

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Frost, Gregory L.	2. Court or Organization U.S. Dist. Ct., S.Dist.of Ohio	3. Date of Report 05/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 169 U.S. Courthouse 85 Marconi Boulevard Columbus, Ohio 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director / Shareholder	██████████ corporation
2.	Director - Vice Chair	Maryhaven, Inc. (Non-profit drug and alcohol rehabilitation facility)
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/01/03	Public Employees Retirement System of Ohio, pension plan as a result of former position as state court judge.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	W. K. Frost, Inc. (director's fee)	\$2,000.00
2. 2014	Ohio Public Employees Retirement System (pension)	\$82,177.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Ohio Public Employees Retirement System - pension
2. 2014	Ohio Deferred Compensation - distribution
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Flagstar Bank	Meridian Condo Project mortgage	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Park National Bank Checking Account		None	J	T					
2. Park National Bank Checking Account		None	J	T					
3. W.K. Frost, Inc. - Closely held stock	E	Distribution	M	U					
4. Clayton Land Holdings, LLC appraised 3/15/00		None	L	T					
5. Shrimplin #1 - Royalty Interest, Knox County, Ohio	B	Royalty	J	W					
6. Deferred Comp. - Fidelity Growth Company		None	K	T					
7. Deferred Comp. - Fidelity Contrafund		None	J	T					
8. Deferred Comp. - Janus Fund		None	J	T					
9. Deferred Comp. - Vanguard International Growth		None	K	T					
10. Deferred Comp. - Vanguard Institutional Index		None	K	T					
11. Deferred Comp. - Guaranteed Return Option		None	K	T					
12. Deferred Comp. - FPA Capital Fund		None	K	T					
13. Investment/Rental Property - Cocoa Beach, FL	D	Rent	O	W					
14. Deferred Comp. - Fidelity Contrafund		None	K	T					
15. Deferred Comp. - Fidelity Growth		None	K	T					
16. Deferred Comp. - Janus Fund		None	J	T					
17. Deferred Comp. - Vanguard International		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Deferred Comp. - Vanguard Institutional		None	K	T					
19. Deferred Comp. - FPA Capital Fund		None	K	T					
20. Deferred Comp. - Guaranteed Return		None	J	T					
21. Moundbuilders Country Club		None			Donated				
22. Park National Bank Savings Account	A	Interest	J	T					
23. Park National Bank Saving Account	A	Interest	L	T					
24. Deferred Comp. - Vanguard Capital Opportunity		None	J	T					
25. Deferred Comp. - Vanguard Total Bond Market Index		None	J	T					
26. Wells Fargo Brokerage Account	A	Interest	J	T					
27. Wells Fargo Brokerage Account Aflac Inc.	A	Dividend	K	T					
28. Wells Fargo Brokerage Account Ship Finance Intl. LT	B	Dividend	J	T					
29. Wells Fargo Brokerage Account Archer-Daniels Midland	A	Dividend	K	T	Buy (add'l)	01/28/14	K		
30. Wells Fargo Brokerage Account Realty Income Corp	B	Dividend	J	T					
31. Wells Fargo Brokerage Account Seadrill LTD	B	Dividend	K	T					
32. Wells Fargo Brokerage Account AT&T Inc	B	Dividend	K	T	Buy (add'l)	01/28/14	K		
33. Wells Fargo Brokerage Account Berkshire Hathaway Inc		None	K	T					
34. Wells Fargo Brokerage Account Pepsico	B	Dividend	K	T	Buy (add'l)	01/28/14	K		

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35. Wells Fargo Brokerage Account Berkshire Hathaway		None	K	T					
36. Wells Fargo Brokerage Account Intel	A	Dividend	J	T					
37. Wells Fargo Brokerage Account Microsoft Corp.	A	Dividend	K	T					
38. Wells Fargo Brokerage Account Exelon Corp.	A	Dividend	J	T					
39. PNC Invest. Acct. Blackrock MMF	A	Interest	K	T					
40. PNC Invest. Acct. AT&T	A	Dividend	J	T					
41. PNC Invest. Acct. American Express Co.	A	Dividend	J	T					
42. PNC Invest. Acct. Amerisource Bergen Corp.	A	Dividend	J	T					
43. PNC Invest. Acct Apple	A	Dividend	J	T	Buy (add'l)	06/06/14	J		See Comment
44. PNC Invest. Acct. Baxter International Inc	A	Dividend	K	T					
45. PNC Invest. Acct. Bristol Myers Squibb Co.	A	Dividend	J	T					
46. PNC Invest. Acct. CSX Corp	A	Dividend	J	T					
47. PNC Invest. Acct. Chubb Corp	A	Dividend	J	T					
48. PNC Invest. Acct. Connecticut St. SER D GO	A	Interest	K	T					
49. PNC Invest. Acct. Conoco Phillips	A	Dividend	J	T					
50. PNC Invest. Acct. Consolidated Edison Inc.	A	Dividend	J	T					
51. PNC Invest. Acct. Disney Walt Co.		None	J	T					

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52. PNC Investment Mgmt. Acct. Dupont E I De Nemours & Co.	A	Dividend	J	T					
53. PNC Invest. Acct. Eaton Corp	A	Dividend	J	T					
54. PNC Invest. Acct. Exxon Mobil Corp.	A	Dividend	J	T					
55. PNC Invest. Acct. General Electric Co.	A	Dividend	J	T					
56. PNC Invest. Acct. Harbor Int'l Fund Class	A	Dividend	J	T					
57. PNC Invest. Acct. Intel	A	Dividend	J	T					
58. PNC Invest. Acct. IBM	A	Dividend	J	T					
59. PNC Invest. Acct. IShares TR MSCI Emerging	A	Dividend	J	T					
60. PNC Invest. Acct. I Shares MSCI EAFE Index	A	Dividend	J	T					
61. PNC Invest. Acct. I Shares Russell Midcap	A	Dividend	K	T					
62. PNC Invest. Acct. Ishares TR Russell 2000 Idx	A	Dividend	J	T					
63. PNC Invest.Acct. JPMorgan Chase	A	Dividend	J	T					
64. PNC Invest.Acct. Johnson & Johnson	A	Dividend	J	T					
65. PNC Invest. Acct. Johnson Controls	A	Dividend	J	T					
66. PNC Invest.Acct. Litman Gregory Masters Int'l	A	Dividend	J	T					
67. PNC Invest. Acct. Mass St. Sch. Bldg Auth Bond	A	Dividend			Matured	10/15/14	K		
68. PNC Invest. Acct. McDonald's Corp	A	Dividend	J	T					

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69. PNC Invest.Acct. Microsoft Corp	A	Dividend	J	T					
70. PNC Invest. Acct. Nextera Energy Inc.	A	Dividend	J	T					
71. PNC Invest. Acct. OSU Ref Ser A	A	Interest	K	T					
72. PNC Invest. Acct. Oracle	A	Dividend	J	T					
73. PNC Invest. Acct. Pepsico Inc.	A	Dividend	J	T					
74. PNC Invest. Acct. Phoenix AZ Civic Impt	A	Interest	K	T					
75. PNC Invest. Acct. Price T Rowe Grp	A	Dividend	J	T					
76. PNC Invest. Acct. Proctor & Gamble	A	Dividend	J	T					
77. PNC Invest. Acct. Qualcomm	A	Dividend	J	T					
78. PNC Invest. Acct. JM Smucker	A	Dividend	J	T					
79. PNC Invest. Acct. UPS	A	Dividend	J	T					
80. PNC Invest. Acct. United Technologies Corp.	A	Dividend	J	T					
81. PNC Invest. Acct. Univ of CO Enterprise Rev.	A	Interest	K	T					
82. PNC Invest. Acct. Wells Fargo	A	Dividend	J	T					
83.									
84. Wells Fargo Brokerage Account Eaton	A	Dividend	J	T					
85. Wells Fargo Brok. Acct. IShares Dow Jones Select Div.	A	Dividend			Sold	04/04/14	J	A	

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86. Wells Fargo Brok. Acct. IShares TR-Dow Jones US Tech.	A	Dividend			Sold	04/04/14	J	A	
87. Wells Fargo Brok. Acct. Deere & Co.	B	Dividend	K	T					
88. Wells Fargo Brok. Acct. Emerson Electric	A	Dividend	K	T					
89. Wells Fargo Brok. Acct. IBM	A	Dividend	K	T					
90. Wells Fargo Brok. Acct. IShares FTSE EPRA/ETF NAREIT	A	Dividend			Sold	04/04/14	J	A	
91. Wells Fargo Brok. Acct. S&P US Preferred Stock	A	Dividend	J	T					
92. Wells Fargo Brok. Acct. Potash Corp. of Saskatchewan	A	Dividend	J	T					
93. Wells Fargo Brok. Acct. Senior Housing Prop. TR REIT	A	Dividend	J	T					
94. Wells Fargo Brok. Acct. SPDR S&P Dow Jones INDL ETF	A	Dividend			Sold	04/04/14	J	A	
95. Wells Fargo Brok. Acct. S&P Emerging ETF Mkts. Div.	A	Dividend			Sold	04/04/14	J	A	
96. Wells Fargo Brok. Acct. Total S.A. Spons. ADR	B	Dividend	K	T					
97. Wells Fargo Brok. Acct. Vale S A ADR	A	Dividend	K	T					
98. Wells Fargo Brok. Acct. Vectren Corp.	A	Dividend	K	T					
99. Wells Fargo Brok. Acct. Vanguard GNMA FD CL1	B	Dividend	L	T					
100. Wells Fargo Checking Acct.	A	Interest	J	T					
101. Wells Fargo Savings Acct.	A	Interest	L	T					
102. Wells Fargo IRA Acct. Blackrock Build America Fund		None	J	T					

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103. PNC Bank Sweep Deposit	D	Interest	J	T					
104. PNC Invest. Acct. Templeton Global Bond Fund AD	A	Dividend	K	T					
105. PNC Invest. Acct. T Rowe Price High Yield Fund #57	A	Dividend	K	T					
106. PNC Invest. Acct. IShares Dow Jones US REIT ETF	A	Dividend	J	T					
107. Wells Fargo Brok. Acct. Kinder Morgan Inc.	A	Dividend	K	T					
108. Wells Fargo Brok. Acct. Southern Copper Corp.	A	Dividend	J	T					
109. Wells Fargo Brok. Acct. Royal Dutch Shell PLC	B	Dividend	K	T					
110. Wells Fargo Brok. Acct. Apple Inc.	A	Dividend	K	T	Buy (add'l)	06/06/14	J		See Comment
111. Wells Fargo Brok. Acct. Excelon Corp.	A	Dividend	J	T					
112. Wells Fargo Brok. Acct. Diebold	A	Dividend	K	T					
113. PNC Invest. Acct. Bank of America Corp.	A	Dividend	K	T					
114. PNC Invest. Acct. Nike	A	Dividend	J	T					
115. PNC Invest. Acct. Campbell Soup Co.	A	Dividend	J	T					
116. PNC Invest. Acct. Chevron Corp.	A	Dividend	J	T					
117. PNC Invest. Acct. Pfizer Inc.	A	Dividend	J	T					
118. PNC Invest. Acct. Phillips 66	A	Dividend	J	T					
119.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Frost, Gregory L.

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05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.									
121.									
122.									
123. PNC Invest. Acct. PIMCO High Yield Corp. Bond Fund ETF	A	Dividend	J	T	Buy	06/23/14	J		
124. PNC Invest. Acct. Visa Inc.	A	Dividend	J	T	Buy	08/19/14	J		
125. PNC Invest. Acct. Anchorage AK Elec Utilitiy Rev. SR Lien-SE		None	K	T	Buy	10/30/14	K		
126. PNC Invest. Acct. Grant Cnty WA Public Utilities Dist #2A Rev		None	K	T	Buy	10/11/14	K		
127. Wells Fargo Brok. Acct. BP PLC Spons ADR	B	Dividend	K	T	Buy	01/28/14	K		See Comment
128. Wells Fargo Brok. Acct. Caterpillar Inc.	A	Dividend	K	T	Buy	01/28/14	K		See Comment
129. Wells Fargo Brok. Acct. Walt Disney Co.		None	K	T	Buy	01/28/14	K		See Comment
130. Wells Fargo Brok. Acct. International Paper Co	A	Dividend	K	T	Buy	01/28/14	K		See Comment
131. Wells Fargo Brok. Acct. Johnson & Johnson	C	Dividend	L	T	Buy	01/28/14	L		See Comment
132. Wells Fargo Brok. Acct. Mondelez Intl Inc.	A	Dividend	K	T	Buy	01/28/14	K		See Comment
133. Wells Fargo Brok. Acct. JPM Chase	A	Dividend	K	T	Buy	01/28/14	K		See Comment
134. Wells Fargo Brok. Acct. Phillip Morris INTL Inc.	B	Dividend	K	T	Buy	01/28/14	K		See Comment
135. Wells Fargo Brok. Acct. Superior Industries INTL. Inc.	A	Dividend	J	T	Buy	01/28/14	J		See Comment
136. Wells Fargo Brok. Acct. Verizon Communications Com.	A	Dividend	K	T	Buy	01/28/14	K		See Comment

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Frost, Gregory L.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Wells Fargo Brok. Acct. Veritiv Corp.		None			Buy	01/28/14	J		See Comment
138. Wells Fargo Brok. Acct. Veritiv Corp.		None			Sold	07/02/14	J	A	
139.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- Line 21 - This bond was donated back to the obligor as a gift.
- Line 43 and 110 - Additional Apple stock was acquired by way of a stock split.
- Lines 127-137 - These stocks were inherited from a [REDACTED] trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregory L. Frost**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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