

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Linn, Richard	2. Court or Organization U.S. Court of Appeals, Fed. Cir.	3. Date of Report 04/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Court of Appeals 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Intellectual Property Advisory Board, George Washington University Law School
2. Past-President		The Giles S. Rich American Inn of Court
3. Member		The Richard Linn American Inn of Court
4. Visiting Member		The Hon. William C. Conner American Inn of Court
5. Lifetime Member		The Benjamin Franklin American Inn of Court

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/26/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Hon. William C. Conner American Inn of Court	January 17-18, 2012	New York, NY	Inn of Court Annual Dinner	Transportation, Meals, and Hotel
2.	The Benjamin Franklin American Inn of Court	January 18, 2012	Philadelphia, PA	Inn of Court Meeting	Transportation and Meals
3.	New York Intellectual Property Law Association	March 23-25, 2012	New York, NY	Annual Judges Dinner	Transportation, Meals, and Hotel
4.	The Boston Intellectual Property American Inn of Court	April 10-11, 2012	Boston, MA	Inn of Court Meeting	Transportation, Meals, and Hotel

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/26/2013

5.	The Atlanta Intellectual Property American Inn of Court	April 11-12, 2012	Atlanta, GA	Inn of Court Meeting	Transportation, Meals, and Hotel
6.	The Richard Linn American Inn of Court	April 12-14, 2012	Chicago, IL	Inn of Court Meeting	Transportation, Meals, and Hotel
7.	Seventh Circuit Bar Association	May 6-7, 2012	Chicago, IL	Participation in Seventh Circuit Bar Assn Meeting	Transportation, Meals, and Hotel
8.	Federal Circuit Bar Association	May 22-31, 2012	Beijing, China	Participation in Joint Judicial Conference	Transportation and Hotel
9.	New Jersey Intellectual Property Law Association	June 8-9, 2012	Short Hills, NJ	Annual Judges Dinner	Transportation, Meals, and Hotel
10.	The Richard Linn American Inn of Court	June 9-10, 2012	Chicago, IL	Inn of Court Annual Dinner	Transportation, Meals, and Hotel
11.	Federal Circuit Bar Association	June 20-23, 2012	San Diego, CA	Bench & Bar Conference	Transportation, Meals, and Hotel
12.	American Bar Association	August 3-4, 2012	Chicago, IL	Participation in ABA Annual Meeting	Transportation, Meals, and Hotel
13.	University of New Hampshire	September 13-14, 2012	Concord, NH	Attend Student Luncheon and Inn of Court Meeting	Transportation and Meals
14.	Michigan Intellectual Property American Inn of Court	September 24, 2012	Ann Arbor, MI	Inn of Court Meeting	Meals
15.	Federal Circuit Bar Association	September 25-26, 2012	Ann Arbor, MI	Participation in Conference Panel Discussion	Transportation and Hotel
16.	Intellectual Property Law Association of Chicago	November 2-3, 2012	Chicago, IL	Bar Association Dinner	Transportation, Meals, and Hotel
17.	Houston Intellectual Property American Inn of Court	November 13-14, 2012	Houston, TX	Inn of Court Meeting	Transportation, Meals, and Hotel
18.	Philadelphia Intellectual Property Law Association	November 14-15, 2012	Philadelphia, PA	Annual Judges Dinner	Transportation, Meals, and Hotel

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/26/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America Cash Equivalent Account	A	Interest	L	T					
2. Brokerage Account #7									
3. - American Funds: AMCAP Fund Class 529A		None	J	T					
4. - American Funds: EuroPacific Growth Fund Class 529A		None	J	T					
5. - American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
6. Brokerage Account # 9									
7. - American Funds: AMCAP Fund Class 529A		None	J	T					
8. - American Funds: EuroPacific Growth Fund Class 529A		None	J	T					
9. - American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
10. - American Funds: Intermediate Bond Fund of America CL 529A	A	Dividend	J	T					
11. Brokerage Account # 10									
12. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
13. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
14. - American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
15. - American Funds: Intermediate Bond Fund of America CL 529A	A	Dividend	J	T					
16. Brokerage Account # 11									
17. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
19. - American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
20. - American Funds: Intermediate Bond Fund of America CL 529A	A	Dividend	J	T					
21. Brokerage Account # 13									
22. - American Funds Growth Fund		None	M	T					
23. - Baillie Gifford International Stock Portfolio		None	M	T					
24. - Neuberger Berman Genesis Portfolio		None	K	T					
25. - Davis Venture Value Portfolio		None	M	T	Sold (part)	06/07/12	K	E	
26. - Clarion Global Real Estate Portfolio		None	K	T					
27. Brokerage Account # 14									
28. - T. Rowe Price Small Cap Growth Portfolio		None	K	T	Sold (part)	08/03/12	K	E	
29. - T. Rowe Price Mid Cap Growth Portfolio		None	K	T	Sold (part)	08/03/12	K	E	
30. - Neuberger Berman Mid Cap Value		None			Sold	04/27/12	K		
31. - Lord Abbett Mid Cap Value Portfolio		None	K	T	Buy	04/27/12	K		
32.					Sold (part)	08/03/12	K	E	
33. MetLife Bank Money Market Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/26/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 23, "Baillie Gifford International Stock Portfolio" was formerly known as "Artio International Stock Portfolio."

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/26/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard Linn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544