

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conner, Christopher C.	2. Court or Organization Middle District Pennsylvania	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address P.O. Box 847 Harrisburg, PA 17108-0847		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Fidelity Advisor 529 College Savings Plans #1 and #2
2. Trustee	Trustee Account #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	The Pennsylvania State University - teaching at law school	\$24,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	The Pennsylvania State University - teaching salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank, NA cash accounts	A	Interest	J	T					
2. Metro Bank cash accounts (X)	A	Interest	J	T					
3. PNM Resources Inc. common stock	A	Dividend	J	T					
4. Consec Life Insurance Co. - flexible premium life ins pol	A	Interest	J	T					
5. RENTAL PROPERTY, Mechanicsburg, PA (2004, \$302,250)	E	Rent	N	R					
6. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #1									
7. - FA 529 Diversified International Portfolio Class A		None	J	T					
8. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	J	T					
9. - FA 529 Small Cap Portfolio Class A		None	J	T					
10. - FA 529 Money Market Portfolio Class A		None	J	T					
11. - FA 529 High Income Portfolio Class A		None	J	T	Sold (part)	07/18/12	J		
12.					Sold (part)	10/17/12	J		
13.					Sold (part)	11/26/12	J		
14. - FA 529 Intermediate Bond Portfolio Class A		None	J	T					
15. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #2									
16. - FA 529 Diversified International Portfolio Class A		None	J	T					
17. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - FA 529 Small Cap Portfolio Class A		None	K	T					
19. - FA 529 Dividend Growth Portfolio Class A		None	J	T					
20. - FA 529 High Income Portfolio Class A		None	J	T					
21. - FA 529 Intermediate Bond Portfolio Class A		None	J	T					
22. TRUSTEE ACCOUNT #1									
23. - Schwab One money market account	A	Interest	K	T					
24. - Loomis Sayles Bond Fund Class R	B	Dividend	K	T					
25. - Pimco Income Fund Class D	B	Dividend	K	T	Sold (part)	02/17/12	K	A	
26. - Pimco Total Return Fund Class D	B	Dividend	K	T					
27. - T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T					
28. - Doubleline Total Return Bond Fund Class N	A	Dividend	J	T					
29. - Vanguard REIT Index Fund Investor Shares	A	Dividend	J	T					
30. - BBH Core Select Fund Class N	A	Dividend	K	T					
31. - Pimco Corporate Opportunity Fund	A	Dividend			Sold	02/17/12	J		
32. - Pimco Income Strategy Fund	A	Dividend			Sold	02/17/12	J		
33. - Commerce Bond Shares	A	Dividend	K	T					
34. - Metropolitan West Total Return Bond M	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Vanguard GNMA Fund Investor Shares	A	Dividend			Sold (part)	03/16/12	J		
36.					Sold	12/17/12	J		
37. - Vanguard Dividend Growth Fund Investor shares	A	Dividend	K	T	Buy	2/17/12	K		
38. - SPDR Barclays Capital High Yield Bond ETF	A	Dividend			Buy	2/29/12	K		
39.					Sold	06/04/12	K		
40. - American Century Inflation Adjusted Bond Inv	A	Dividend	K	T	Buy	03/16/12	K		
41. - Powershares S&P ETF 500 Low Volatility	A	Dividend	J	T	Buy	07/30/12	J		
42. - Matthews Asia Dividend Fund		None	J	T	Buy	12/17/12	J		
43. - Vanguard Selected Value Fund	A	Dividend	J	T	Buy	12/17/12	J		
44. CHARLES SCHWAB IRA #1									
45. - Charles Schwab money market account	A	Interest	J	T					
46. - Charles Schwab Bank insured deposit account	A	Interest	K	T					
47. - Templeton Global Bond Fund	D	Dividend	L	T	Sold (part)	06/04/12	K		
48. - PPL Corporation common stock	B	Dividend	K	T					
49. - Sector SPDR Financial Select Shares of Beneficial Interest	A	Dividend	J	T					
50. - Pimco Total Return Fund Class D	B	Dividend	K	T					
51. - iShares Barclays TIPS Bond Fund	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - T Rowe Price Blue Chip Growth Fund	A	Dividend	K	T					
53. - Vanguard Short Term Corporate Bond Index ETF	B	Dividend	L	T					
54.					Buy (add'l)	04/02/12	K		
55. - Vanguard REIT Index Fund Investor Shares	A	Dividend	J	T					
56. - Pimco Real Return Fund Class D	A	Dividend	K	T					
57.					Buy (add'l)	06/04/12	J		
58. - Pimco Corporate Opportunity Fund	A	Dividend			Sold	03/16/12	J		
59. - Pimco Income Fund Class D	A	Dividend			Sold (part)	02/17/12	K		
60.					Sold	04/02/12	K		
61. - Commerce Bond Shares	A	Dividend	K	T					
62. - Metropolitan West Total Return Bond M	B	Dividend	K	T					
63. - Vanguard GNMA Fund Investor Shares	A	Dividend	J	T	Sold (part)	12/17/12	K		
64. - Vanguard Dividend Growth Fund Investor Shares	A	Dividend	K	T	Buy	02/17/12	K		
65.					Buy (add'l)	12/17/12	J		
66. - American Century Inflation Adjusted Bond Inv	A	Dividend	K	T	Buy	03/16/12	K		
67. - SPDR Barclays Capital High Yield Bond ETF	A	Dividend			Buy	2/29/12	K		
68.					Sold	06/04/12	K		

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69. - ProShares Ultrashort Barclays 20+ Treasury ETF		None			Buy	03/16/12	J		
70.					Sold	04/11/12	J		
71. - Powershares S&P ETF 500 Low Volatility	A	Dividend	J	T	Buy	07/30/12	J		
72. - Pimco ETF Total Return	A	Dividend	J	T	Buy	09/20/12	J		
73. - Vanguard Selected Value Fund	A	Dividend	J	T	Buy	12/17/12	K		
74. - SPDR S&P Midcap 400 ETF		None	J	T	Buy	12/17/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

N/A

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher C. Conner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544