

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ishii, Anthony W.	2. Court or Organization U.S. District Court Eastern District of California	3. Date of Report 04/25/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court 2500 Tulare Street Fresno, CA 93721		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	THE [REDACTED] TRUST
2.	Trustee	By-Pass Trust of [REDACTED] FBO [REDACTED]
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1994	California Judges Retirement System; retirement benefits based upon age and years of service
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	California Judges Retirement System	\$101,778.72
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	California Public Employees' Retirement System
2. 2015	Fresno County Employees' Retirement Association
3. 2015	Pacific Gas & Electric Company Retirement System
4. 2015	Insure America Project - Salary

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. i Bros., Inc. Common Stock		None	N	U					
2. Invesco Van Kampen Comstock Fund	B	Dividend	K	T					
3. Rancon Realty Fund V		None	J	T					
4. Wells Fargo Bank Accounts	A	Interest	L	T					
5. Davis New York Venture A	B	Dividend	K	T					
6. Morgan Stanley Focus Growth Fund	A	Dividend	K	T					
7. Templeton Foreign Fund A	A	Dividend	J	T					
8. Morgan Stanley Private Bank NA	A	Interest	L	T					
9. AT&T Inc. Common Stock	A	Dividend	J	T					
10. Alcoa Inc. Common Stock	A	Dividend	J	T					
11. Cisco Sys. Inc. Common Stock	A	Dividend	K	T					
12. General Electric Co. Common Stock	A	Dividend	K	T					
13. Trust #1	B	Int./Div.	M	T					
14. - Morgan Stanley Bank Account	A	Interest	K	T					
15. - AT&T Inc. Common Stock	B	Dividend	K	T					
16. - Cisco Sys. Inc. Common Stock	A	Dividend	K	T					
17. - General Electric Co. Common Stock	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Davis New York Venture C	B	Dividend	J	T					
19. - Kaiser Aluminum Corp Common Stock	A	Dividend	K	T					
20. American Wa Mutual A	A	Dividend	J	T					
21. Franklin Gold & Prec Metals A	A	Dividend	J	T					
22. Trust #2	E	Distribution	O	T					
23. -FNMA 3128LBTX6 (X)	A	Interest	J	T					
24. -FNMA 31283HUD5 (X)	A	Interest	J	T					
25. -FONTANA 344619HG9 (X)	A	Interest	J	T					
26. -SOUTH SF 840036CP0 (X)	A	Interest	J	T					
27. -STOCKTON 861395CB5 (X)	A	Interest	K	T					
28. -ABAG FIN 00257EAQ2 (X)	A	Interest	J	T					
29. -SUNNYVALE 867570CB7 (X)	A	Interest	J	T					
30. -RNR SCH 769697EP4 (X)	A	Interest	J	T					
31. -CA INFRA 13033WUK7 (X)	A	Interest	J	T					
32. -CA INFRA 13033WVD2 (X)	B	Interest	K	T					
33. -SOLANO 83412PAS1 (X)			J	T					
34. -INDIAN WLS 45455CGD4 (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -POMONA CA 73208TPQ0 (X)	A	Interest	J	T					
36. -CA STATEWIDE 1309116N5 (X)	B	Interest	K	T					
37. -BEAUMONT 074406JY6 (X)	A	Interest	J	T					
38. -ANAHEIM CA 03255LDU0 (X)	A	Interest	K	T					
39. -ANAHEIM CA 03255LEG0 (X)	A	Interest	K	T					
40. -RIVERSIDE 769123EY2 (X)	A	Interest	K	T					
41. -SAN MARCOS 79875WJQ9 (X)	A	Interest	K	T					
42. -LAMMERSVIL 513539BH8 (X)	A	Interest	J	T					
43. -SAN JOSE 798147U36 (X)	A	Interest	K	T					
44. -MORENO VAL 616908AT6 (X)	A	Interest	J	T					
45. -IMPERIAL CA 452673BS4 (X)	A	Interest	J	T					
46. -SAN JOSE 798147U77 (X)	A	Interest	J	T					
47. -CA STATEWIDE 130795CN5 (X)	A	Interest	J	T					
48. -CA STATEWIDE 130795PT8 (X)	A	Interest	K	T					
49. -CA HEALTH 13033F3A6 (X)	A	Interest	J	T					
50. -CA STATEWIDE 130795CQ8 (X)	A	Interest	K	T					
51. -ELK GROVE 287290LE8 (X)	A	Interest	J	T					

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52. -LOS ANGELES 54439NCL9 (X)	A	Interest	J	T					
53. -UPLAND CA 915346FJ4 (X)	A	Interest	J	T					
54. -SAN FRAN 79771PT21 (X)	A	Interest	J	T					
55. -PALM DES 696617SZ3 (X)	A	Interest	K	T					
56. -RIVERSIDE 76911PMQ2 (X)	A	Interest	K	T					
57. -TRACY CA 892397HL7 (X)	A	Interest	J	T					
58. -ABAG FIN 00037CTL4 (X)	A	Interest	J	T					
59. -CA SCH 13058TAC4 (X)	A	Interest	J	T					
60. -CA SCH 13058TAB6 (X)	A	Interest	J	T					
61. -CORONA 21976TGH9 (X)	A	Interest	J	T					
62. -SAN FRAN 79772AAV9 (X)	A	Interest	J	T					
63. -SAN DIEGO 79727QBZ0 (X)	A	Interest	K	T					
64. -AGOURA HLS 00848QBZ0 (X)	A	Interest	J	T					
65. -BEAUMONT 074406QW2 (X)	A	Interest	J	T					
66. -BAYVIEW 07325HAE5 (X)	A	Interest	K	T					
67. -CWHEQ 12668GAE2	A	Interest	K	T	Buy	09/15/15	K		
68. -CARRINGTON 14453MAE4	A	Interest	K	T	Buy	08/20/15	K		

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69. -COUNTRYWIDE 12668XAD7	A	Interest	K	T	Buy	10/05/15	K		
70. -CWHEQ 12669RAC1	A	Interest	K	T	Buy	09/04/15	K		
71. -FNMA 31359VQ48 (X)	A	Interest	J	T					
72. -FNMA 31392FVB0 (X)	A	Interest	J	T					
73. -RENAISSANCE 759950CY2 (X)	A	Interest	J	T					
74. -ADJUSTABLE 007036HX7 (X)	A	Interest	J	T					
75. -AMG YACKT YAFFX (X)					Sold	11/05/15	J	A	
76. -MFS SER TR MDIGX (X)					Sold	11/05/15	J	A	
77. -MARKET VEC GDX (X)					Sold	11/05/15	J		
78. -SENTINEL SSCOX (X)					Sold	11/05/15	J	A	
79. -PLUMAS CNT 729310BQ4 (X)	A	Interest			Redeemed	07/16/15	J		
80. -CHULA VISTA 17131NCC7 (X)	A	Interest			Redeemed	09/01/15	J		
81. -VISALIA CA 928279AV0 (X)	A	Interest			Redeemed	09/01/15	K		
82. -CALIF EDL 1301754Z2 (X)	A	Interest			Redeemed	06/01/15	J		
83. -LOMA LINDA 541482JK9 (X)	A	Interest			Redeemed	12/01/15	J	A	
84. -JURUPA CA 482107BT5 (X)	A	Interest			Redeemed	09/01/15	J		
85. -SANTA CRUZ 801825DP2 (X)	A	Interest			Redeemed	09/01/15	J		

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86. -PAMDALE 696735JF7 (X)					Sold	08/21/15	J	B	
87. -MERCED CA 587616BM4 (X)	A	Interest			Redeemed	09/01/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information and Explanations regarding entries in Part I Positions and in Part VII. Investments and Trusts:

Pursuant to the provisions of the estate plan of my now deceased father and mother, a by-pass trust was created as

Therefore, I have listed myself as the trustee of this by-pass trust in Part I Positions.

I have also listed this by-pass trust as Trust #2 in Part VII Investments and Trusts with the various assets of the by-pass trust listed below it.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anthony W. Ishii

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544