

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARTINEZ, JOSE	2. Court or Organization DISTRICT COURT- S DIST OF FL	3. Date of Report 07/31/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 400 N. MIAMI AVENUE ROOM 10-2 MIAMI, FL 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	TEACHING A CLASS AT THE UNIV. OF MIAMI IN CORAL GABLES, FLORIDA	\$3,000.00
2. 2016		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERICAN ELECTRIC POWER CO (COMMON STOCK HELD BY SPOUSE)	A	Dividend	J	T					
2. DOMINION DIRECT (COMMON STOCK HELD BY SPOUSE)	C	Dividend	M	T					
3. SOUTHERN COMPANY (COMMON STOCK HELD BY SPOUSE)	B	Dividend	K	T					
4. WESTAR ENERGY INC (COMMON STOCK HELD BY SPOUSE)	A	Dividend	J	T					
5. MCDONALDS CORPORATION (COMMON STOCK HELD BY SPOUSE)	A	Dividend	J	T					
6. CAPITAL ONE	B	Interest	M	T					
7. WELLS FARGO DEP SWEEP	A	Interest			Sold	7/13/16	J		
8. IRA #1 WELLS FARGO DEP SWEEP	A	Interest			Sold	7/13/16	J		
9. IRA #2 WELLS FARGO DEP SWEEP ACCOUNT	A	Interest			Sold	8/18/16	J		
10. LEGG MASON PARTNERS CAP FUND INC CL C (OWNED BY SPOUSE)	A	Dividend			Sold	7/13/16	M	A	
11. LEGG MASON PARTNERS AGRESS GROWTH FD CL C (OWNED BY SPOUSE)	C	Dividend			Sold	7/13/16	L	E	
12. IRA #1 LEGG MASON PRNRS AGRESS GRWTH FDCL (OWNED BY SPOUSE)	B	Dividend			Sold	7/13/16	K		
13. IRA #1 LEGG MASON PRNRS CAP FD INC CL C (OWNED BY SPOUSE)	C	Dividend			Sold	7/13/16	K		
14. IRA #2 LEGG MASON PARTNERS AGGRESSIVE GROWTH FD CL C	C	Dividend			Sold	8/18/16	L		
15. IRA #2 LEGG MASON PARTNERS CAP FD INC CL C	C	Dividend			Sold	7/13/16	L		
16. IRA #1 COLUMBIA FDS SER TR I	A	Dividend			Sold	7/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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17. IRA #1 LEGG MASON PRTRNS CAP FD INC CL C	A	Dividend			Sold	7/13/16	J		
18. IRA #2 LEGG MASON PARTNERS CAP FD INC CL C	B	Dividend			Sold	7/13/16	L		
19. USAA SHORT TERM BOND FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/13/16	J		
20. USAA SHORT TERM BOND FUND (OWNED BY SPOUSE)	A	Dividend			Sold (part)	9/14/16	J	A	
21. USAA EMERGING MARKET FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/13/16	J		
22. USAA GROWTH FUND (OWNED BY SPOUSE)	A	Dividend	K	T	Buy	7/13/16	K		
23. USAA HIGH INCOME FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/13/16	J		
24. USAA INCOME FUND (OWNED BY SPOUSE)	A	Dividend	K	T	Buy	7/13/16	K		
25. USAA INCOME STOCK FUND (OWNED BY SPOUSE)	A	Dividend	K	T	Buy	7/13/16	K		
26. USAA INTERMEDIATE TERM BOND FUND (OWNED BY SPOUSE)	A	Dividend	K	T	Buy	7/13/16	K		
27. USAA INTERNATIONAL FUND (OWNED BY SPOUSE)	A	Dividend	K	T	Buy	7/13/16	K		
28. USAA MANAGED ALLOCATION FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/13/16	J		
29. USAA SMALL CAP STOCK FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/13/16	J		
30. USAA MONEY MARKET	A	Dividend	J	T	Buy	7/13/16	J		
31. IRA ACCOUNT- USAA EMERGING MARKETS FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		
32. IRA ACCOUNT- USAA GROWTH FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		
33. IRA ACCOUNT- USAA INCOME STOCK FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		

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34. IRA ACCOUNT- USAA INTERNATIONAL FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		
35. IRA ACCOUNT- USAA MANAGED ALLOCATION FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		
36. IRA ACCOUNT- USAA SMALL CAP STOCK FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		
37. IRA ACCOUNT- USAA HIGH INCOME FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		
38. IRA ACCOUNT- USAA INCOME FUND (OWNED BY SPOUSE)	A	Dividend	K	T	Buy	7/21/16	K		
39. IRA ACCT-USAA INTERMEDIATE TERM BOND FUND (OWNED BY SPOUSE)	A	Dividend	K	T	Buy	7/21/16	K		
40. IRA ACCOUNT- USAA SHORT TERM BOND FUND (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		
41. IRA ACCOUNT- USAA MONEY MARKET (OWNED BY SPOUSE)	A	Dividend	J	T	Buy	7/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ASSET NUMBERS 9, 14 & 15 WERE TRANSFERED TO THE JUDGES THRIFT SAVINGS PLAN ON AUGUST 18, 2016.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JOSE MARTINEZ

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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