

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwood, Bruce A.	2. Court or Organization United States Bankruptcy Court - District of New Hampshire	3. Date of Report 08/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Bankruptcy Court 55 Pleasant Street Concord, NH 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	American Bankruptcy Institute
2.	Trustee	Trust #1 (family member sole beneficiary)
3.	Trustee	Trust #2 (family member sole beneficiary)
4.	Trustee	Trust #3 (family member sole beneficiary)
5.	Trustee	Trust #4 (family member sole beneficiary)
6.	Trustee	Trust #5 (family member sole beneficiary)
7.	Trustee	Trust #6 (family member sole beneficiary)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American College of Bankruptcy	March 10-12, 2017	Washington, DC	Induction ceremony, educational seminar	Transportation, Lodging, Meals
2. American Bankruptcy Institute	April 20-23, 2017	Washington, DC	Educational seminar and board of directors meeting	Transportation, Lodging, Meals
3. American Bankruptcy Institute	July 20-23, 2017	Newport, RI	Educational seminar	Transportation, Lodging, Meals
4. National Conference of Bankruptcy Judges	October 7-11, 2017	Las Vegas, NV	Educational seminar and annual meeting	Transportation, Lodging
5. American Bankruptcy Institute	November 30 - December 3, 2017	Palm Springs, CA	Educational seminar and board of directors meeting	Transportation, Lodging, Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common AMLP - ALERIAN MLP ETF	D	Dividend	M	T	Buy (add'l)	09/28/17	J		
2.					Buy (add'l)	11/20/17	J		
3. AQMIX - AQR MANAGED FUTURES STRATEGY I FUND		None	L	T	Buy (add'l)	01/30/17	J		
4.					Buy (add'l)	09/28/17	J		
5. BGCIX - BLACKROCK GLOBAL L/S CREDIT I FUND	B	Dividend	M	T	Buy (add'l)	01/30/17	J		
6.					Buy (add'l)	09/28/17	J		
7. CMNIX - CALAMOS MARKET NEUTRAL INC I FUND	B	Dividend	L	T	Buy (add'l)	01/30/17	J		
8.					Buy (add'l)	09/28/17	J		
9. Common CVX - CHEVRON CORP	A	Dividend	J	T					
10. IQHX - IQ ALPHA HEDGE STRAT INST FUND		None	L	T	Buy (add'l)	01/30/17	J		
11. Common TIP - ISHARES BARCLAYS TIPS BD ED	C	Dividend	M	T					
12. EEM - ISHARES MSCI EMERGING MARKETS ETF	B	Dividend	L	T					
13. Common SCZ - ISHARES TR MSCI SMALL CAP	C	Dividend	M	T					
14. Common MERFX - MERGER FUND	A	Dividend	M	T	Buy (add'l)	09/28/17	J		
15. Common - STPZ - PIMCO 1-5 YEAR US TIPS INDEX FUND	B	Dividend	M	T	Buy (add'l)	09/28/17	J		
16. PALPX - PIMCO ALL ASSET P FUND	C	Dividend	L	T					
17. PCRPX - PIMCO COMMOD REAL RET STRATP FUND	D	Dividend	L	T	Buy (add'l)	09/28/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. Common RWX - SPDR DJ WILSHIRE INTL REAL EST	B	Dividend	L	T	Buy (add'l)	01/30/17	J		
19. Common TFI - SPDR NUVEEN BBG BARCLAYS MUN B ETF -	B	Int./Div.	L	T					
20. Common SHM - SPDR NUVEEN BARCLAYS SHRT TERM	A	Int./Div.	L	T					
21. Common VEA - VANGUARD FTSE DEVELOPED MARKETS ETF	D	Dividend	N	T	Buy (add'l)	01/30/17	J		
22. Common VWO - VANGUARD FTSE EMERGING MARKETS E	C	Dividend	M	T					
23. Common VUG - VANGUARD GROWTH ETF	C	Dividend	N	T					
24. Common VNQ - VANGUARD REIT ETF	C	Dividend	L	T					
25. Common BSV - VANGUARD SHORT TERM BND	B	Dividend	M	T	Buy (add'l)	01/30/17	J		
26. Common VBK - VANGUARD SM CAP GROWTH ETF	A	Dividend	M	T					
27. Common VBR - VANGUARD SM VALUE ETF	B	Dividend	M	T					
28. Common BND - VANGUARD TOTAL BOND MARKET	C	Dividend	M	T	Buy (add'l)	01/30/17	J		
29. Common VTV - VANGUARD VALUE ETF INDEX	D	Dividend	N	T					
30. Morgan Stanley Bank N.A. Money Market Account (Bank Deposit Program)	A	Interest	L	T					
31. CITIZENS BANK - deposit accounts	A	Interest	M	T					
32. Common BENGUET CORP		None	J	T					
33. Common ENERGIZER HOLDINGS INC (Custodian)	A	Dividend	J	T					
34. Common BOEING COMPANY (Custodian)		None	J	T					

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35. Trust #1 (H)									
36. - CITIZENS BANK - bank account	A	Interest	J	T	Distributed (part)	01/31/17	J		
37. Trust #3 (H)									
38. - CITIZENS BANK - bank account	A	Interest	K	T					
39. - NBT Bank f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
40. Trust #4 (H)									
41. - NBT BANK f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T	Distributed (part)	01/31/17	J		
42. Trust #5 (H)									
43. - NBT Bank f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T	Distributed (part)	01/31/17	J		
44. Trust # 6 (H)									
45. -NBT BANK f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
46. Common PRINCIPAL FINANCIAL GROUP INC	A	Dividend	J	T					
47.									
48.									
49.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 12: The ticker symbol for EEM - ISHARES MSCI EMERGING MARKETS ETF was listed incorrectly as "EEN" on Part VII, Line 20 of my 2016 report as "EEN." It is the same fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bruce A. Harwood**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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