02/09/16	L		
48.					Buy (add'l)	02/17/16	N		
49.					Buy (add'l)	06/20/16	L		
50.					Buy (add'l)	07/29/16	K		
51.					Buy (add'l)	09/19/16	K		

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52. - Voya Securitized Credit Fund	D	Dividend	O	T	Buy	08/26/16	N		
53.					Buy (add'l)	08/31/16	M		
54.					Buy (add'l)	09/19/16	K		
55. - Angel Oak Multi-Strategy Income Fund	E	Dividend			Buy (add'l)	06/20/16	K		
56.					Sold (part)	08/25/16	N		
57.					Sold	08/30/16	M		
58. - Legg Mason Brandywine Alternative Credit Fund	B	Dividend			Sold (part)	01/05/16	J		
59.					Sold	06/03/16	O		
60. - Palmer Square Income Plus Fund		None			Sold (part)	02/08/16	L		
61.					Sold	02/16/16	N		
62. - Schwab AMT Tax-Free Money Market Fund		None	K	T	Buy (add'l)	01/06/16	J		
63.					Buy (add'l)	01/08/16	J		
64.					Sold (part)	01/11/16	J		
65.					Buy (add'l)	02/16/16	J		
66.					Sold (part)	02/17/16	J		
67.					Buy (add'l)	06/06/16	K		
68.					Sold (part)	06/07/16	K		

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69.					Sold (part)	07/06/16	J		
70. - Schwab U.S. Treasury Money Market Fund	A	Interest	N	T	Buy (add'l)	01/06/16	J		
71.					Buy (add'l)	01/07/16	L		
72.					Buy (add'l)	01/08/16	J		
73.					Buy (add'l)	01/11/16	K		
74.					Sold (part)	01/12/16	K		
75.					Sold (part)	01/19/16	J		
76.					Sold (part)	01/21/16	J		
77.					Buy (add'l)	01/29/16	K		
78.					Buy (add'l)	02/01/16	J		
79.					Buy (add'l)	02/12/16	J		
80.					Buy (add'l)	02/17/16	L		
81.					Sold (part)	02/18/16	L		
82.					Buy (add'l)	03/02/16	L		
83.					Sold (part)	03/03/16	M		
84.					Buy (add'l)	03/11/16	J		
85.					Buy (add'l)	03/23/16	J		

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86.					Buy (add'l)	04/01/16	J		
87.					Buy (add'l)	04/18/16	J		
88.					Buy (add'l)	04/19/16	M		
89.					Sold (part)	04/22/16	M		
90.					Buy (add'l)	05/02/16	J		
91.					Buy (add'l)	05/03/16	J		
92.					Buy (add'l)	05/13/16	J		
93.					Buy (add'l)	05/17/16	J		
94.					Buy (add'l)	06/10/16	J		
95.					Buy (add'l)	06/20/16	M		
96.					Sold (part)	06/21/16	M		
97.					Buy (add'l)	07/01/16	J		
98.					Buy (add'l)	07/06/16	K		
99.					Buy (add'l)	07/18/16	J		
100.					Sold (part)	07/20/16	K		
101.					Buy (add'l)	07/27/16	K		
102.					Sold (part)	08/01/16	M		

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103.					Buy (add'l)	08/02/16	J		
104.					Buy (add'l)	08/12/16	J		
105.					Buy (add'l)	08/16/16	J		
106.					Buy (add'l)	08/25/16	K		
107.					Sold (part)	08/29/16	K		
108.					Sold (part)	09/01/16	J		
109.					Buy (add'l)	09/09/16	J		
110.					Buy (add'l)	09/19/16	M		
111.					Sold (part)	09/20/16	L		
112.					Buy (add'l)	09/28/16	K		
113.					Buy (add'l)	10/03/16	J		
114.					Buy (add'l)	10/17/16	J		
115.					Buy (add'l)	10/19/16	J		
116.					Buy (add'l)	10/28/16	L		
117.					Sold (part)	10/31/16	L		
118.					Buy (add'l)	11/01/16	J		
119.					Buy (add'l)	11/14/16	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	11/16/16	J		
121.					Sold (part)	11/21/16	L		
122.					Buy (add'l)	12/09/16	J		
123.					Buy (add'l)	12/27/16	J		
124.					Buy (add'l)	12/28/16	J		
125. - Schwab Government Money Market Fund		None	J	T	Buy	07/06/16	J		
126.					Buy (add'l)	08/31/16	K		
127.					Sold (part)	09/01/16	K		
128.					Buy (add'l)	12/14/16	K		
129.					Sold (part)	12/15/16	K		
130. - AT&T Inc New Common Stock	A	Dividend	N	T	Sold (part)	01/05/16	J	A	
131. - AbbVie Inc Common Stock	B	Dividend	K	T					
132. - Abbott Laboratories Common Stock	A	Dividend	K	T					
133. - American International Group Common Stock	A	Dividend	K	T					
134. - Apple Inc Common Stock	D	Dividend	N	T	Sold (part)	12/30/16	M	F	
135. - Berkshire Hathaway Class B Common Stock		None			Donated				
136. - CVS Corporation Common Stock	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Freeman, Beth L.

Date of Report

04/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Coca Cola Co. Common Stock	C	Dividend	L	T					
138. - Colgate-Palmolive Co. Common Stock	A	Dividend	K	T					
139. - Comcast Corp. New Common Stock	C	Dividend	M	T					
140. - International Business Machines Common Stock	A	Dividend	K	T					
141. - Marathon Petroleum Corp Common Stock	A	Dividend	K	T					
142. - MasterCard Inc Common Stock	A	Dividend	K	T					
143. - McDonald Corp Common Stock	B	Dividend	K	T					
144. - Microsoft Corp Common Stock	D	Dividend	N	T	Sold (part)	01/05/16	J	C	
145.					Sold (part)	12/30/16	M	F	
146. - Pepsico, Inc Common Stock	D	Dividend	M	T					
147. - Proctor & Gamble Co Common Stock	C	Dividend	M	T					
148. - Rite Aid Corp Common Stock		None	J	T					
149. - Sonic Corp Common Stock	A	Dividend	J	T					
150. - Spectrum Brands Holdings Inc Common Stock	A	Dividend	J	T					
151. - TJX Companies Inc Common Stock	A	Dividend	K	T					
152. - Target Corporation Common Stock	A	Dividend	J	T					
153. - Visa, Inc Common Stock	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Freeman, Beth L.

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04/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Wal-Mart Stores Inc Common Stock	A	Dividend	K	T					
155. - Walgreens Boots Alliance Inc Common Stock	A	Dividend	K	T					
156. - Amgen Inc Common Stock	A	Dividend			Donated				
157. - Chipotle Mexican Grill, Inc Common Stock		None			Donated				
158. - Schwab Value Advantage Fund	A	Dividend			Sold	10/26/16	L		
159. - FSC Retreat at Conroe, Conroe, TX (2014 \$75,000)	D	Rent	L	W					
160. - FSC Estates at Canyon Ridge, San Antonio, TX (2014 \$75,000)	D	Rent	L	W					
161. - FSC Vista Sureno, Phoenix, AZ (2015 \$75,000)	D	Rent	L	W					
162. - FSC Granada Hills, Los Angeles, CA (2015 \$75,000)	C	Rent	L	W					
163. - FSC Brightwater, Seattle, WA (2016 \$75,000)		None	L	W	Buy	10/28/16	L		
164. - FSC La Paloma, Albuquerque, NM (2016 \$75,000)		None	L	W	Buy	11/18/16	L		
165. Charitable Gift Account (H)									
166. - Schwab Charitable Equity Index Pool		None	J	T	Sold (part)	03/21/16	J		
167.					Buy (add'l)	06/27/16	J		
168. - Schwab Charitable Income Pool		None	K	T	Sold (part)	02/23/16	J		
169.					Sold (part)	03/21/16	J		
170.					Buy (add'l)	06/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Freeman, Beth L.

Date of Report

04/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Schwab Charitable International Pool		None	J	T	Buy (add'l)	06/27/16	J		
172. - Schwab Charitable Money Market Pool		None	K	T	Sold (part)	02/23/16	J		
173.					Sold (part)	03/21/16	J		
174.					Buy (add'l)	06/27/16	J		
175. - Schwab Charitable Short Term Income Pool		None	K	T	Sold (part)	01/05/16	J		
176.					Sold (part)	02/23/16	J		
177.					Sold (part)	03/21/16	J		
178.					Sold (part)	05/03/16	J		
179.					Sold (part)	05/24/16	J		
180.					Buy (add'l)	06/27/16	J		
181. - Berkshire Hathaway Class B Common Stock		None			Buy	06/22/16	J		
182.					Sold	06/23/16	J		
183. New Heights Associates LLC (inherited)		None	M	W					
184. Jones Day Capital Account	D	Int./Div.	M	U	Distributed (part)	12/31/16	M		
185. Jones Day 2015 Fund	E	Int./Div.	N	U	Buy (add'l)	01/15/16	K		
186.					Buy (add'l)	01/31/16	J		
187. Wells Fargo Bank (X)	A	Int./Div.	N	U					

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Name of Person Reporting

Freeman, Beth L.

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04/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.									
189.									
190.									
191.									
192.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Freeman, Beth L.

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04/30/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Beth L. Freeman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544