

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marvel, L. Paige	2. Court or Organization United States Tax Court	3. Date of Report 08/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address UNITED STATES TAX COURT 400 SECOND STREET, N.W. WASHINGTON, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Partnership #1
2.	Custodian	529 Acct. #4
3.	Trustee	REH Irrevocable Trust
4.	Trustee	LHH Revocable Trust
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Maryland State Retirement Agency distribution (457 Plan)
2. 2016	National Financial Services (Fidelity Investments) distribution
3. 2016	Fidelity IRA distribution
4. 2016	RBC IRA distribution

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ABA Tax Section	05/05/2016 - 05/08/2016	Washington, DC	Speak at and attend ABA Tax Section May Meeting	Registration, Meal and Reception Tickets (no travel or lodging expenses)
2. New York University (NYU)	06/22/2016 - 06/24/2016	New York City, NY	Speak at NYU Tax Controversy Forum	Travel, Lodging, Food
3. California Bar Tax Section	10/26/2016 - 10/28/2016	San Diego, CA	Speak at and attend Annual Tax Conference	Travel, Lodging, Food
4. ABA Tax Section	09/29/2016 - 10/02/2016	Boston, MA	Speak at and attend ABA Tax Section Fall Meeting	Registration, Meal and Reception Tickets (Travel, Lodging & remaining Food reimbursed by the Court)
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Washington University in St. Louis	Tuition Payment Plan Loan	K
2.	U.S. Dept. of Education	Student Loans	J
3.	Washington University in St. Louis	Student Loans	J
4.	Emory University	Student Loans	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 33% pship. interest, Margaret's Landing Partnership		None	K	W					
2. USAA Subscribers Savings Account	B	Distribution	J	T					
3. USAA Fed. Savings Bank Accts. #1	A	Interest	J	T					
4. USAA Fed. Savings Bank Accts. #2	A	Interest	J	T					
5. M&T Bank accts. #1	A	Interest	J	T					
6. M&T Bank accts. #2	A	Interest	J	T					
7. T. Rowe Price Acct. (H):									
8. -Growth Stock Fund		None			Closed	10/19/16	L		
9. -Maryland Bond Fund	A	Dividend			Closed	10/19/16	J		
10. RBC Brokerage Acct. (H):									
11. -Shares, Adient PLC		None	J	T	Spinoff (from line 18)	10/31/16	J		
12. -Shares, AT&T Inc.	A	Dividend	J	T					
13. -Common, Bristol Myers Squibb Co.	A	Dividend	K	T					
14. -Common, Centerpoint Energy Inc.	A	Dividend	J	T					
15. -Common, Exxon Mobil Corp	C	Dividend	L	T					
16. -Common, Fresenius Med Care Aktiengesellschaft ADR	A	Dividend	K	T					
17. -Common, General Electric Co.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Shares, Johnson Controls Intl. PLC	A	Dividend	J	T	Buy	09/06/16	J		
19. -Shares, Mallinckrodt PLC		None	J	T					
20. -Common, Medtronic PLC	A	Dividend	K	T	Sold (part)	03/23/16	J		
21. -Shares, Pentair PLC	A	Dividend	J	T					
22. -Common, Pfizer Inc.	A	Dividend	J	T					
23. -Shares, PNC Financial Services Group, Inc.	A	Dividend	J	T					
24. -Shares, TE Connectivity Ltd.	A	Dividend	K	T					
25. -Shares, Tyco Intl PLC		None			Sold	03/23/16	J		
26. -Shares, UDR Inc.	B	Dividend	K	T					
27. -Shares, Verizon Communications	A	Dividend	J	T					
28. -Shares, U.S. Govt. Money Mkt. Fund RBC Reserve Class	A	Dividend	J	T	Sold (part)	02/29/16	J		
29.					Sold (part)	03/28/16	J		
30.					Buy (add'l)	03/29/16	J		
31.					Sold (part)	03/31/16	J		
32.					Sold (part)	04/08/16	J		
33.					Sold (part)	04/29/16	J		
34.					Sold (part)	06/30/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	08/11/16	J		
36.					Sold (part)	08/29/16	J		
37.					Sold (part)	09/19/16	J		
38.					Sold (part)	09/26/16	J		
39.					Sold (part)	09/30/16	J		
40.					Sold (part)	10/26/16	J		
41.					Sold (part)	11/30/16	J		
42.					Sold (part)	12/29/16	J		
43. Fidelity Taxable Account (H)									
44. -T Rowe Price Growth Stock Fund			L	T	Open	10/19/16	L		
45.					Sold (part)	11/10/16	J	C	
46. -T Rowe Price State Tax Free Inc. MD			J	T	Open	10/19/16	J		
47. -Fidelity Government Money Market Fund			J	T	Open	10/19/16	J		
48.					Buy (add'l)	11/10/16	J		
49.					Redeemed (part)	11/21/16	J		
50. Fidelity Brokerage Rollover IRA (H):									
51. -Fidelity 500 Index Premium Class (formerly Spartan)	B	Distribution	L	T	Sold (part)	09/15/16	J	B	

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52.					Sold (part)	11/09/16	J	C	
53. -Fidelity Strategic Real Return	A	Distribution	J	T					
54. -Fidelity Contrafund	C	Distribution	L	T	Sold (part)	05/10/16	J	C	
55.					Sold (part)	11/09/16	J	C	
56. -Fidelity Equity Income	D	Distribution	L	T	Sold (part)	10/19/16	J	A	
57. -Fidelity New Millenium	A	Distribution	K	T					
58. -Fidelity Low Priced Stock	C	Distribution	M	T	Sold (part)	05/10/16	J	C	
59. -Fidelity Select Biotechnology	C	Distribution	L	T					
60. -Fairholme Fund	B	Distribution	K	T					
61. -Oakmark Select	A	Distribution	K	T					
62. -Permanent Portfolio	A	Distribution	J	T					
63. -T Rowe Price Health Sciences	C	Distribution	L	T	Open	10/24/16	L		
64. -T Rowe Price Cap Appreciation	C	Distribution	L	T	Open	11/01/16	L		
65. -T Rowe Price Equity Income	C	Distribution	L	T	Open	10/24/16	L		
66. -T Rowe Price Equity Index 500 Fund	B	Distribution	L	T	Open	10/24/16	L		
67. -T Rowe Price Intl Discovery Fund	B	Distribution	L	T	Open	10/24/16	L		
68. -T Rowe Price New Asia	A	Distribution	K	T	Open	10/24/16	K		

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69. -T Rowe Price Emerging Markets Stock	A	Distribution	K	T	Open	10/24/16	K		
70. -T Rowe Price New Horizons FD Inc	A	Distribution	K	T	Open	10/24/16	K		
71. -T Rowe Price Science & Technology	A	Distribution	J	T	Open	10/24/16	J		
72. -T Rowe Price Small Cap Value Fund	A	Distribution	K	T	Open	10/24/16	K		
73. -T Rowe Price Mid Cap Value	A	Distribution	K	T	Open	10/24/16	K		
74. -Wasatch Ultra Growth	B	Distribution	J	T					
75. -Wasatch International Growth	A	Distribution	K	T					
76. -Fidelity New Markets Income	B	Distribution	K	T					
77. -Fidelity GNMA Fund	A	Distribution	K	T					
78. -Fidelity Total Bond	B	Distribution	L	T					
79. -Fidelity Government Income	A	Distribution	J	T					
80. -Loomis Sayles Bond Retail	A	Distribution	K	T					
81. -Pimco Real Return Class D	A	Distribution	J	T					
82. -T Rowe Price High Yield Bond	B	Distribution	K	T	Open	10/24/16	K		
83. -T Rowe Price GNMA	A	Distribution	J	T	Open	10/24/16	J		
84. -T Rowe Price Emerging Markets Fund	B	Distribution	K	T	Open	10/24/16	K		
85. -T Rowe Price US Treas Intermediate	A	Distribution	K	T	Open	10/24/16	K		

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86. -Vanguard Inflation Protected Secs Inv	B	Dividend	K	T					
87. -First Trust Chindia ETF	A	Dividend	J	T					
88. -iShares MSCI Singapore Capped ETF	A	Dividend	J	T					
89. -Wisdomtree TR Emerging MKTS High	A	Dividend	J	T					
90. -JPMorgan Chase & Co Alerian MLP Index	A	Interest	J	T					
91. -Common, Novocure LTD		None	J	T					
92. -Common, Seaspan Corp.	A	Dividend	J	T					
93. -Shares, Berkshire Hathaway Inc. CL B		None	K	T					
94. -Shares, Dell Technologies Inc. Com CL V		None	J	T	Open	09/07/16	J		
95. -Shares, Eco Science Solutions Inc Com		None			Buy	12/13/16	J		
96.					Sold	12/21/16	J	A	
97. -Shares, EMC Corp Mass	A	Dividend			Merged (with line 94)	09/07/16	J		
98. -Shares, Goldman Sachs Group Inc.	A	Dividend	K	T					
99. -Common, Intrexon Corp.		None	J	T					
100. -Common, Ziopharm Oncology		None			Sold	12/12/16	J		
101. -Shares, Federal Realty Invst Tr. Sh. Ben. Int.	A	Dividend	J	T					
102. -Shares, Kimco Realty Corp.	A	Dividend	J	T					

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103. -Fidelity Cash Reserves	A	Dividend	L	T	Sold (part)	01/08/16	J		
104.					Sold (part)	02/12/16	J		
105.					Sold (part)	03/14/16	J		
106.					Sold (part)	04/06/16	J		
107.					Buy (add'l)	05/11/16	J		
108.					Sold (part)	08/09/16	J		
109.					Buy (add'l)	09/07/16	J		
110.					Buy (add'l)	09/16/16	J		
111.					Sold (part)	09/19/16	J		
112.					Buy (add'l)	10/20/16	J		
113.					Sold (part)	10/24/16	J		
114.					Buy (add'l)	10/27/16	K		
115.					Buy (add'l)	11/10/16	J		
116. T.RowePrice Rollover IRA (H):									
117. -T. Rowe Price Capital Appreciation		None			Closed	11/01/16	L		
118. -Rowe Price Emerging Markets Stock	A	Dividend			Closed	10/24/16	L		
119. -Rowe Price Equity Income	B	Dividend			Redeemed (part)	06/06/16	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120.					Closed	10/24/16	L		
121. -Rowe Price Equity Index 500	A	Dividend			Closed	10/24/16	L		
122. -Rowe Price Health Sciences		None			Closed	10/24/16	L		
123. -Rowe Price International Discovery	A	Distribution			Redeemed (part)	06/13/16	J	C	
124.					Closed	10/24/16	L		
125. -Rowe Price Mid-Cap Value		None			Closed	10/24/16	K		
126. -Rowe Price New Asia		None			Closed	10/24/16	K		
127. -Rowe Price New Horizons		None			Closed	10/24/16	K		
128. -Rowe Price Science & Technology		None			Closed	10/24/16	J		
129. -Rowe Price Small-Cap Value		None			Closed	10/24/16	K		
130. -Rowe Price Emerging Markets Bond	A	Dividend			Closed	10/24/16	K		
131. -Rowe GNMA	A	Dividend			Closed	10/24/16	J		
132. -Rowe High Yield	A	Dividend			Closed	10/24/16	K		
133. -Rowe US Treasury Intermediate	A	Dividend			Closed	10/24/16	K		
134. -T Rowe Price Government Money	A	Dividend			Open	08/01/16	K		
135.					Redeemed	10/27/16	K		
136. -Rowe Prime Reserve	A	Dividend			Buy (add'l)	06/06/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	06/13/16	J		
138.					Redeemed (part)	06/13/16	J		
139.					Closed	10/24/16	J		
140. Fidelity Traditional IRA Acct. (H):									
141. -Shares, CNOOC Limited ADS EACH REP 100 ORD HKDO 02	A	Dividend	J	T					
142. -Common, Berkshire Hathaway		None	L	T	Sold (part)	04/05/16	J	D	
143. -Shares, Seaspan Corporation	A	Dividend	J	T					
144. -Common, Intrexon Corp.		None	J	T					
145. -Common, Ziopharm Oncology Inc.		None			Sold	12/12/16	J		
146. -Dreyfus Opportunisti Midcap Value CL A Fund	B	Distribution	K	T					
147. -Teton Westwood Mighty Mites FD	B	Distribution	K	T					
148. -Fidelity Cash Reserves	A	Dividend	J	T	Buy (add'l)	04/05/16	J		
149.					Redeemed (part)	10/19/16	J		
150. RBC IRA Acct. (H):									
151. -Ishares Tr Nasdaq Biotech Index Fund	A	Dividend	J	T					
152. -Powershares QQQ Trust Unit Ser. I	A	Dividend	J	T					
153. RBC Roth IRA (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -ETF Managers Trust Purefunds ISE Cyber Sec. (Factorshares rename					Sold	08/08/16	J		
155. Vanguard Rollover IRA (H):									
156. -Shares, Convertible Securities	A	Dividend	K	T					
157. -Shares, Dividend Growth Fund	C	Distribution	M	T					
158. -Shares, Wellesley Income Fund	C	Distribution	L	T					
159. RBC Joint Brokerage Acct. (H):									
160. -Common, Apple, Inc.	A	Dividend	K	T					
161. -Common, Walt Disney Co.	A	Dividend	K	T					
162. -Common, Tesla Motors Inc.		None	J	T					
163. -US Govt. Money Market Fund RBC Reserve Class	A	Dividend	J	T					
164. USAA Investment Acct. (H):									
165. -USAA Value Fund	A	Distribution	J	T					
166. RBC IRA/A Acct. (H):									
167. -Shares, Advent Claymore Conv Sec & Income Fund	B	Dividend	J	T					
168. -Shares, Alps ETF Tr Alerian MLP	B	Dividend	J	T					
169. -Common, Amgen Inc.	A	Dividend	J	T					
170. -Shares, BCE Inc. New	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Shares, Blackrock Global Energy and Resources Trust	A	Dividend	J	T					
172. -ETF Managers Trust Purefunds ISE Cyber Sec (Factorshares renamed)	A	Dividend	K	T					
173. -Note, Citigroup Inc. 6.875% SER K DEP SHS REPSTG 1/1000TH INT	B	Int./Div.	K	T					
174. -Shares, Doubleline Opportunistic Credit Fund	B	Int./Div.	K	T					
175. -Shares, First Trust Preferred Securities & Income ETF	B	Dividend	K	T					
176. -Shares, Eaton Vance Tax Advantaged Dividend Income Fund	B	Dividend	K	T					
177. -Common, General Electric Co.	A	Dividend	K	T					
178. -Shares, IShares International Select Dividend ETF	B	Dividend	K	T					
179. Shares, Kinder Morgan Inc.	A	Dividend			Sold	04/05/16	J		
180. -Note, Lowes Cos. Inc., Due 9/15/17, 6.1%	A	Interest	K	T					
181. -Shares, MFS Value Fund A	B	Distribution	L	T					
182. -Common, Microsoft Corp.	A	Dividend	K	T					
183. -Shares, Novartis A G ADR	A	Dividend	K	T					
184. -Common, Pepsico Incorporated	A	Dividend	K	T					
185. -Common, Sysco Corporation	A	Dividend	K	T					
186. -Common, Under Armour Inc. CL A		None	J	T					
187. -Common, Under Armour Inc. CL C	A	Distribution	J	T	Spinoff (from line 186)	04/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Shares, Vanguard Intl. Eq. Index FDS Emerg. Markets Fund	A	Dividend	K	T					
189. -Shares, Vanguard Sector Index FDS - Vanguard Industrials ETF	A	Dividend	K	T					
190. -Shares, Vanguard Sect.Index FDS Information Technology ETF	A	Dividend	L	T					
191. -Common, Verizon Communications	A	Dividend	K	T					
192. -Shares Victory Portfolios Munder Growth Opportunities FD C		None	J	T					
193. -Common, Welltower Inc. (formerly Health Care REIT Inc.)	B	Dividend	K	T					
194. -Shares, US Govt. Money Market Fund (RBC)	A	Dividend	L	T	Redeemed (part)	03/28/16	J		
195.					Buy (add'l)	04/08/16	J		
196.					Buy (add'l)	05/03/16	J		
197.					Buy (add'l)	10/03/16	J		
198. RBC IRA/B Acct. (H):									
199. -Common, AT&T Inc.	B	Dividend	K	T					
200. -Common, Bristol Meyers Squibb Co.	A	Dividend	K	T					
201. -Shares, Cohen & Steers Infrastructure Fund Inc.	C	Dividend	K	T					
202. -Common, Eli Lilly & Co.	A	Dividend	K	T					
203. -Shares, Gabelli Dividend & Inc Trust	B	Dividend	K	T					
204. Shares, Gabelli Global Small & Mid Cap Value Tr Com	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Common, General Mills, Inc.	A	Dividend	K	T					
206. -Common, Gladstone Commercial Corporation	B	Dividend	K	T					
207. -Common, Johnson & Johnson	A	Dividend	K	T					
208. -Common, Kinder Morgan Inc.	A	Dividend			Sold	04/05/16	J		
209. -Shares, Pembina Pipeline Corp.	A	Distribution	K	T					
210. -Shares, Pimco Dynamic Income Fund	C	Dividend	K	T					
211. -Common, Under Armour Inc. CL A		None	J	T					
212. -Common, Under Armour Inc. CL C		None	J	T	Spinoff (from line 211)	04/08/16	J		
213. -Shares, Vanguard World Funds- Vanguard Health Care ETF	A	Dividend	L	T					
214. -Shares, Vanguard REIT ETF	A	Dividend	K	T					
215. -Shares Victory Portfolios Munder Growth Opportunities A		None	J	T					
216. -Shares, US Govt. Money Market Fund RBC Reserve Class	A	Dividend	L	T	Buy (add'l)	04/08/16	J		
217.					Buy (add'l)	12/30/16	J		
218. Rollover IRA - USAA FSB (H):									
219. -Shares, USAA Value Fund	A	Distribution	J	T					
220. Vanguard Investment Acct. (H):									
221. -Shares, Inflation-Protect Sec Inv.	A	Dividend			Redeemed	10/10/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --Shares, Balanced Index Fund	A	Dividend	J	T					
223. --Shares, Prime Money Mkt. Fund	A	Dividend	J	T	Redeemed (part)	01/04/16	J		
224. --Shares, Small-Cap Value Fund	A	Dividend	J	T					
225. --Shares, U.S. Growth Fund		None			Redeemed	10/10/16	J		
226. --Shares, iShares Technology ETF	A	Dividend	J	T					
227. ☐ No. 1 RBC Acct. (H):									
228. --Shares, Berkshire Hathaway CL B Inc.		None	K	T					
229. ☐ .No. 2 RBC Acct. (H):									
230. --Shares, Berkshire Hathaway CL B Inc.		None	K	T					
231. 529 Acct. - Vanguard (☐ 1): Income Fund	A	Dividend	J	T					
232. REH Irrevocable Trust (H):									
233. - Fidelity Inherited IRA (H):									
234. --Shares, Fidelity Focused Stock Fund	A	Dividend			Sold	12/13/16	J	C	
235. --Shares, Fidelity Low Priced Stock Fund	B	Dividend	K	T	Sold (part)	12/13/16	K	C	
236. --Fidelity Cash Reserves	A	Dividend	J	T					
237. --Promissory Note from Vicki A. Marvel, 6%		None	K	T					
238. --M&T Money Market Acct.	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. LHH Revocable Trust (H):									
240. --Fidelity Roth IRA (H):									
241. --Shares, Fidelity Contrafund	A	Distribution	K	T					
242. --Share, Fidelity Focused Stock Fund	A	Dividend	J	T					
243. --Shares, Fidelity Select Biotechnology	A	Dividend	J	T					
244. --M&T Money Market Acct.	A	Interest	M	T					
245. --TIC Fidelity Trust Acct (H):									
246. --Shares, Fidelity Municipal Inc.	A	Dividend	K	T					
247. --Shares, Fidelity Government Income	A	Dividend	J	T					
248. --Shares, Fidelity Intermediate Muni Income	A	Dividend	J	T					
249. --Fidelity Cash Reserves	A	Dividend	J	T					
250. --Bank of Oklahoma (BOO) Checking Acct.	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

2016 Report, lines 7-9 and 43-49 : [REDACTED] closed [REDACTED] T. Rowe Price taxable account (lines 7-9) and transferred the mutual fund holdings to a newly opened Fidelity taxable account, which is listed at lines 43-49. The shares in the mutual funds were not sold but transferred from T. Rowe Price to Fidelity. I have tried to describe these events accurately but, because there is no "transfer" action listed on the drop down menu, I showed the mutual funds in the T. Rowe Price account as "Closed" and the same accounts at Fidelity as "Open".

2016 Report, lines 50-115 and 116-136: During 2016, [REDACTED] also rolled over [REDACTED] T. Rowe Price retirement accounts to Fidelity. The T. Rowe Price retirement accounts and related holdings before the rollover are shown on the 2016 Report at lines 116-136 and are now characterized as "Closed". The same holdings are now shown as part of [REDACTED] Fidelity Brokerage Rollover IRA account, reported at lines 50-115. The T. Rowe Price mutual funds are shown as "Open" as of the date they were rolled over and received by Fidelity.

2016 Report, lines 134-135: This mutual fund investment appeared out of the blue. I have tried to find the relevant purchase information but thus far, I have not found it. I reported this investment as of the date it first appeared on the monthly statement. When [REDACTED] rolled over the investments in this account to Fidelity, this particular investment was liquidated and the cash generated from the sale was transferred to Fidelity on 10/27/16.

2016 Report, lines 154, 172: The investments listed as "Factorshares Trust Purefunds ISE Cyber Security ETF" on my 2015 Amended Report were renamed and are now reported as "ETF Managers Trust Purefunds ISE Cyber Security".

2016 Report, part VII: For certain investments paying both capital gains distributions and dividends, the income has been aggregated and shown as distributions to simplify reporting. All dividends and capital gain distributions are reinvested.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ L Paige Marvel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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